

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

ODS CAPITAL LLC, Individually and on Behalf
of All Others Similarly Situated,

Plaintiff,

vs.

JA SOLAR HOLDINGS CO., LTD., BAOFANG
JIN, and SHAOHUA JIA,

Defendants.

Case No. 1:18-cv-12083-ALC

CLASS ACTION

PROOF OF CLAIM AND RELEASE

A. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the class action entitled *ODS Capital LLC v. JA Solar Holdings Co., Ltd.*, No. 18-cv-12083 (ALC) (S.D.N.Y.) (the "Litigation"), you must complete and, on page 8 below, sign this Proof of Claim and Release form ("Claim Form"). If you fail to submit a timely and properly addressed (as explained in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the Settlement of the Action.

3. **THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.JASOLARSECURITIESSETTLEMENT.COM NO LATER THAN JULY 8, 2023 OR, IF MAILED, BE POSTMARKED OR RECEIVED NO LATER THAN JULY 8, 2023, ADDRESSED AS FOLLOWS:**

JA Solar Holdings Co., Ltd. Securities Settlement
c/o KCC Class Action Services
P.O. Box 301133
Los Angeles, CA 90030-1133
www.JASolarSecuritiesSettlement.com

4. If you are a member of the Settlement Class, and you do not timely request exclusion from the Settlement Class in response to the Notice dated April 18, 2023, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT. RECEIPT OF THIS CLAIM FORM DOES NOT MEAN YOU ARE A MEMBER OF THE SETTLEMENT CLASS.**

B. CLAIMANT IDENTIFICATION

1. You are eligible to file a claim, or have a legal representative file a claim for you, if you are a member of the Settlement Class, which is: all Persons who sold JA Solar Securities,¹ and were damaged thereby, during the period from November 20, 2017 through July 16, 2018 (the effective date of the Merger), inclusive, (the "Class Period") and all Persons whose JA Solar Securities were cancelled or tendered in exchange for the right to receive the Merger consideration. Excluded from the Settlement Class are: (i) Defendants; (ii) members of the Immediate Families of the Individual Defendants and the directors and officers of JA Solar; (iii) any person who was an officer or director of JA Solar during the Class Period; (iv) any entity in which any Defendant has or had a controlling interest; (v) the legal representatives, heirs, beneficiaries, successors-in-interest, or assigns of any such excluded person or entity in (i)-(iv), in their respective capacity as such; and (vi) any persons or entities who or which exclude themselves by submitting a timely and valid request for exclusion that is accepted by the Court. Additionally, the Settlement does not provide any recovery for alleged losses in connection with any JA Solar Securities that were the subject of a valid dissent from the Merger or that were part of the appraisal actions filed in the Cayman Islands arising out of the Merger.

2. If you held or sold JA Solar Securities in your name, you were the record owner as well as the beneficial owner. However, if you held or sold JA Solar Securities through a third party, such as a brokerage firm, you were the beneficial owner and the third party was the record owner.

¹ "JA Solar Securities" means JA Solar American Depositary Shares ("ADS") or ordinary shares and long position cash-settled equity swaps referencing JA Solar ADSs.

3. Use **Part I** of this form entitled "Claimant Identification" to identify each beneficial owner of JA Solar Securities whose ownership forms the basis of this claim. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER(S) OR THE LEGAL REPRESENTATIVE OF SUCH OWNER(S).** All joint owners must sign this claim.

4. Executors, administrators, guardians, conservators, custodians, trustees, and legal representatives must complete and sign this Claim Form on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of the claim or result in rejection of the claim.

C. IDENTIFICATION OF TRANSACTIONS

1. Use **Part II** of this form entitled "Schedule of Transactions in JA Solar ADS" to supply all required details of your transaction(s) in JA Solar ADSs. Use **Part III** of this form entitled "Schedule of Transactions in JA Solar Ordinary Shares" to supply all required details of your transaction(s) in JA Solar ordinary shares. Use **Part IV** of this form entitled "Schedule of Transactions in JA Solar Long Position Swaps" to supply all required details of your long position cash-settled equity swaps referencing JA Solar ADSs. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to: (i) your holdings of JA Solar Securities at the relevant times; and (ii) all of your purchases, sales, tenders/cancellations, closed positions in JA Solar Securities at the relevant times, whether such transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. Copies of broker confirmations or other documentation of your transactions in JA Solar Securities must be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. **THE CLAIMS ADMINISTRATOR DOES NOT HAVE INFORMATION ABOUT YOUR TRANSACTIONS IN JA SOLAR SECURITIES.**

NOTICE REGARDING ELECTRONIC FILING: Certain claimants with large numbers of transactions may request, either personally or through a legal representative ("Representative Filers"), to submit information regarding their transactions in electronic files. This is different than submitting your claim online using the Settlement website. All such claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at (833) 632-0286 or info@JASolarSecuritiesSettlement.com to obtain the required electronic spreadsheet for reporting your transactions. The Claims Administrator may also request that claimants with a large number of transactions file their claims electronically. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Official
Office
Use
Only

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
ODS Capital LLC v. JA Solar Holdings Co., Ltd.
No. 18-cv-12083 (ALC) (S.D.N.Y.)
PROOF OF CLAIM AND RELEASE

**Must Be Postmarked (if Mailed)
or Received (if Submitted Online)
No Later Than July 8, 2023**

JSR

Please Type or Print in the Boxes Below
Do NOT use Red Ink, Pencil, or Staples

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

PART I. CLAIMANT IDENTIFICATION

Last Name	M.I.	First Name
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
<input type="radio"/> Individual <input type="radio"/> Corporation <input type="radio"/> IRA/401K <input type="radio"/> Pension Plan <input type="radio"/> Estate <input type="radio"/> Trust <input type="radio"/> Other		
Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA		(specify)
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)		
Account#/Fund# (Not Necessary for Individual Filers)		

Last 4 Digits of Social Security Number (for individuals)	or	Taxpayer Identification Number (for estates, trusts, corporations, etc.)
Telephone Number (Primary Daytime)		Telephone Number (Alternate)
Email Address		

MAILING INFORMATION

Address		
Address (cont.)		
City	State	ZIP Code
Foreign Province	Foreign Postal Code	Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY	OB	CB	<input type="radio"/> ATP <input type="radio"/> KE <input type="radio"/> ICI	<input type="radio"/> BE <input type="radio"/> DR <input type="radio"/> EM	<input type="radio"/> FL <input type="radio"/> ME <input type="radio"/> ND	<input type="radio"/> OP <input type="radio"/> RE <input type="radio"/> SH	MM / DD / YYYY	FOR CLAIMS PROCESSING ONLY
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PART II. SCHEDULE OF TRANSACTIONS IN JA SOLAR ADS

1. **HOLDINGS AS OF CLOSE OF TRADING ON NOVEMBER 17, 2017.** Proof Enclosed? Y N
 State the total number of JA Solar ADSs held as of the close of trading on November 17, 2017. (Must be documented.) If none, write "zero" or "0."

2. **PURCHASES FROM AFTER THE CLOSE OF TRADING ON NOVEMBER 17, 2017 THROUGH JULY 16, 2018.**
 Separately list each and every purchase of JA Solar ADS from after the close of trading on November 17, 2017 through and including the close of trading on July 16, 2018. (Must be documented.)

PURCHASES												
		Date of Purchase (List Chronologically)				Number of ADSs Purchased		Purchase Price Per ADS	Total Purchase Price (Excluding taxes, interest, commissions, and fees)	Proof of Purchase Enclosed?		
		M	M	D	D	Y	Y	Y	Y			
1.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
2.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
3.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
4.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N

3. **SALES FROM AFTER THE CLOSE OF TRADING ON NOVEMBER 17, 2017 THROUGH JULY 16, 2018.**
 Separately list each and every sale of JA Solar ADS from after the close of trading on November 17, 2017 through and including the close of trading on July 16, 2018. (Must be documented.) IF NONE, FILL HERE:

SALES												
		Date of Sale (List Chronologically)				Number of ADSs Sold		Sale Price Per ADS	Total Sales Price (Excluding taxes, interest, commissions, and fees)	Proof of Sales Enclosed?		
		M	M	D	D	Y	Y	Y	Y			
1.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
2.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
3.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N
4.				/		/		\$		\$	00	<input type="radio"/> Y <input type="radio"/> N

4. **HELD AS OF THE CLOSE OF TRADING ON JULY 16, 2018 AND TENDERED/CANCELLED IN EXCHANGE FOR MERGER CONSIDERATION.** Proof Enclosed? Y N
 State the total number of JA Solar ADSs tendered/cancelled on or after July 16, 2018 in exchange for the Merger consideration. (Must be documented.) If none, write "zero" or "0."

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS PLEASE PHOTOCOPY THIS PAGE,
 WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:



PART III. SCHEDULE OF TRANSACTIONS IN JA SOLAR ORDINARY SHARES

1. **HOLDINGS AS OF CLOSE OF TRADING ON NOVEMBER 17, 2017.** Proof Enclosed? Y N
 State the total number of JA Solar ordinary shares held as of the close of trading on November 17, 2017. (Must be documented.) If none, write "zero" or "0."

2. **PURCHASES FROM AFTER THE CLOSE OF TRADING ON NOVEMBER 17, 2017 THROUGH JULY 16, 2018.**
 Separately list each and every purchase of JA Solar ordinary shares from after the close of trading on November 17, 2017 through and including the close of trading on July 16, 2018. (Must be documented.)

PURCHASES												
		Date of Purchase (List Chronologically)				Number of Ordinary Shares Purchased		Purchase Price Per Share	Total Purchase Price (Excluding taxes, interest, commissions, and fees)	Proof of Purchase Enclosed?		
		M	M	D	D	Y	Y	Y	Y			
1.				/			/					<input type="radio"/> Y <input type="radio"/> N
2.				/			/					<input type="radio"/> Y <input type="radio"/> N
3.				/			/					<input type="radio"/> Y <input type="radio"/> N
4.				/			/					<input type="radio"/> Y <input type="radio"/> N

3. **SALES FROM AFTER THE CLOSE OF TRADING ON NOVEMBER 17, 2017 THROUGH JULY 16, 2018.**
 Separately list each and every sale of JA Solar ordinary shares from after the close of trading on November 17, 2017 through and including the close of trading on July 16, 2018. (Must be documented.) IF NONE, FILL HERE:

SALES												
		Date of Sale (List Chronologically)				Number of Ordinary Shares Sold		Sale Price Per Share	Total Sales Price (Excluding taxes, interest, commissions, and fees)	Proof of Sales Enclosed?		
		M	M	D	D	Y	Y	Y	Y			
1.				/			/					<input type="radio"/> Y <input type="radio"/> N
2.				/			/					<input type="radio"/> Y <input type="radio"/> N
3.				/			/					<input type="radio"/> Y <input type="radio"/> N
4.				/			/					<input type="radio"/> Y <input type="radio"/> N

4. **HELD AS OF THE CLOSE OF TRADING ON JULY 16, 2018 AND TENDERED/CANCELLED IN EXCHANGE FOR MERGER CONSIDERATION.** Proof Enclosed? Y N
 State the total number of JA Solar ordinary shares tendered/cancelled on or after July 16, 2018 in exchange for the Merger consideration. (Must be documented.) If none, write "zero" or "0."

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS PLEASE PHOTOCOPY THIS PAGE,
 WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:



PART V – SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

YOU MUST READ AND SIGN THE RELEASE BELOW.

FAILURE TO SIGN MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

1. I (We) submit this Claim Form under the terms of the Stipulation and Agreement of Settlement, dated January 23, 2023 (the "Stipulation"). I (We) also submit to the jurisdiction of the United States District Court for the Southern District of New York, with respect to my (our) claim as a Settlement Class Member(s) and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Claims Administrator to support this claim (including transactions in other JA Solar securities) if requested to do so. I (We) have not submitted any other claim in the Action covering the same transactions in JA Solar Securities during the relevant periods and know of no other person having done so on my (our) behalf.

2. I (We) hereby warrant and represent that I am (we are) a Settlement Class Member as defined above, and that I am (we are) not excluded from the Settlement Class.

3. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Plaintiffs' Claims each and all of the Released Defendant Parties, both as defined in the Stipulation. This release shall be of no force or effect unless and until the Court approves the Settlement and the Settlement becomes effective on the Effective Date (as defined in the Stipulation).

4. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

5. I (We) hereby warrant and represent that I (we) have included the information requested about all of my (our) transactions in JA Solar Securities which are the subject of this claim, as well as the opening and closing positions in such securities held by me (us) on the dates requested in this Claim Form.

6. I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code. (Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

Executed this _____ day of _____ in _____
(Month/Year) (City/State/Country)

(Signature of Claimant)

(Signature of Joint Claimant, if any)

(Print Name of Claimant)

(Print Name of Joint Claimant, if any)

(Capacity of person(s) signing, e.g.,
Beneficial Purchaser or Acquirer, Executor or Administrator)

(Capacity of person(s) signing, e.g.,
Beneficial Purchaser or Acquirer, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

- 1. Please sign the above release and acknowledgment.
- 2. If this claim is being made on behalf of Joint Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. **Do not send** originals of certificates.
- 5. Keep a copy of your Claim Form and all supporting documentation for your records.
- 6. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
- 7. If you move, please send your new address to the address below.
- 8. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.

**THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN JULY 8, 2023,
ADDRESSED AS FOLLOWS:**

JA Solar Holdings Co., Ltd. Securities Settlement
c/o KCC Class Action Services
P.O. Box 301133
Los Angeles, CA 90030-1133
www.JASolarSecuritiesSettlement.com
(833) 632-0286

