

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

VANCOUVER ALUMNI ASSET HOLDINGS INC.,
Individually and on Behalf of All Others Similarly
Situated,

Plaintiffs,

v.

DAIMLER AG, DIETER ZETSCHKE, BODO UEBBER,
and THOMAS WEBER,
Defendants.

Case No. 16-cv-02942-DSF-KS

Judge: Hon. Dale S. Fischer

MARIA MUNRO, Individually and on Behalf of All
Others Similarly Situated,

Plaintiffs,

v.

DAIMLER AG, DIETER ZETSCHKE, BODO UEBBER,
and THOMAS WEBER,
Defendants.

Case No. 16-cv-03412-DSF-KS

PROOF OF CLAIM AND RELEASE

I. GENERAL INSTRUCTIONS

1. To recover as a member of the Settlement Class based on your claims in the action entitled *Vancouver Alumni Asset Holdings, Inc. v. Daimler AG, et al.*, No. 2:16-cv-02942-DSF-KS (C.D. Cal.) (the “Action”), you must complete and, on page 6 below, sign this Proof of Claim and Release form (“Claim Form”). If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the settlement of the Action.

3. **THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.DAIMLERSECURITIESSETTLEMENT.COM NO LATER THAN DECEMBER 7, 2020 OR, IF MAILED, BE POSTMARKED OR RECEIVED NO LATER THAN DECEMBER 7, 2020, ADDRESSED AS FOLLOWS:**

Daimler AG Securities Litigation
Claims Administrator
c/o A.B. Data, Ltd.
P.O. Box 173112
Milwaukee, WI 53217

If you are NOT a member of the Settlement Class (as defined in the Notice of Pendency of Class Action, Proposed Settlement, and Motion for Attorneys’ Fees and Expenses (“Notice”), which accompanies this Claim Form) DO NOT submit a Claim Form.

4. If you are a member of the Settlement Class and you did not timely request exclusion in response to the Notice dated October 6, 2020, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM.

II. CLAIMANT IDENTIFICATION

1. If you purchased or otherwise acquired Daimler AG (“Daimler”) American Depositary Receipts and/or Global Registered Shares, **in the United States**, during the period from February 22, 2012 through April 21, 2016, inclusive (the “Class Period”) and held the shares in your name, you are the beneficial purchaser as well as the record purchaser. If, however, you purchased or otherwise acquired

Daimler American Depositary Receipts and/or Global Registered Shares, in the United States, during the Class Period through a third party, such as a brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.

2. Use Part I of this form entitled “Claimant Identification” to identify each beneficial purchaser or acquirer of Daimler American Depositary Receipts and/or Global Registered Shares, **in the United States**, during the Class Period that forms the basis of this claim, as well as the purchaser or acquirer of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S).**

3. All joint purchasers must sign this Claim Form. Executors, administrators, guardians, conservators, and trustees must complete and sign this Claim Form on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

III. IDENTIFICATION OF TRANSACTIONS

1. Use Part II of this form entitled “Schedule of U.S Transactions in Daimler American Depositary Receipts” to supply all required details of your transaction(s) in Daimler American Depositary Receipts in the United States. Use Part III of this form entitled “Schedule of U.S. Transactions in Daimler Global Registered Shares” to supply all required details of your transaction(s) in Daimler Global Registered Shares in the United States. Shares traded as DAI or DMLRY or outside the United States are not eligible. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to: (i) all of your U.S. holdings of Daimler American Depositary Receipts and/or Global Registered Shares as of the beginning of trading on February 22, 2012; (ii) all of your U.S. purchases and acquisitions of Daimler American Depositary Receipts and/or Global Registered Shares which took place at any time from February 22, 2012 through April 21, 2016; (iii) all of your U.S. sales of Daimler American Depositary Receipts and/or Global Registered Shares which took place at any time from February 22, 2012 through July 20, 2016; and (iv) all of your U.S. holdings in Daimler American Depositary Receipts and/or Global Registered Shares as of the close of trading on July 20, 2016, whether such purchases, acquisitions, sales or transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. The date of covering a “short sale” is deemed to be the date of purchase of Daimler American Depositary Receipts and/or Global Registered Shares. The date of a “short sale” is deemed to be the date of sale of Daimler American Depositary Receipts and/or Global Registered Shares.

4. Copies of broker confirmations or other documentation of your transactions in Daimler American Depositary Receipts and/or Global Registered Shares should be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. The Parties do not have information about your transactions in Daimler American Depositary Receipts and/or Global Registered Shares.

5. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at (877) 883-9246 or visit www.DaimlerSecuritiesSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

PART I – CLAIMANT IDENTIFICATION

The Claims Administrator will use this information for all communications regarding this Claim Form. If this information changes, you MUST notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

First Name[illegible]**Last Name**[illegible]

Joint Beneficial Owner's Name (if applicable)

First Name[illegible]

Last Name

[illegible]

If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA).

Entity Name (if the Beneficial Owner is not an individual)

[illegible]

Name of Representative, if applicable (executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner

[illegible]**Last 4 digits of Social Security Number or Taxpayer Identification Number**

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Street Address[illegible]

City

[illegible]

State/Province Zip Code

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Foreign Postal Code (if applicable)[illegible]**Foreign Country (if applicable)**[illegible]**Telephone Number (Day)**

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Telephone Number (Evening)

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Email Address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim):

[illegible]**Type of Beneficial Owner:**

Specify one of the following:

- | | | | | |
|--------------------------|----------------------|--------------------------|-------------------------|--|
| ☐ | Individual(s) | ☐ | Corporation | ☐ UGMA Custodian |
| ☐ | IRA | ☐ | Partnership | ☐ Estate |
| ☐ | Trust | ☐ | Other (describe) | |

PART II – SCHEDULE OF U.S. TRANSACTIONS IN DAIMLER AMERICAN DEPOSITORY RECEIPTS

1. BEGINNING HOLDINGS – State the total number of shares of Daimler American Depositary Receipts held as of the opening of trading on February 22, 2012. (Shares traded as DMLRY or outside the United States are not eligible.) If none, write “0” or “Zero.” (Must be documented.) _____				Confirm Proof of Position Enclosed ☐
2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase/acquisition of Daimler American Depositary Receipts as of the opening of trading on February 22, 2012 through and including the close of trading on April 21, 2016. (Shares traded as DMLRY or outside the United States are not eligible.) (Must be documented.)				
Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)	Confirm Proof of Purchase Enclosed
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of shares of American Depositary Receipts purchased/acquired in the United States from after the opening of trading on April 22, 2016 through the close of trading on July 20, 2016. ¹ (Must be documented.) _____				
4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale/disposition of American Depositary Receipts in the United States from after the opening of trading on February 22, 2012 through the close of trading on July 20, 2016. (Must be documented.)				IF NONE, CHECK HERE ☐
Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)	Confirm Proof of Sale Enclosed
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
5. ENDING HOLDINGS – State the total number of shares of American Depositary Receipts held in the United States as of the close of trading on July 20, 2016. If none, write “0” or “Zero.” (Must be documented.) _____				Confirm Proof of Position Enclosed ☐
IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, PLEASE PHOTOCOPY THIS PAGE, WRITE YOUR NAME, AND CHECK THIS BOX: ☐				

¹ Information requested in this Claim Form with respect to your transactions from the opening of trading on April 22, 2016 through the close of trading on July 20, 2016, is needed only in order to balance your claim. Purchases/acquisitions/sales during this period are not eligible to participate in the Settlement because they are outside the Class Period.

PART III – SCHEDULE OF U.S. TRANSACTIONS IN DAIMLER GLOBAL REGISTERED SHARES

1. BEGINNING HOLDINGS – State the total number of shares of Daimler Global Registered Shares held as of the opening of trading on February 22, 2012. (Shares traded as DAI or outside the United States are not eligible.) If none, write “0” or “Zero.” (Must be documented.) _____				Confirm Proof of Position Enclosed ☐
2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase/acquisition of Daimler Global Registered Shares as of the opening of trading on February 22, 2012 through the close of trading on April 21, 2016. ² (Shares traded as DAI or outside the United States are not eligible.) (Must be documented.)				
Date of Purchase (List Chronologically) (Month/Day/Year)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)	Confirm Proof of Purchase Enclosed
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of shares of Global Registered Shares purchased/acquired in the United States from after the opening of trading on April 22, 2016 through the close of trading on July 20, 2016. (Must be documented.) _____				
4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale/disposition of Global Registered Shares in the United States from after the opening of trading on February 22, 2012 through the close of trading on July 20, 2016. (Must be documented.)				IF NONE, CHECK HERE ☐
Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)	Confirm Proof of Sale Enclosed
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
/ /		\$	\$	☐
5. ENDING HOLDINGS – State the total number of shares of Global Registered Shares in the United States held as of the close of trading on July 20, 2016. If none, write “0” or “Zero.” (Must be documented.) _____				Confirm Proof of Position Enclosed ☐
IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, PLEASE PHOTOCOPY THIS PAGE, WRITE YOUR NAME, AND CHECK THIS BOX: ☐				

² Information requested in this Claim Form with respect to your transactions from the opening of trading on April 22, 2016 through the close of trading on July 20, 2016, is needed only in order to balance your claim. Purchases/acquisitions/sales during this period are not eligible to participate in the Settlement because they are outside the Class Period.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

By signing and submitting this Claim Form, the claimant(s) or the person(s) acting on behalf of the claimant(s) certify(ies) that: I (We) submit this Claim Form under the terms of the Plan of Allocation of Net Settlement Fund described in the accompanying Notice. I (We) also submit to the jurisdiction of the United States District Court for the Central District of California (the "Court") with respect to my (our) claim as a Settlement Class Member(s) and for purposes of enforcing the releases set forth herein. I (We) further acknowledge that I (we) will be bound by the terms of any judgment entered in connection with the Settlement in the Action, including the releases set forth therein. I (We) agree to furnish additional information to the Claims Administrator to support this claim, such as additional documentation for transactions in Daimler American Depositary Receipts and/or Global Registered Shares, if required to do so. I (We) have not submitted any other claim covering the same transactions in Daimler American Depositary Receipts and/or Global Registered Shares, in the United States, during the Class Period and know of no other person having done so on my (our) behalf.

V. RELEASES, WARRANTIES, AND CERTIFICATION

1. I (We) hereby warrant and represent that I am (we are) a Settlement Class Member as defined in the Notice, that I am (we are) not excluded from the Settlement Class, that I am (we are) not one of the "Released Defendant Parties" as defined in the accompanying Notice.
2. As a Settlement Class Member, I (we) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge with prejudice the Released Claims as to each and all of the Released Defendant Parties (as these terms are defined in the accompanying Notice). This release shall be of no force or effect unless and until the Court approves the Settlement and it becomes effective on the Effective Date.
3. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
4. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions and sales and other transactions in Daimler American Depositary Receipts and/or Global Registered Shares, in the United States, that occurred during the Class Period and the number of securities held by me (us), to the extent requested.
5. I (We) certify that I am (we are) NOT subject to backup tax withholding. (If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I (We) declare that all of the foregoing information supplied by the undersigned is true and correct.

Executed this _____ day of _____, in _____, _____.
(Month / Year) (City) (State/Country)

Signature of Claimant

Print Name of Claimant

Signature of Joint Claimant, if any

Print Name of Joint Claimant, if any

Signature of person signing on behalf of Claimant, if any

Print Name of person signing on behalf of Claimant, if any

Capacity of person(s) signing, on behalf of Claimant, if other than an individual (e.g., Administrator, Executor, Trustee, President, Custodian, Power of Attorney, etc.).

Reminder Checklist:

1. Please sign this Claim Form.
2. DO NOT HIGHLIGHT THE CLAIM FORM OR YOUR SUPPORTING DOCUMENTATION.
3. Attach only copies of supporting documentation as these documents will not be returned to you.
4. Keep a copy of your Proof of Claim for your records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. **Your claim is not deemed submitted until you receive an acknowledgment postcard.** If you do not receive an acknowledgment postcard within 60 days, please call the Claims Administrator toll free at 877-883-9246.
6. If you move after submitting this Claim Form please notify the Claims Administrator of the change in your address, otherwise you may not receive additional notices or payment.