

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF VIRGINIA  
(Richmond Division)

In re JELD-WEN HOLDING, INC. SECURITIES LITIGATION	)	Civil Action No. 3:20-cv-00112-JAG
_____	)	
This Document Relates To:	)	<u>CLASS ACTION</u>
ALL ACTIONS.	)	
_____	)	

**PROOF OF CLAIM AND RELEASE FORM**

**I. GENERAL INSTRUCTIONS**

1. To recover as a member of the Class based on your claims in the action entitled *In re JELD-WEN Holding, Inc. Securities Litigation*, Civil Action No. 3:20-cv-00112-JAG (E.D. Va.) (the “Action”), you must complete and, on page 5 below, sign this Proof of Claim and Release form (“Claim Form”). If you fail to submit a timely and properly addressed (as explained in paragraph 2 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

**2. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT [WWW.JELD-WENSECURITIESSETTLEMENT.COM](http://WWW.JELD-WENSECURITIESSETTLEMENT.COM) NO LATER THAN NOVEMBER 15, 2021 OR, IF MAILED, BE POSTMARKED NO LATER THAN NOVEMBER 15, 2021, ADDRESSED AS FOLLOWS:**

*JELD-WEN Securities Settlement*  
c/o Epiq Systems  
P.O. Box 6397  
Portland, OR 97228-6397

3. If you are a member of the Class and you do not timely request exclusion in response to the Notice dated August 17, 2021, you are bound by and subject to the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.**

**II. CLAIMANT IDENTIFICATION**

4. If you purchased shares of the publicly traded common stock of JELD-WEN Holding, Inc. (“JELD-WEN” or the “Company”) during the period from January 26, 2017 through October 15, 2018, inclusive (the “Class Period”) and held the stock in your name, you are the beneficial owner as well as the record owner. If, however, you purchased JELD-WEN publicly traded common stock during the Class Period through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

5. Use **Part I** of this form entitled “Claimant Identification” to identify each beneficial owner of JELD-WEN publicly traded common stock that forms the basis of this claim, as well as the owner of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.**

6. All joint owners must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

### III. IDENTIFICATION OF TRANSACTIONS

7. Use **Part II** of this form entitled “Transactions in JELD-WEN Publicly Traded Common Stock” to supply all required details of your transaction(s) in JELD-WEN publicly traded common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

8. On the schedules, provide all of the requested information with respect to your holdings, purchases, and sales of JELD-WEN publicly traded common stock, whether the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

9. The date of covering a “short sale” is deemed to be the date of purchase of JELD-WEN publicly traded common stock. The date of a “short sale” is deemed to be the date of sale.

10. Copies of broker confirmations or other documentation of your transactions must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN JELD-WEN PUBLICLY TRADED COMMON STOCK.**

11. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. (This is different than the online claim portal on the Settlement website.) All such claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Claims Administrator at (855) 867-0659 to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



**PART II: TRANSACTIONS IN JELD-WEN PUBLICLY TRADED COMMON STOCK**

**1. PURCHASES DURING THE CLASS PERIOD** – Separately list each and every purchase of JELD-WEN common stock from after the opening of trading on January 26, 2017 through and including the close of trading on October 15, 2018. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
□□□□□□	□□□□□□ . □□	\$ □□□□□□ . □□	\$ □□□□□□ . □□
□□□□□□	□□□□□□ . □□	\$ □□□□□□ . □□	\$ □□□□□□ . □□
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**2. PURCHASES DURING 90-DAY LOOKBACK PERIOD** – State the total number of shares of JELD-WEN common stock purchased from after the opening of trading on October 16, 2018 through and including the close of trading on January 11, 2019.<sup>1</sup> (Must submit documentation.) \_\_\_\_\_

**3. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD** – Separately list each and every sale of JELD-WEN common stock from after the opening of trading on January 26, 2017 through and including the close of trading on January 11, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions and fees)
□□□□□□	□□□□□□ . □□	\$ □□□□□□ . □□	\$ □□□□□□ . □□
□□□□□□	□□□□□□ . □□	\$ □□□□□□ . □□	\$ □□□□□□ . □□
□□□□□□	□□□□□□ . □□	\$ □□□□□□ . □□	\$ □□□□□□ . □□
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**4. ENDING HOLDINGS** – State the total number of shares of JELD-WEN common stock held as of the close of trading on January 11, 2019. If none, write “0” or “Zero.” (Must submit documentation.) \_\_\_\_\_

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX**

<sup>1</sup> Information requested in this Claim Form with respect to your transactions after the opening of trading on October 16, 2018 through and including the close of trading on January 11, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period, however, are not eligible under the Settlement because these purchases are outside the Class Period and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

**IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

12. By signing and submitting this Claim Form, the claimant(s) or the person(s) acting on behalf of the claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation of Net Settlement Fund described in the accompanying Notice. I (We) also submit to the jurisdiction of the United States District Court for the Eastern District of Virginia (the "Court") with respect to my (our) claim as a Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by and subject to the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in eligible publicly traded JELD-WEN common stock, if required to do so. I (We) have not submitted any other claim covering the same transactions in publicly traded JELD-WEN common stock during the Class Period and know of no other person having done so on my (our) behalf.

**V. RELEASES, WARRANTIES, AND CERTIFICATION**

13. I (We) hereby warrant and represent that I am (we are) a Class Member as defined in the Notice, that I am (we are) not excluded from the Class, and that I am (we are) not one of the "Released Defendant Parties" as defined in the accompanying Notice.

14. As a Class Member, I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever compromise, settle, release, resolve, relinquish, waive, and discharge with prejudice the Released Claims as to each and all of the Released Defendant Parties (as these terms are defined in the accompanying Notice). This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.

15. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

16. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases and sales of publicly traded JELD-WEN common stock that occurred during the Class Period and the number of securities held by me (us), to the extent requested.

17. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 2021

[Signature box]

Signature of Claimant

[Name box]

Type or print name of Claimant

[Signature box]

Signature of Joint Claimant, if any

[Name box]

Type or print name of Joint Claimant

[Signature box]

Signature of person signing on behalf of Claimant

[Name box]

Type or print name of person signing on behalf of Claimant

[Capacity box]

Capacity of person signing on behalf of Claimant, if other than an individual (e.g., Administrator, Executor, Trustee, President, Custodian, Power of Attorney)

## REMINDER CHECKLIST

1. Please sign this Claim Form.
2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation as these documents will not be returned to you.
4. Keep a copy of your Claim Form for your records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. **Your claim is not deemed submitted until you receive an acknowledgment postcard.** If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll free at (855) 867-0659.
6. If you move after submitting this Claim Form please notify the Claims Administrator of the change in your address. Otherwise you may not receive additional notices or payment.