

# Corporate and Investment Banking



DIFC - the trusted partner



## DIFC – the top choice in Middle East, Africa and South Asia region for institutions seeking global standards

- World class regulatory legal and regulatory framework.
- Collaborative approach between clients, regulator and authorities.

**600+** financial regulated firms    **200+** global banks    **25** of the top 30 G-SIBs

G-SIBS: Financial Stability Board data

## The preferred regional platform for the corporate and investment banking industry



Capital markets firms



Corporate Banks



Investment banks



Payments and Money Services Firms



Private Banks



Brokerage firms



Financial and M&A Advisory Firms



Crowdfunding Platforms

## Benefit from a future focused platform

**#1** global financial centre

Dubai in Global Financial Centre Index rankings vs. other MEASA cities

**#1** green financial centre

**#1** city in region for sustainable finance and responsible investing



Engage in consultations, future legal and regulatory enhancements



Industry collaboration through Dubai Sustainable Finance Working Group, a DIFC chaired initiative



Company structures (Prescribed Company regime) for structured transactions



International standards for netting and insolvency laws



Advance your innovation agenda with the support of the DIFC Venture Studios and FinTechs in the DIFC Innovation Hub

## A growth platform for traditional financial services companies as well as the future of finance

### Growth Wave 1

"Establish Dubai as a leading Global Financial Centre"



### Growth Wave 2 Strategy 2024

"Deepen the Core and increase assets"



### Growth Wave 3 Strategy 2030

"Become a globally relevant hub for Future of Finance"



## A range of regulated licenses for corporate and investment banking and capital markets firms

Category							
1	2	3A	3B	3C	3D	4	5
Accepting Deposits	Dealing in Investments as Principal (not as Matched Principal)	Dealing in Investments as Principal (only as a Matched Principal)	Providing Custody (only if for a Fund)m	Managing a Collective Investment Fund	Providing Money Services (other than issuing Stored Value)	Arranging Deals in Investments	As Islamic Financial Institution which Manages a PSIAu
Managing a PSIAu	Providing Credit	Dealing as Agent	Acting as the Trustee of a Fund	Managing Assets		Advising on Financial Products	
			Operating an Employee Money Purchase Scheme	Providing Trust Services as a trustee of an express trust		Arranging Custody	
			Acting as the Administrator for an Employee Money Purchase Scheme	Managing a PSIAr		Operating an Alternative Trading System	
				Providing Custody (other than for a Fund)		Providing Fund Administration	
				Providing Money Services (issuing Stored Value)		Arranging Credit and Advising on Credit	
						Operating a Crowdfunding Platform	
						Arranging or Advising on Money Services	



### Licensing process

- 1 Submit letter of intent. [Click Here](#)
- 2 Process application and obtain in-principle approval.
- 3 Register your entity.

