

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

IN RE NIELSEN HOLDINGS PLC  
SECURITIES LITIGATION

Civil Action No. 1:18-cv-07143-JMF

**SUPPLEMENTAL DECLARATION OF STEPHANIE AMIN-GIWNER REGARDING:  
(A) MAILING OF THE NOTICE AND CLAIM FORM AND  
(B) REPORT ON REQUESTS FOR EXCLUSION**

I, Stephanie Amin-Giwner, declare and state as follows:

1. I am a Director employed by Epiq Class Action & Claims Solutions, Inc. (“Epiq”). Pursuant to the Court’s April 4, 2022 Order Granting Preliminary Approval of Class Action Settlement (the “Preliminary Approval Order”), Epiq was authorized to act as the Claims Administrator in connection with the Settlement of the above-captioned action.<sup>1</sup> I submit this declaration as a supplement to the previously filed declaration, the Declaration of Melissa M. Mejia Regarding: (A) Mailing of the Notice and Claim Form; (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion, dated June 14, 2022 (ECF. No. 146-4) (the “Initial Mailing Declaration”). The following statements are based on my personal knowledge and information provided by other Epiq employees working under my supervision and, if called on to do so, I could and would testify competently about them.

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<sup>1</sup> Unless otherwise defined herein, all capitalized terms have the same meaning as set forth in the Stipulation and Agreement of Settlement, dated as of March 15, 2022 (ECF No. 133-1) (the “Stipulation”).

**CONTINUED DISSEMINATION OF THE NOTICE PACKET**

2. Since the execution of the Initial Mailing Declaration, Epiq has continued to disseminate copies of the Notice of Pendency and Proposed Settlement of Class Action and Motion for Attorneys' Fees and Expenses (the "Notice") and the Proof of Claim and Release Form (the "Claim Form") (collectively, the Notice and Claim Form are referred to as the "Notice Packet") in response to requests from potential Settlement Class Members, brokers, and other nominees. Through July 5, 2022 Epiq has disseminated a total of 273,687 Notice Packets to potential Settlement Class Members and nominees.

**EXCLUSION REQUESTS**

3. Pursuant to the Preliminary Approval Order, Settlement Class Members who wish to be excluded from the Settlement Class were required to mail their written request to Epiq so that the request was received by June 29, 2022. As of the date of this Supplemental Declaration, Epiq has received an additional four (4) timely requests for exclusion. Copies of the four additional requests, with personal information redacted, are annexed as Exhibit A.

4. In total, seven (7) timely requests for exclusion have been received. Six (6) of the requests did not provide any information about the requester's transactions in Nielsen common stock.

5. Additionally, the Preliminary Approval Order directed Settlement Class Members to submit their objections to the Court and counsel for the Parties. Epiq has not received any misdirected objections as of the date of this Supplemental Declaration.

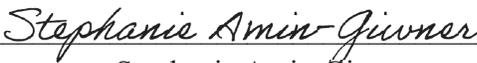
**CLAIMS INFORMATION TO DATE**

6. The claims filing deadline is July 15, 2022. As of July 5, 2022, Epiq has received approximately 11,063 claims. Of these, approximately 2,283 claims were submitted in hard copy or online using the Settlement website, and approximately 8,780 claims were filed electronically by

nominees or large investors. In Epiq's experience, the majority of claims are filed very shortly before or on the filing deadline, and claims will continue to be received and processed over the next several weeks.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct to the best of my knowledge.

Executed on July 6, 2022, at Nassau County, New York.

  
\_\_\_\_\_  
Stephanie Amin-Givner

## **Exhibit A**

## Nielsen Hldg\_Exclusion Request No. 4

Please remove my name(s) and exclude me from this litigation. Thank you.

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

Mary C. Ruth &  
Mary C. Ruth  
Executor for

IN RE NIELSEN HOLDINGS PLC  
SECURITIES LITIGATION

Civil Action No. 1:18-cv-07143-JMF  
Kedley M.  
Ruth who  
died 2-5-21

PROOF OF CLAIM AND RELEASE FORM

I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the class action entitled *In re Nielsen Holdings plc Securities Litigation*, Civil Action No. 1:18-cv-07143-JMF (S.D.N.Y.) (the "Action"), you must complete and, on page 5 below, sign this Proof of Claim and Release form ("Claim Form"). If you fail to submit a timely and properly addressed (as explained in paragraph 2 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

As you can see my address had changed per 6-10-22

2. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT [WWW.NIELSENSECURITIESSETTLEMENT.COM](http://WWW.NIELSENSECURITIESSETTLEMENT.COM) NO LATER THAN JULY 15, 2022 OR, IF MAILED, BE POSTMARKED NO LATER THAN JULY 15, 2022, ADDRESSED AS FOLLOWS:

Nielsen Securities Litigation  
c/o EPIQ  
PO Box 5890  
Portland, OR 97228-5890  
[www.NielsenSecuritiesSettlement.com](http://www.NielsenSecuritiesSettlement.com)  
(855) 662-0033

Mary C. Ruth

3. If you are a member of the Settlement Class and you do not timely request exclusion in response to the Notice dated April 18, 2022, you are bound by and subject to the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

Former Address: [Redacted]

II. CLAIMANT IDENTIFICATION

4. If you purchased or acquired shares of the publicly traded common stock of Nielsen Holdings plc ("Nielsen" or the "Company") during the period from February 11, 2016 through July 25, 2018, inclusive (the "Class Period"), and held the stock in your name, you are the beneficial owner as well as the record owner. If, however, you purchased or acquired Nielsen publicly traded common stock during the Class Period through a third party, such as a brokerage firm, you are the beneficial owner and the third party is the record owner.

5. Use Part I of this form entitled "Claimant Identification" to identify each beneficial owner of Nielsen publicly traded common stock that forms the basis of this claim, as well as the owner of record if different. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNERS OR THE LEGAL REPRESENTATIVE OF SUCH OWNERS.

6. All joint owners must sign this claim. Executors, administrators, guardians, conservators, legal representatives, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.



**III. IDENTIFICATION OF TRANSACTIONS**

7. Use **Part II** of this form entitled “Schedule of Transactions in Nielsen Publicly Traded Common Stock” to supply all required details of your transaction(s) in Nielsen publicly traded common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

8. On the schedules, provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of Nielsen publicly traded common stock, whether the transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

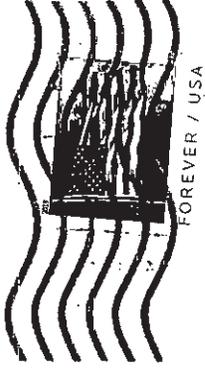
9. The date of covering a “short sale” is deemed to be the date of purchase of Nielsen publicly traded common stock. The date of a “short sale” is deemed to be the date of sale.

10. Copies of broker confirmations or other documentation of your transactions must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE PARTIES DO NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN NIELSEN PUBLICLY TRADED COMMON STOCK.**

11. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. (This is different than the online claim portal on the Settlement website.) All such claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Claims Administrator at (855) 662-0033 to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

08/10/22  
11:28 AM  
AG2012 v.06

Mary C. Ruth



10 JUN 2022 PM 2 L

Nielsen Securities Litigation  
c/o EPIQ  
P.O. Box 5890  
Portland, OR 97228-5890



97228-589050

## Nielsen Hldg\_Exclusion Request No. 5

June 14, 2022

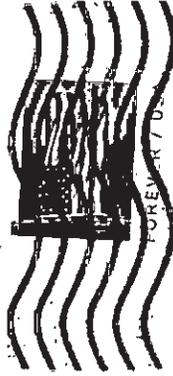
Dear Sir,

I request to be excluded from  
the Settlement Class in In re  
Nielson Holdings plc Sec. Litig.,  
No. 1:18-cv-07143-JMF (SD, N.Y.).

Thank you  
Edna R. Shuey TTEE  
Edna R. Shuey

[REDACTED]

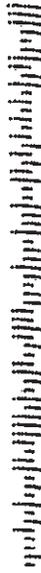
[REDACTED]



Ms. Edna R. Shuey

[Redacted]  
14 JUN 2022 PM 4 L

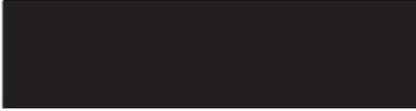
Nielsen Securities Litigation  
c/o Epiq  
P.O. Box 5890  
Portland OR 97228-5890



97228-589090

## Nielsen Hldg\_Exclusion Request No. 6

Hideo Koike



June 17, 2022

Nielsen Securities Litigation  
% Epig  
PO Box 5890  
Portland, OR 97228-5890

To whom it may concern:

I request to be "excluded from the Settlement Class in In re Nielsen Holdings pls Sec. Litig., No. 1:18-cv-07143-JMF(S.D.N.Y)".

Nme: Hideo Koike



# of shares of Nielsen publicity traded common stock the person purchased, acquired , and sold during the Class Period, as well as the dates and prices of each such purchase, acquisition, and the sale:

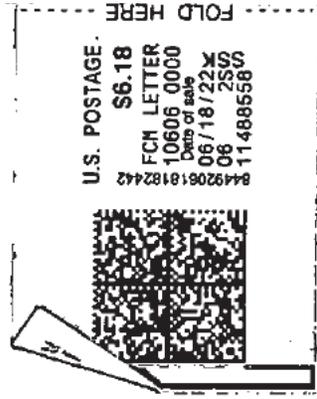
Unknown

Sincerely,

A handwritten signature in black ink, appearing to be "Hideo Koike", with a long horizontal flourish extending to the right.

Hideo Koike

Hideo Koike



Nielsen Securities Litigation

c/o Fig

PO Box 5890

Portland, OR 97228

## Nielsen Hldg\_Exclusion Request No. 7

**To Nielsen Securities Litigation**

**c/o Epiq**

**PO BOX 5890**

**Portland, Oregon 97228-5890**

Francesco Lamberti



June 20, 2022

Sir, Madam

I am here requesting to be excluded from the Settlement Class in *In re Nielsen Holdings plc Sec. Litig.*, No.1:18-cv-07143-JMF (S.D.N.Y).

Francesco Lamberti



I have no evidence or documentation stating that I, or anyone else on my behalf, have ever purchased, acquired, and sold any shares of Nielsen publicly traded common stock during the Class Period.

Best regards,

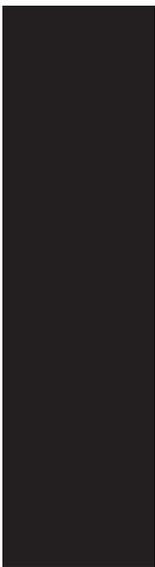
Francesco Lamberti

A handwritten signature in black ink that reads "Francesco Lamberti".

FRANCESCO LAMBERTI



21 JUN 2022 PM 6 L



NIELSEN SECURITIES LITIGATION

c/o EPA

PO Box 5890

97228-5890 PORTLAND OR 97228-5890