PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION



OUARTERLY STATEMENT

AS OF JUNE 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

ERIE INSURANCE COMPANY OF NEW YORK

NAIC Group Code 213 213 (Current Period) (Prior Period) NAIC Company Code <u>16233</u> Employer's ID Number <u>16-0377190</u> Organized under the Laws of the State of **NEW YORK** State of Domicile or Port of Entry **NEW YORK** Country of Domicile <u>U.S.</u> Incorporated April 20, 1994 Commenced Business September 1, 1885 Statutory Home Office 120 Corporate Woods, Suite 150 Rochester, NY 14623 (Street and Number) (City or Town, State and Zip Code) 100 Eric Insurance Place Main Administrative Office Erie. PA 16530 814-870-2000 ate and Zip Code) (Area Code) (Telephone Number)
Rochester, NY 14623 (Street and Number (City or Town, State and Zip Code) Mail Address 120 Corporate Woods, Suite 150 (Street and Number) (City or Town, State and Zip Code) **Primary Location of Books and Records** 120 Corporate Woods, Suite 150 Rochester, NY 14623 585-214-5800 (Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number) **Internet Website Address** www.erieinsurance.com Statutory Statement Contact ___ Jorie Lee Novacek 814-870-2000 x4248 (Area Code) (Telephone Number) (Extension) (Name) Jorie Novacek@erieinsurance.com 814-870-4040 (E-Mail Address) (Area Code) (Fax Number)

DIRECTORS

Brian William Bolash Robert Coleman Ingram, III

James Ernest Caflisch Christina Marie Marsh

Gregory John Gutting Robert Wayne McNutt Jonathan Hirt Hagen, Chairman **Timothy Gerard NeCastro**

EXECUTIVE OFFICERS

President

& Chief Executive Officer: **Executive Vice President**

& Chief Information Officer: Executive Vice President:

Timothy Gerard NeCastro

Executive Vice President & Chief Financial Officer:

Gregory John Gutting

Robert Coleman Ingram, III **Executive Vice President:** Douglas Edward Smith Executive Vice President: **Lorianne Feltz**

Dionne Wallace Oakley

Senior Vice President & Chief Actuary **Bradley Clay Eastwood** Senior Vice President & Controller: Julie Mane Pelkowski

Senior Vice President, Secretary & General Counsel: Brian William Bolash Senior Vice President & Chief Investment Officer: Ronald Steven Habursky Vice President & Corporate Treasurer: Robert Wayne McNutt

State of Pennsylvania / SS County of Erie

The officers of this reporting entity being duly swont, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, amexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Timothy Gerard NeCastro President & CEO

Brian William Bolash Senior Vice President, Secretary & General Counsel Executive Vice President & CFO

Gregory Dio Ling

Subscribed and sworn to before me this 6th day of August, 2021

- (a) Is this an original filing? Yes (X) No ()
- (b) If no:(i) State the amendment number:
 (ii) Date filed:

 - (iii) Number of pages attached:

Michelle L. Henderson

ommonwealth of Pennsylvania - Notary Se MICHELLE LEE HENDERSON - Notary Public mission Expires Mar 19, 2024 mission Number 1266545

ASSETS

	-		Current Statement Date		4
		1	2	3 Net Admitted Assets	December 31 Prior Year Net
		Assets	Nonadmitted Assets	(Cols. 1 - 2)	Admitted Assets
1.	Bonds	69,410,997		69,410,997	69,899,594
2.	Stocks:				
	2.1 Preferred stocks		I		
	2.2 Common stocks	31,472		31,472	31,472
3.	Mortgage loans on real estate:				
	3.1 First liens			0	0
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less \$				
	encumbrances)			0	0
	4.2 Properties held for the production of income (less				
				ار	0
	·			U	0
	4.3 Properties held for sale (less \$0				
	encumbrances)			0	0
5.	Cash (\$49,363), cash equivalents				
	(\$3,492,751) and short-term				
	investments (\$0)	3,542,114		3,542,114	1,411,071
6.	Contract loans (including \$0 premium notes)			0	0
7.	Derivatives		1		0
8.	Other invested assets				0
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets		l .		
	Aggregate write-ins for invested assets		I		
11.			I		
12.	Subtotals, cash and invested assets (Lines 1 to 11)		[∪ 	[
13.	Title plants less \$			_	_
	only)				
14.	Investment income due and accrued	688,027			695,246
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	4,832,833	114,395	4,718,438	4,569,839
	15.2 Deferred premiums, agents' balances and installments booked but				
	deferred and not yet due (including \$0				
	earned but unbilled premiums)	55,333,354		55,333,354	52,363,395
	15.3 Accrued retrospective premiums (\$0) and				
	contracts subject to redetermination (\$0)			0	0
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers	8 931		8,931	4,645
	16.2 Funds held by or deposited with reinsured companies			10.006	9,487
	16.3 Other amounts receivable under reinsurance contracts			0	0
47			l	0	0
17.	Amounts receivable relating to uninsured plans		i		
18.1	Current federal and foreign income tax recoverable and interest thereon				0
18.2	Net deferred tax asset			·	462,832
19.	Guaranty funds receivable or on deposit				0
20.	Electronic data processing equipment and software			0	0
21.	Furniture and equipment, including health care delivery assets				
	(\$			0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates	694,243			377,493
24.	Health care (\$			0	0
25.	Aggregate write-ins for other than invested assets	17,466	L0	17,466	16,967
26.	Total assets excluding Separate Accounts, Segregated Accounts and				
20.	Protected Cell Accounts (Lines 12 to 25)	135 , 196 , 644	114,395	135,082,249	129,842,040
27.	From Separate Accounts, Segregated Accounts and Protected Cell				0
28.	Accounts	135, 196, 644	114,395	135,082,249	129,842,040
	DETAILS OF WRITE-INS	100, 100,011	111,000	100,002,210	120,012,010
1101					
1101.			+		
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page		0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Equity and Deposits in Pools and Associations	5,663		5,663	5,755
2502.	Premium Tax Credits & Other	3,938		3,938	4,481
2503.	Other Accounts Receivable	7,865		7,865	6,730
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	17,466	0	17,466	16,967

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31, Prior Year
1.	Losses (current accident year \$5,266,850)	20,662,700	20,122,616
2.	Reinsurance payable on paid losses and loss adjustment expenses	0	0
3.	Loss adjustment expenses	3,731,693	3,805,954
4.	Commissions payable, contingent commissions and other similar charges	708	533
5.	Other expenses (excluding taxes, licenses and fees)	4,568	2,971
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	45,068	197,505
7.1	Current federal and foreign income taxes (including \$0 on realized capital gains (losses))	0	22,202
7.2	Net deferred tax liability		
8.	Borrowed money \$0 and interest thereon \$		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$		
	including warranty reserves of \$0 and accrued accident and health experience rating refunds		
	including \$0 for medical loss ratio rebate per the Public Health Service Act)	16,933,144	15,942,592
10.	Advance premium		226,991
11.	Dividends declared and unpaid:		,
	11.1 Stockholders		
	11.2 Policyholders	1	
12.	Ceded reinsurance premiums payable (net of ceding commissions)		
13.	Funds held by company under reinsurance treaties		
14.	Amounts withheld or retained by company for account of others		
15.	Remittances and items not allocated		
16.	Provision for reinsurance (including \$0 certified)	0 -	0
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	4,657,820	4,542,160
20.	Derivatives	0	0
21.	Payable for securities	0	682,772
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes \$0 and interest thereon \$		
25.	Aggregate write-ins for liabilities	0	0
26.	Total liabilities excluding protected cell liabilities (Lines 1 through 25)	96,341,410	92,937,812
27.	Protected cell liabilities		
28.	Total liabilities (Lines 26 and 27)	96.341.410	92,937,812
29.	Aggregate write-ins for special surplus funds	0	0
30.	Common capital stock		
31.	Preferred capital stock	1 ' ' 1	
32.	Aggregate write-ins for other than special surplus funds		n
33.	Surplus notes		0
	Gross paid in and contributed surplus		
34.	Unassigned funds (surplus)		
35.		27,240,039	25,404,227
36.	Less treasury stock, at cost:		
]	36.1		
1	36.20 shares preferred (value included in Line 31 \$		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	. 38,740,839	36,904,227
38.	Totals (Page 2, Line 28, Col. 3)	135,082,249	129,842,040
	DETAILS OF WRITE-INS		
2501.			
2502.			
2503.		<u> </u>	
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.			
2902.			
2903.			
2998.	Summary of remaining write-ins for Line 29 from overflow page		0
		0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		U
3201.			
3202.			
3203.			
3298.	Summary of remaining write-ins for Line 32 from overflow page	0	0
3299.	Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

Display Private Priv		OTATEMENT OF ING	O.V		
Premium earned 10 Dec 10			1	2	3
Primains careed 9, 56, 64 10 molt gloriner 8 9, 26, 64 10 molt gloriner 8 10 molt gloriner 8 10, 26, 64 10 molt gloriner 8 10, 26, 64 10 molt gloriner 8 10, 26, 64 10, 45 10 molt gloriner 8 10, 26, 64 10, 45 10 molt gloriner 8 10, 26, 64 10, 45 10 molt gloriner 8 10, 26, 64 10, 45 10 molt gloriner 8 10, 45 10 molt gloriner 9 10, 45 10 molt gl					
1. Perfering served			Year to Date	to Date	December 31
1 Diesel Andrian S					
1.2 Assumed vortices	1.				
1.2 Greet centers 32, 46, 77 19, 146, 225 19, 166, 225 19,		1.1 Direct (written \$90,206,694)	83,954,620	84,061,843	167 , 184 , 838
2. Losses named (purrent accident years 3		1.2 Assumed (written \$20,408,777)	19,418,225	18,952,230	37,988,128
2. Losses named (purrent accident years 3					
2. Losses incomed (current acordent year 1 11.23 369 y. 27, 689 302 57, 605 303 57, 605 303 304 625					
2. Libraries from the Content acceleral years \$,		10,002,200	
2.7 Drost					
2 Assument 10.944, 744 10.089, 757 93.08, 772 23.06669 2.24 Net 10.949, 744 10.089, 757 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.08, 772 93.089, 772 93.	2.				
2 Cested				, ,	,
2.4 Net		2.2 Assumed	10,944,744	10,089,851	20,646,625
3. Loss adjustment experienses incurred		2.3 Ceded	47,893,332	37,603,105	79,885,273
4. Observations expenses incurred 5. 52,933 5.377, 46 19,198 95 6. Total underwinting destroctions (sines 2 through 5) 6. Total underwinting destroctions (sines 2 through 5) 7. Ref forces of protected cells 7. Ref forces of protected cells 8. Not underwinting gain or foss) (lime 1 minus Line 6 + Line 7) 8. Not underwinting gain or foss) (lime 1 minus Line 6 + Line 7) 9. Not investment moorne earned 9. Not investment moorne earned 9. Not investment quan (basic) (lines 9 + 1%) 1. Ref forces (public gains) (loses) (lines 2 + 1%) 1. Not consider of public gains) (loses) (lines 2 + 1%) 1. Not consider of public gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines) (lines		2.4 Net	10,944,744	10,089,851	20,646,625
4. Observations expenses incurred 5. 52,933 5.377, 46 19,198 95 6. Total underwinting destroctions (sines 2 through 5) 6. Total underwinting destroctions (sines 2 through 5) 7. Ref forces of protected cells 7. Ref forces of protected cells 8. Not underwinting gain or foss) (lime 1 minus Line 6 + Line 7) 8. Not underwinting gain or foss) (lime 1 minus Line 6 + Line 7) 9. Not investment moorne earned 9. Not investment moorne earned 9. Not investment quan (basic) (lines 9 + 1%) 1. Ref forces (public gains) (loses) (lines 2 + 1%) 1. Not consider of public gains) (loses) (lines 2 + 1%) 1. Not consider of public gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines 2 + 1%) 1. Not consider of gains) (loses) (lines) (lines	3				
5. Aggregate virilems for underwriting deductions (Line 2 through 5). 1. Total underwriting deductions (Line 2 through 5). 1. Net incorrect of profescled crisis. 1. Net underwriting gain or (1963) (Line 1 minus Line 6 + Line 7). 2. Net readerwriting gain or (1963) (Line 1 minus Line 6 + Line 7). 2. Net readerwriting gain or (1963) (Line 1 minus Line 6 + Line 7). 3. Net readerwriting gain or (1963) (Line 1 minus Line 6 + Line 7). 3. Net readerwriting gain or (1963) (Line 5). 3. Net readerwriting gain (1963) (Line 5). 4. Net readerwriting gain (1963) (Line 5). 4. Net readerwriting gain (1963) (Line 5). 5. Net gain or (1963) (Line 5). 5. The Lagar or (1963) (Line 5). 5. The Lagar or (1964) (Line 5). 6. 40,988 amount charged of 8 s. 7,988). 6. 1. Prinance and service charges not included in premiume. 6. 40,988 amount charged of 8 s. 7,988). 6. 1. Prinance and service charges in clinic discernation of premiums. 7. The Lagar or (1963) (Line 5). 7. The Lagar or (1964) (Line 5). 7. The Lagar or (1964) (Line 5). 8. 1. The Lagar or (1964) (Line 5). 9. 1					
6. Total underwriting destuctions (Lines 2 through 5) 18,25 649 17,285,433 34,718,893 7. Net income of protected colled in control (resp) (Line 1 through 1) 1,202,955 1,686,748 3,779,247 8. Net underwriting gain or (boss) (Line 1 through 1) 9,80,951 1,812,535 1,817,535 9. Net investment income earned 9,80,951 1,913,503 1,923,600 1,925,600 <td></td> <td></td> <td></td> <td>1</td> <td></td>				1	
7. Not incore of protected cells Not underwriting pair or (loss) (Line I minus Line θ + Line 7) 1,202,955 1,686,748 3,279,247 9. Not investment income samed 988,261 918,262 1,347,554 10. Net restized capital gains (losses) less capital gains tax of \$ 30,093 94,039 15,019 377,653 10. Net restized capital gains (losses) less capital gains tax of \$ 30,093 94,039 15,019 377,653 11. Net investment gain (loss) (Lines and tax of the capital gains tax of \$ 30,093 94,039 15,039 15,039 12. Net gain or (loss) from agents' or invention bissinoses charged off (amount recovered \$ \$ 6,000 46,840 (114,399) (155,861) 13. Frances and service charges on Individual in premium. 2,650 5,998 5,000 1,153,861 14. Total cares moone (Lines 12 through 14) (46,900) (116,490) (116,490) (471,501) 15. Total cares moone (Lines 12 through 14) (46,900) (116,490) (116,490) (471,501) 16. Not increase the called also policyholders, after capital gains tax and before all other federal and foreign increase (Lines 12 through 14) (47,502) (471,501) (471,502) (471,501) (471,502) (471,502)					
8. Net underwriting gain or (tosay, Line 1 minus Line 6 + Line 7). Net investment income seared Net investment income seared Net investment income seared Net recipitate deptid gains discosed less capital gains tax of \$ 50,000	6.			17,283,483	34,708,880
Net investment income earned	7.				
Net investment income earned	8.	Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	1,202,585	1,668,748	3,279,247
9. Net investment income samed. Net retained copiell gains (lose) this capital gains tax of 3					
10. Net realized capital gains (losses). less capital gains tox of \$ 50,999 16,409 953,284 2,045,407	0		988 361	018 266	1 847 554
1. Net Investment gain (pless) (Lines 9 + 10). OTHER NCOME	-	Net investment income earned	64 020		
Net pain or (boss) from agents' or premium balanness charged off (amount recovered s					
12. Net gain or (loss) from agents or premium balances charged off (amount recovered \$ \$.40,85 and .40,85 a	11.		1,052,400	933,284	2,045,407
8 40,953 amount charged off s 87,798 } (48,840) (114,399) (153,881) 13 Finance and service charges on Included in presentances 2,650 3,909 6,000 16 Auguspate write-ins for miscellaneous income 2,650 3,909 6,000 16 Net income for fine connect fusions (Lines 8 + 11 + 15) (44,190) (110,491) (117,691) 17 Dividends to policyholders and providers of the mission of the providers of the p		OTHER INCOME			
8 40,953 amount charged off s 87,798 } (48,840) (114,399) (153,881) 13 Finance and service charges on Included in presentances 2,650 3,909 6,000 16 Auguspate write-ins for miscellaneous income 2,650 3,909 6,000 16 Net income for fine connect fusions (Lines 8 + 11 + 15) (44,190) (110,491) (117,691) 17 Dividends to policyholders and providers of the mission of the providers of the p	12.	Net gain or (loss) from agents' or premium balances charged off (amount recovered			
13. Finance and service charges not included in premiums		\$	(46.840)	(114,399)	(153.981)
14. Aggregate write-ins for miscellaneous income 2,655 3,308 6,008	12				(100,001)
15. Total other income (Lims 12 through 14) (17, 95)		· ·			9.006
Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (line 8 + 11 + 19) 5,176,699		55 5			
and foreign income taxes (Lines 8 + 11 + 15)	15.	,	(44, 190)	(110,491)	(147,955)
17. Dividends to policyholders. 25.114 97.227 1,002,556	16.	Net income before dividends to policyholders, after capital gains tax and before all other federal			
Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes incurred 409,357 316,551 785,216		and foreign income taxes (Lines 8 + 11 + 15)	2,210,795		5, 176, 699
roreign income taxes (Line 16 minus Line 17)	17.	Dividends to policyholders	25,114	977,227	1,002,656
roreign income taxes (Line 16 minus Line 17)	18.	Net income, after dividends to policyholders, after capital gains tax and before all other federal and			
Net income (Line 18 minus Line 19)(to Line 22)		foreign income taxes (Line 16 minus Line 17)	2,185,681	1,514,314	4, 174, 043
Net income (Line 18 minus Line 19)(to Line 22)	19.				
CAPITAL AND SUPPLUS ACCOUNT 36, 904, 227 33, 464, 652 33, 464, 652 33, 464, 652 33, 464, 652 22. Net income (from Line 20) 1,776, 324 1,197,733 3,368, 827 36,004, 227 37 620				,	
2.1 Surplus as regards policyholders, December 31 prior year 33, 464, 632 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 388, 827 34, 77, 733 3, 784, 828 3, 77, 733 3, 784, 828 3, 77, 733 3, 784, 828 3, 77, 733 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 77, 735 3, 784, 828 3, 784, 8	20.	, , , , , , , , , , , , , , , , , , , ,	1,770,024	1, 101, 100	0,000,027
Net Income (from Line 20)			00 004 007	00 404 000	00 404 000
Net transfers (to) from Protected Cell accounts	21.				
Change in net unrealized capital gains (losses) less capital gains tax of \$ 58	22.	Net income (from Line 20)	1,776,324	1 , 197 , 733	3,388,827
Change in net unrealized capital gains (losses) less capital gains tax of \$ 58	23.	Net transfers (to) from Protected Cell accounts			
25. Change in net unrealized foreign exchange capital gain (loss) 277 97 (830 26. Change in net deferred income tax 37, 581 58, 383 69, 707 27. Change in nonadmitted assets 22,488 (17,415) (19,083 28. Change in provision for reinsurance 0 0 20. Change in surplus notes 9 0 30. Surglus (contributed to) withdrawn from protected cells 9 0 31. Cumulative effect of changes in accounting principles 2 2 32. Paid in 9 2 2 32.1 Paid in 9 0 0 32.2 Transferred to surplus 9 0 0 33. Surplus adjustments: 9 0 0 33.1 Paid in 0 0 0 0 33.2 Transferred from capital 9 0 0 0 34. Net remittances from or (to) Home Office 9 0 0 0 35. Dividends to stockholders 9 0 0 0 0 0 36. Change in treasury stock<	24.				
26					
27. Change in nonadmitted assets 22,488 .(17,415) .(18,093		Change in the unrealized foreign exchange capital gain (1055)	27 501		
28. Change in provision for reinsurance					
290 Change in surplus notes					
30. Surplus (contributed to) withdrawn from protected cells	28.	Change in provision for reinsurance			0
31. Cumulative effect of changes in accounting principles	29.	Change in surplus notes			
31. Cumulative effect of changes in accounting principles	30.	Surplus (contributed to) withdrawn from protected cells	L		
32. Capital changes:		· · ·			
32.1 Paid in					
32.2 Transferred from surplus (Stock Dividend)	ا ا	•			
32.3 Transferred to surplus					
33. Surplus adjustments:		, ,	l l		
33.1 Paid in		32.3 Transferred to surplus			
33.2 Transferred to capital (Stock Dividend) 33.3 Transferred from capital 33.4 Net remittances from or (to) Home Office 34. Net remittances from or (to) Home Office 35. Dividends to stockholders 36. Change in treasury stock 37. Aggregate write-ins for gains and losses in surplus 0 0 0 0 0 0 0 0 0	33.	Surplus adjustments:			
33.2 Transferred to capital (Stock Dividend) 33.3 Transferred from capital 33.4 Net remittances from or (to) Home Office 34. Net remittances from or (to) Home Office 35. Dividends to stockholders 36. Change in treasury stock 37. Aggregate write-ins for gains and losses in surplus 0 0 0 0 0 0 0 0 0		33.1 Paid in	<u> </u>	0	0
33.3 Transferred from capital 34. Net remittances from or (to) Home Office 35. Dividends to stockholders 36. Change in treasury stock 37. Aggregate write-ins for gains and losses in surplus 38. Change in surplus as regards policyholders (Lines 22 through 37). 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38. 740,839 34. 703,390 36. 904,227 50501. LAD Program - Expense 5, 703 5, 142 15, 884 150,884 101. Gain on Non-Transferable Tax Credit 102. Miscel laneous Income 103. State Fines and Penal Ities 10402. Miscel laneous Income 10493. State Fines and Penal Ities 10502. Summary of remaining write-ins for Line 14 from overflow page 10503 10504 10505 10506 10506 10507					
34. Net remittances from or (to) Home Office					
35. Dividends to stockholders 0 0 36. Change in treasury stock 0 0 0 37. Aggregate write-ins for gains and losses in surplus 0 1 0 0 38. Change in surplus as regards policyholders (Lines 22 through 37) 1,836,612 1,238,758 3,439,595 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38,740,839 34,703,390 36,904,227 DETAILS OF WRITE-INS 0501. LAD Program – Expense 6,703 8,142 15,884 0502. 0 0 0 0 0 0503. 0	3/	·	l l		
36. Change in treasury stock		· ·			
37. Aggregate write-ins for gains and losses in surplus 0 0 0 38. Change in surplus as regards policyholders (Lines 22 through 37). 1,836,612 1,238,758 3,439,595 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38,740,839 34,703,390 36,904,227 DETAILS OF WRITE-INS 0501. LAD Program - Expense 6,703 8,142 15,884 0502 0503					-
38. Change in surplus as regards policyholders (Lines 22 through 37). 1,836,612 1,238,758 3,439,595 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38,740,839 34,703,390 36,904,227 DETAILS OF WRITE-INS 0501. LAD Program – Expense 6,703 8,142 15,884 0502.	36.	·			0
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38,740,839 34,703,390 36,904,227 DETAILS OF WRITE-INS 0501. LAD Program – Expense	37.	Aggregate write-ins for gains and losses in surplus			0
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) 38,740,839 34,703,390 36,904,227 DETAILS OF WRITE-INS 0501. LAD Program – Expense	38.	Change in surplus as regards policyholders (Lines 22 through 37)	1,836,612	1,238,758	3,439,595
DETAILS OF WRITE-INS			· · · · · · · · · · · · · · · · · · ·		
0501. LAD Program - Expense 6,703 8,142 15,884 0502.	- 55.		33,, 10,000	31,100,000	30,001,001
0502. 0503. 0503. 0503. 0503. 0509. <td< td=""><td>0551</td><td></td><td>0.700</td><td></td><td>45.004</td></td<>	0551		0.700		45.004
0503. 0598. Summary of remaining write-ins for Line 5 from overflow page 0 15,884 142 142 15,884		·			15,884
0598. Summary of remaining write-ins for Line 5 from overflow page 0 0 0 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) 6,703 8,142 15,884 1401. Gain on Non-Transferable Tax Credit 3,768					
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) 6,703 8,142 15,884 1401. Gain on Non-Transferable Tax Credit 3,768 1402. Miscellaneous Income 1,772 3,908 6,026 1403. State Fines and Penalties (2,890) 1498. Summary of remaining write-ins for Line 14 from overflow page 0 0 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701. 3702. 3703. 3798. Summary of remaining write-ins for Line 37 from overflow page	0503.				
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) 6,703 8,142 15,884 1401. Gain on Non-Transferable Tax Credit 3,768 1402. Miscellaneous Income 1,772 3,908 6,026 1403. State Fines and Penalties (2,890) 1498. Summary of remaining write-ins for Line 14 from overflow page 0 0 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701. 3702. 3703. 3798. Summary of remaining write-ins for Line 37 from overflow page	0598.	Summary of remaining write-ins for Line 5 from overflow page	ļ0 l	0	0
1401. Gain on Non-Transferable Tax Credit 3,768 1402. Miscellaneous Income 1,772 3,908 6,026 1403. State Fines and Penalties (2,890)		· · · · · · · · · · · · · · · · · · ·			15,884
1402. Miscel I aneous Income 1,772 3,908 6,026 1403. State Fines and Penalties (2,890) 1498. Summary of remaining write-ins for Line 14 from overflow page 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701. 3702. 3703. 3798. Summary of remaining write-ins for Line 37 from overflow page					
1403. State Fines and Penalties (2,890) 1498. Summary of remaining write-ins for Line 14 from overflow page 0 0 0 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701. 3702. 3703. 3703. 3704. 3705. 3705. 3706.					
1498. Summary of remaining write-ins for Line 14 from overflow page .0 .0 .0 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701. 3702.					· ·
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) 2,650 3,908 6,026 3701.					
3701	1498.	Summary of remaining write-ins for Line 14 from overflow page	ļ0 <u> </u>	0	0
3701. 3702. 3702. 3703. 3798. Summary of remaining write-ins for Line 37 from overflow page 0 0 0	1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	2,650	3,908	6,026
3702. 3703. 3798. Summary of remaining write-ins for Line 37 from overflow page 0 0		·			· · · · · ·
3703. 3798. Summary of remaining write-ins for Line 37 from overflow page 0 0 0 0					
3798. Summary of remaining write-ins for Line 37 from overflow page00					
				_	
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above) 0 0					
	3799.	Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

CASH FLOW

	0/(31112011			
		1 Current Year	2 Prior Year	3 Prior Year Ended
	Cash from Operations	To Date	To Date	December 31
1.	Premiums collected net of reinsurance	19.994.393	18.989.759	37.970.891
2.	Net investment income			
3.	Miscellaneous income	(67,596)	(76,406)	(148,821)
4.	Total (Lines 1 to 3)	21,217,512	20,123,751	40,226,021
5.	Benefit and loss related payments	10,409,465	10,574,104	21, 188, 599
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	7,497,419	7,359,670	14,179,848
8.	Dividends paid to policyholders	27,776	980,471	1,009,367
9.	Federal and foreign income taxes paid (recovered) net of \$67,394 tax on capital			
	gains (losses)	609,314	(101,013)	745,203
10.	Total (Lines 5 through 9)	18,543,974	18,813,232	37, 123, 018
11.	Net cash from operations (Line 4 minus Line 10)	2,673,538	1,310,519	3,103,004
10	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:	0.000.044	7 004 007	10,070,500
	12.1 Bonds			
	12.2 Stocks	i		0
	12.3 Mortgage loans	I .	0	
	12.4 Real estate			0
	12.5 Other invested assets			0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			0
	12.7 Miscellaneous proceeds	0	7 004 007	682,772
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	6,920,044	7,021,897	14,659,355
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	ı		
	13.2 Stocks			0
	13.3 Mortgage loans	_	0	
	13.4 Real estate	ا ،		0
		0	0	
	13.6 Miscellaneous applications	682,772		10,001,000
	13.7 Total investments acquired (Lines 13.1 to 13.6)	7,292,809	6,814,040	18,261,832
14.	Net increase (or decrease) in contract loans and premium notes	(070, 705)	0	0 (0.000, 477)
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(372,765)	207,857	(3,602,477)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	(169,730)	(414,391)	398,935
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(169,730)	(414,391)	398,935
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2,131,043	1,103,985	(100,538)
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year			1,511,609
	19.2 End of period (Line 18 plus Line 19.1)	3,542,114	2,615,594	1,411,071

Note: Supplemental disclosures of cash flow information for non-cash transactions:		