

**CERTIFICATION OF BENEFICIAL OWNER(S)**

Persons opening or renewing an account on behalf of a legal entity must provide the following information:

INTERNAL USE
RM #
ACCOUNT #
COMPANY
TIN

a. Name, Legal Designation, and Address of Legal Entity for Which the Account is Being Opened or Renewed: \_\_\_\_\_

b. Entity Tax ID: \_\_\_\_\_

c. The following information for **each** individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above (If no individual meets this definition, write "Not Applicable"):

Name	Date of Birth	Residential or Home Address (Street Address, City, State, Zip, Country)	US Person: SSN Non-US Person: ITIN, Passport # and Issuing Country, or other identification number <sup>1</sup>

d. The following information for **one** individual with significant responsibility for managing the legal entity listed above, such as: an executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

Name	Title	Date of Birth	Residential or Home Address (Street Address, City, State, Zip, Country)	US Person: SSN Non-US Person: ITIN, Passport # and Issuing Country, or other identification number <sup>1</sup>

Form: BSA\_Own\_Cert\_2018

I, \_\_\_\_\_ (PRINT NAME OF PERSON OPENING OR RENEWING ACCOUNT), hereby certify, to the best of my knowledge, that the information provided above is complete and correct. I also agree to notify the financial institution of any change in such information.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Phone Number: \_\_\_\_\_

<sup>1</sup> In lieu of a passport number, Non-U.S. Persons may also provide an ITIN, alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

