ENDS Flavors:

Their Role in Initial Liking at Trial,

Preference Formation, and Switching

Andrea Rae Vansickel, Ph.D. June 6th, 2018 ENDS Conference





Altria's Corporate Structure



* For illustrative purposes only. Does not reflect all Altria subsidiaries.



Tobacco Harm Reduction at Altria



We aspire to be the U.S. leader in authorized, non-combustible, reduced-risk products



FDA Recognizes Continuum of Risk

"We must recognize the potential for innovation to lead to less harmful products, which, under FDA's oversight, could be part of a solution. While there's still much research to be done on these products and the risks that they may pose, they may also present benefits that we must consider." Dr. Scott Gottlieb FDA Commissioner

Continuum of Risk

Combusted Tobacco Products

Non-combusted Tobacco Products

MOST HARMFUL

July 28, 2017: Protecting American Families: Comprehensive Approach to Nicotine and Tobacco https://www.fda.gov/NewsEvents/Speeches/ucm569024.htm



Altria Client Services | ENDS Conference | June 6, 2018 | FINAL | 4

LEAST

HARMFUL

Product Platforms





Diverse MarkTen® Flavor Portfolio





Regulatory Environment

Federal Register / Vol. 83, No. 55 / Wednesday, March 21, 2018 / Proposed Rules

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Food and Drug Administration

21 CFR Parts 1100, 1140, and 1143

[Docket No. FDA-2017-N-6565]

RIN 0910-AH60

Regulation of Flavors in Tobacco Products

AGENCY: Food and Drug Administration, HHS. ACTION: Advance notice of proposed

rulemaking.

D. The Potential Role of Flavors in Facilitating Transition From Cigarettes to Tobacco Products That May Pose Less Risk

5. Provide studies or information regarding the role of flavors (other than tobacco) in helping adult cigarette smokers reduce cigarette use and/or switch to potentially less harmful tobacco products.



MarkTen[®] Actual Use Study*

Research Question: What role, if any, do flavor options play in switching behavior associated with MarkTen®?

We conducted an 8-week, 2-phase, actual use study with 614 adult cigarette smokers not planning to quit

- We characterized MarkTen[®] (M10) e-vapor use behavior and its influence on other tobacco use behavior
- We examined the potential role of flavor variety (14 flavors) on behavioral outcomes

*Some info presented elsewhere: Vansickel AR, Cheng H, Largo E (2018). The role of MarkTen® e-vapor flavor variety in cigarette smoking reduction among adult cigarette smokers not planning to quit smoking: results of a 6-week at-home use study. Poster presented at the Society for Research on Nicotine and Tobacco meeting in Baltimore, MD.



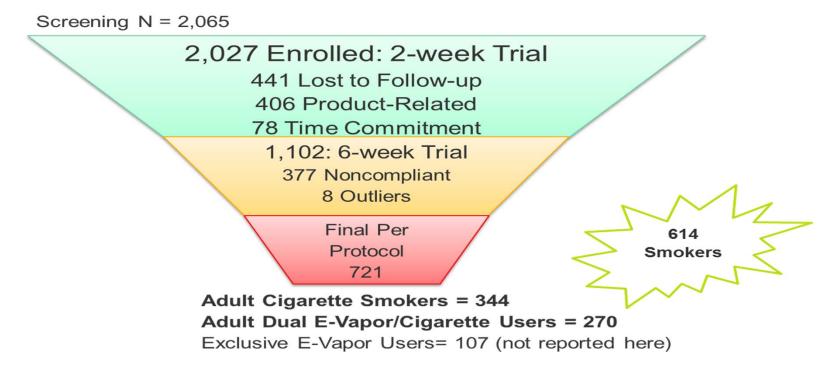
Key Findings

- 1. All 14 flavors:
 - were needed to meet participant preferences^a at trial and
 - were used^b during the 6-week phase
- 2. Flavor preferences changed and participants' consideration sets narrowed over time
- 3. Participants that switched generally used more flavor varieties
- 4. Greater flavor usage = greater reduction in cigarettes after 6 weeks

a: based on highest rating of liking (initial liking at trial)b: used by at least one participant on at least 1-day each week



Actual Use Study: Sample Disposition

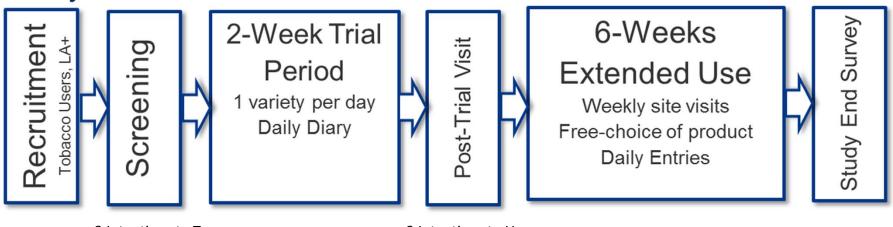


Lost to follow up: Did not return, even after re-contact Product-related: Did not use during trial or did not score \geq 3 on intentions to use Noncompliant: Missed 5 or more daily surveys in a row or missed > 2 Day 7 surveys



Actual Use Study: Overview

Study Overview



- <u>></u> 3 Intentions to Try
- No plans to quit smoking in next 3 months

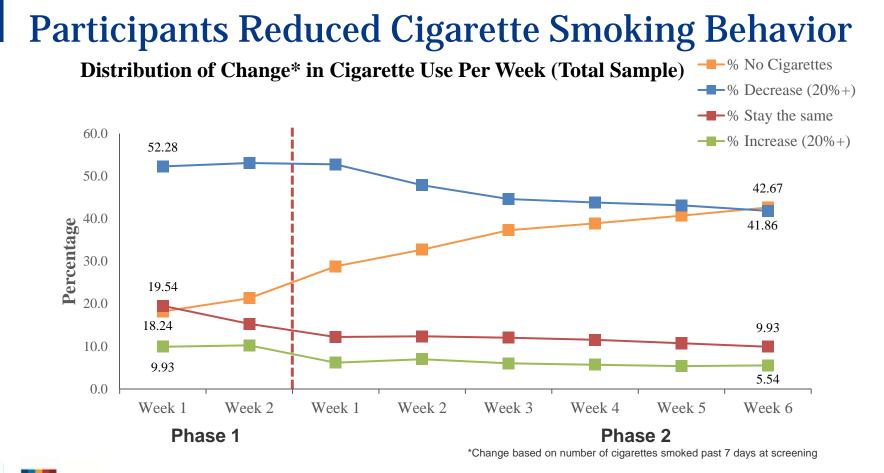
> 3 Intentions to Use



Actual Use Study: Strengths and Limitations

- Strengths:
 - Near Real World Conditions (inclusion of individuals that would be likely to try and use product in market, open-access to flavor portfolio, at-home use, self-determined usage levels)
 - Daily data capture of all tobacco use
 - Robust sample
- Limitations:
 - Non-probability based sample
 - Free product
 - Exclusion of smokers planning to quit
 - Study duration

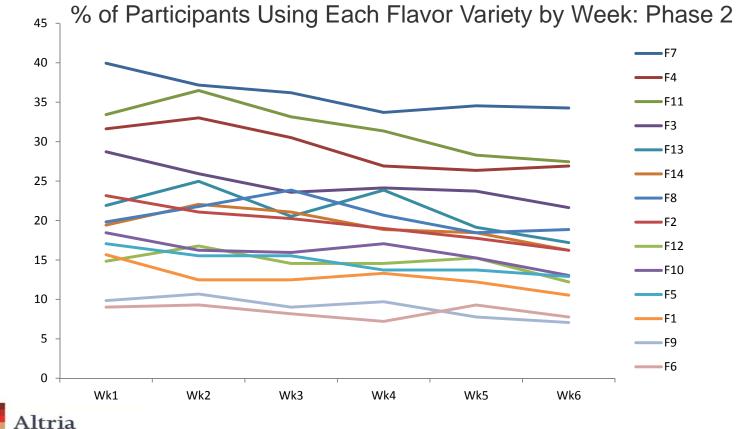




Altria Altria Client Services

14 Flavors Were Needed to Meet Preferences* During Trial **Total Unduplicated Reach and Frequency Analysis (TURF)** Top Liking Rating (7-point) during Phase 1 0%/100% Flavor F7 F2 F11 F10 Number of Flavors F8 F4 F13 75% 25% F6 F1 F3 F5 F14 F9 F12 50% *based on highest rating of liking Unduplicated Coverage Altria Altria Client Services | ENDS Conference | June 6, 2018 | FINAL | 14 Altria Client Services

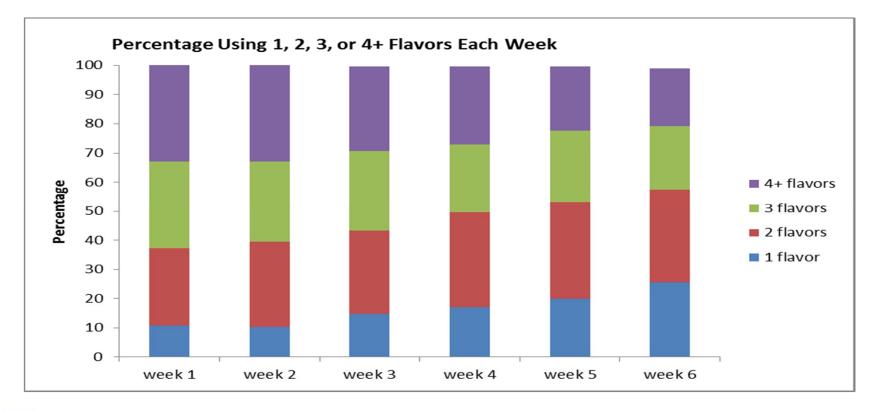
14 Flavors Were Used During Phase 2



Altria Client Services

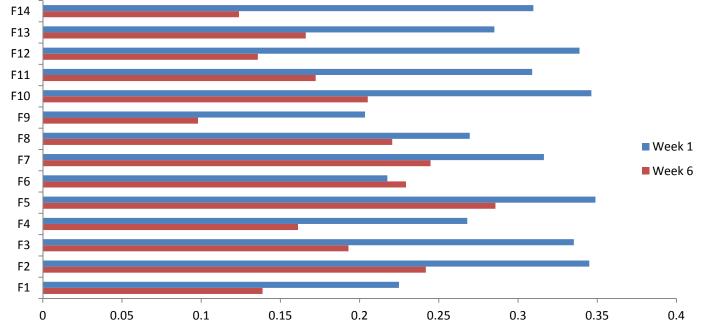
Altria Client Services | ENDS Conference | June 6, 2018 | FINAL | 15

Flavor Consideration Sets Narrowed Over Time





Relationships Between Initial Liking and Use Occasions Weakened Over Time



Correlation Coefficients for Relative Liking^a and Relative Use Occasion^b

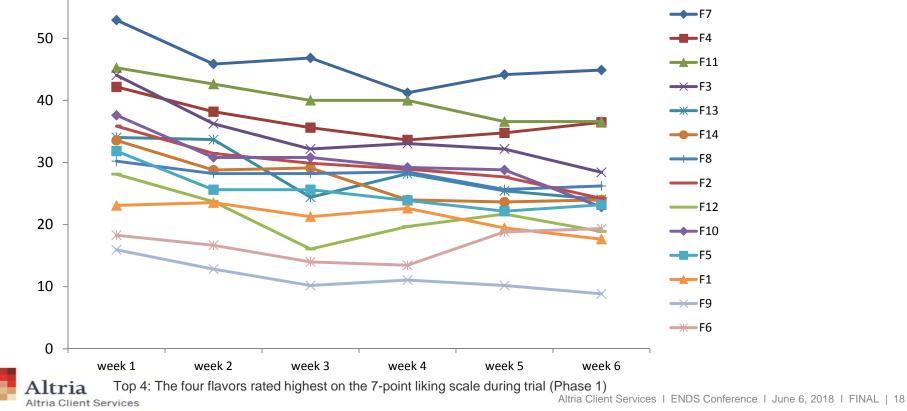
a: Liking scores during trial adjusted for individual ratings overall

Altria b: Use occasions during week 6 adjusted for individual usage levels

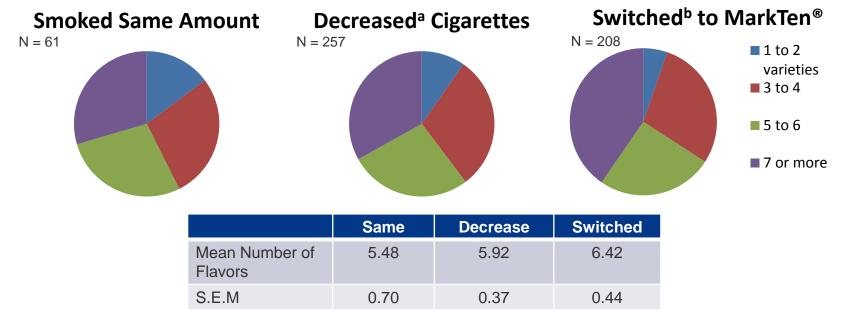
Altria Client Services

Flavor Preferences Changed Over Time

 60 $_{\ensuremath{\mid}}$ % Participants Used a Flavor Ranked in Their Top 4 Liking during Trial



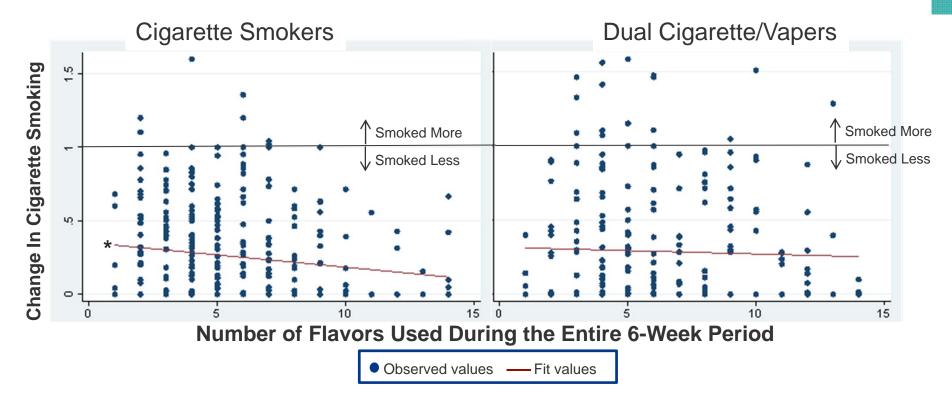
Participants that Switched Used More Flavors Number of Flavors Used by Behavioral Outcome

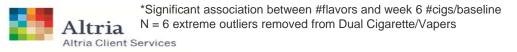




a: Decreased by 20% or greater by week 6 (Phase 2)
b: No cigarettes during week 6 (Phase 2) and still using MarkTen[®]
Altria Client Services | ENDS Conference | June 6, 2018 | FINAL | 19

Number of Flavors Played a Role in Smoking Reduction





Overall Conclusions

- MarkTen[®] products present an opportunity to reduce the harm from cigarette smoking
- Flavor options play a role in adult cigarette smokers' early trial and adoption of MarkTen[®]
 - A wide variety of flavor options is necessary to capture all adult smokers' preferences
- Flavor usage changes over time and later flavor preferences do not necessarily reflect early liking/preference for flavor options
- Flavor variety appears to play a role in adult cigarette smokers' switching behavior and smoking reduction



Conclusions Continued

- Results align with the growing body of literature¹ suggesting flavors may play:
 - A critical role in continued ENDS use after trial
 - Moving adult cigarette smokers toward potentially less harmful tobacco products

1: e.g. Audrain-McGovern, Strasser, Wileyto 2016; Barbeau, Burba and Siegel 2013; Czoli et al. 2015; Farsalinos et al. 2013; Harrell et al. 2014; Nonnemaker et al. 2015; Pechacek et al. 2016; Shiffman et al. 2015; Simmons et al. 2015; Soule, Rosas, Nasim 2016



For additional information visit our science website at Altria.com/ALCS-Science.



