

LIONTRUST ADDITIONAL PERMITTED SUBSCRIPTION (APS) ISA APPLICATION FORM

Application Form (Stocks and Shares only)

For a Liontrust APS ISA to invest into the Liontrust Funds

Additional permitted subscriptions (APS) on top of the annual ISA subscription limit are available to the surviving spouse or civil partner of an ISA investor who passed away on or after 3 December 2014.

Please complete, where relevant, ALL sections of this Application Form by hand in BLOCK CAPITALS and black ink. Please note that all signature sections will need to be signed by hand. Return completed forms should be sent to Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ. If you have an authorised financial advisor they may require you to send the form to them in the first instance.

If you have any queries, please contact our Customer Services Team:

Telephone: 0344 892 0349 (overseas +44 203 528 4110)

Facsimile: 0207 964 2562

E-mail: liontrustadmin@bnymellon.com Website: www.liontrust.co.uk

Before you complete and sign the form, please make sure you have received and read and understood the information and risks presented on an up-to-date version of the Key Investor Information Document ('KIID') for each Fund in which you want to invest, as well as the Supplementary Information Document ('SID') which provides general guidance. These important documents are available from Liontrust Fund Partners LLP or can be downloaded from our website at www.liontrust.co.uk.

This Form can be used if you are a surviving spouse and you wish to establish your APS ISA with Liontrust Fund Partners LLP Limited and to make lump sum investment(s), from your own resources, in the Liontrust Funds up to the permitted APS allowance (i.e. the value of the ISAs belonging to your late spouse(*) at the date of death).

Please complete Parts 1, 2, 3 and 4 below and return this form to us together with your cheque for the amount to be subscribed. However, please only do this AFTER we have written to you to you, your confirmation of your Additional Permitted Subscription allowance.

You may make subsequent investments (to utilise up to your full APS allowance) in the Liontrust Funds after submitting this Application Form. To do this you will need to write to Liontrust. You must do this within the required time limits, i.e. within 3 years of the date of death of your spouse, or if later, within 180 days of the completion of the administration of their estate.

Please be aware that once a subscription to an APS allowance has been made, any future additional subscriptions under that APS allowance MUST be made to that same ISA manager (i.e. Liontrust Fund Partners LLP) and be accompanied by an appropriate Application Form (which includes a properly completed APS Subscription Eligibility Declaration).

Please note if multiple ISAs were held by the deceased with Liontrust and/or any other ISA Plan Manager at the time of death their value will be combined to form one APS allowance.

(*) references to 'spouse' also include civil partner.

1 APPLICATION TO OPEN A LIONTRUST APS ISA

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

a) Your Personal Details

TITLE (MR / MRS / MISS / OTHER)	SURNAME	FORENAMES (IN FULL)
<input type="text"/>	<input type="text"/>	<input type="text"/>
FULL PERMANENT ADDRESS		
<input type="text"/>		
TOWN / CITY		POSTCODE
<input type="text"/>		<input type="text"/>
TELEPHONE NUMBER	EMAIL ADDRESS	
<input type="text"/>	<input type="text"/>	
DATE OF BIRTH	NATIONALITY	
<input type="text"/>	<input type="text"/>	
NATIONAL INSURANCE NUMBER (NINO)*		
<input type="text"/>		

If you do not have a NINO, please tick this box: ☐

*You should be able to find your NI number on a payslip, P45 or P60 form, a letter from the HM Revenue & Customs, a letter from the DWP or pension order book.

If you have an existing Liontrust ISA, please insert your account number here:

1 APPLICATION TO OPEN A LIONTRUST APS ISA (CONTINUED)

You must complete this section. All fields within this section are mandatory, your application form cannot be processed without this information.

b) Details of the Deceased

TITLE (MR / MRS / MISS / OTHER)	SURNAME	FORENAMES (IN FULL)
<input type="text"/>	<input type="text"/>	<input type="text"/>
FULL PERMANENT ADDRESS		
<input type="text"/>		
TOWN / CITY		POSTCODE
<input type="text"/>		<input type="text"/>
TELEPHONE NUMBER	EMAIL ADDRESS	
<input type="text"/>	<input type="text"/>	
DECEASED DATE OF BIRTH	DATE OF DEATH	
<input type="text" value="DD / MM / YYYY"/>	<input type="text" value="DD / MM / YYYY"/>	
DATE OF MARRIAGE OR CIVIL PARTNERSHIP BETWEEN THE INVESTOR AND THE DECEASED		<input type="text" value="DD / MM / YYYY"/>
<p>Did the deceased spouse hold a Liontrust ISA at the date of death? If yes, please insert the deceased spouse's Liontrust ISA.</p>		
ACCOUNT NUMBER(S)		
<input type="text"/>		<input type="text"/>
NATIONAL INSURANCE NUMBER (NINO)*		
<input type="text"/>		If you do not have a NINO for the deceased, please tick this box: <input type="checkbox"/>

2 DATA PROTECTION

Please note that any information you provide us with will be stored and processed electronically. Your name will be added to a mailing list which may be used to inform you of any new or existing Liontrust products. We will not transfer data outside the Liontrust Fund Partners LLP, its associated companies or agents.

If you prefer not to receive such details, please tick this box: ☐

3 REDEMPTION PROCEEDS

Complete this section if you want any future redemption proceeds to be paid directly to your Bank of Building Society.

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY WHERE YOU WANT PROCEEDS PAID	BRANCH SORT CODE
<input type="text"/>	<input type="text"/>
<input type="text"/>	BANK OR BUILDING SOCIETY ACCOUNT NUMBER
<input type="text"/>	<input type="text"/>
<input type="text"/>	NAME(S) OF ACCOUNT HOLDER(S)
<input type="text"/>	<input type="text"/>
POSTCODE	BUILDING SOCIETY ROLL NUMBER
<input type="text"/>	<input type="text"/>

4 APS ISA DECLARATION

This section must be completed by hand.

By signing below, you (the investor) declare that:

- I am the surviving spouse / civil partner of the deceased.
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of death of the deceased (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down).
- This subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription).
- The subscription is being made as a cash subscription, within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate.
- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over.

By signing below, you (the investor) declare that:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

This application is subject to Liontrust's ISA terms and conditions, our standard client ISA agreement upon which we intend to rely. For your own benefit and protection, you should read these terms carefully before signing this application form. If you do not understand any points, please ask for further information.

(i) I declare that this application form has been completed to the best of my knowledge and belief and that I have received and read the current applicable Key Investor Information Document(s) and Supplementary Information Document, including the Data Protection Policy, which are important because they form a summary of the basis on which the service is provided.

SIGNATURE

DATE

DD / MM / YYYY

Please confirm whether you are a Politically Exposed Person*. ☐

* Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. A non-exhaustive list of examples includes; members of parliament, high ranking officers in the armed forces, members of the administrative, management or supervisory boards of government or state-owned enterprises, senior figures in international organisations.

5 INCOME AND ACCUMULATION CLASSES

There are two types of distribution classes, Income or Accumulation. (Please note, not all funds have both types of class available)

- Liontrust funds offering Income units/shares - distribution income can be paid to you if you supply your UK bank details to us, or will be automatically reinvested where no bank details are held
- Liontrust funds offering Accumulation units/shares - distribution income will be reflected in the daily fund price.
- Some funds issue both Income and Accumulation units/shares. (Please note, where no bank details are provided, the default will be to invest into an Accumulation as a default)

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY
WHERE YOU WANT PROCEEDS PAID

POSTCODE

BRANCH SORT CODE

BANK OR BUILDING SOCIETY ACCOUNT NUMBER

NAME(S) OF ACCOUNT HOLDER(S)

BUILDING SOCIETY ROLL NUMBER

6 INTERMEDIARY DETAILS

This section only needs to be completed if you have an appointed financial adviser that has given you advice in regard to the ISA being opened.

By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business. The most recent version is available on the home page at www.liontrust.co.uk.

LIONTRUST ADVISER CODE (IF KNOWN) AND FCA NUMBER

SIGNATURE / STAMP

Tick here if investment advice has been provided and paid for in relation to this investment and if cancellation rights apply. ☐

Tick here if acting on an execution only basis. ☐

7 INVESTMENT DETAILS

Please indicate how you would like to subscribe. Please be aware that once a subscription has been made with this APS allowance, any future subscriptions in respect of this APS allowance must be made to Liontrust and be accompanied by a new application form.

Option 1 – Re-register the existing holdings from the deceased Investor's Liontrust ISA. ☐

This option is only available if you have inherited the ISA assets and we receive a Probate and authorisation from the estate Executors for the re-registration.

Option 2 – Sell the deceased investor's ISA holdings and reinvest the proceeds. ☐

Please complete your investment choices below. In addition to this application form we require a Probate and authorisation from the estate Executors authorising the sell and reinvest.

Option 3 – Subscribe in cash. ☐

Please complete your investment choices below and enclose a cheque made payable to 'Liontrust Fund Partners LLP'.

Please enter below the Fund name(s) and class type(s) that you wish to invest in and the amount(s) to be invested. If you do not specify this information your application may be rejected. The maximum subscription amounts for your Liontrust APS ISA will be the total of your APS allowance. The minimum initial investment amount is £1,000.

7 INVESTMENT DETAILS (CONTINUED)

Please indicate below the fund(s) and the amount(s) you wish to invest. See Liontrust Fund Prospectuses available at www.liontrust.co.uk for further details.

Liontrust Unit Trust Funds

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust European Dynamic Fund Class B	Income		
Liontrust Special Situations Fund Class B	Income		
Liontrust Special Situations Fund Class A ^(a)	Income		
Liontrust UK Growth Fund Class B	Income		
Liontrust UK Micro-Cap Fund Class I	Accum		
Liontrust UK Smaller Companies Fund Class R	Income		

Note: (a) Advised IFA introduced Business only.

Liontrust Sustainable Future Funds ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust SF Cautious Managed Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 6	Accum		
Liontrust SF Defensive Managed Fund Class 2	Income		
Liontrust SF European Growth Fund Class 2	Accum		
Liontrust SF Global Growth Fund Class 2	Accum		
Liontrust SF Managed Fund Class 2	Income		
Liontrust SF Managed Fund Class 6	Accum		
Liontrust SF Managed Growth Fund Class 2 Net	Accum		
Liontrust SF UK Growth Fund Class 2	Accum		
Liontrust UK Ethical Fund Class 2	Accum		

Liontrust Investment Funds ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust SF Monthly Income Bond Fund Class B	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Income		
Liontrust Strategic Bond Fund Class B	Accum		
Liontrust Strategic Bond Fund Class B	Income		

Liontrust Global Innovation Funds

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Global Dividend Fund Class B	Income		
Liontrust Global Innovation Fund Class B	Accum		
Liontrust Global Innovation Fund Class B	Income		
Liontrust Global Technology Fund Class C	Accum		

Liontrust Global Fundamental Funds*

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Balanced Fund Class B	Accum		
Liontrust Balanced Fund Class B	Income		
Liontrust China Fund Class B	Accum		
Liontrust Emerging Markets Fund Class C	Accum		
Liontrust Global Alpha Fund Class B	Accum		
Liontrust Global Smaller Companies Fund Class C	Accum		
Liontrust Income Fund Class B	Accum		
Liontrust Income Fund Class B	Income		
Liontrust India Fund Class C	Accum		
Liontrust Japan Equity Fund Class B Hedged	Accum		
Liontrust Latin America Fund Class C	Accum		
Liontrust Russia Fund Class B**	Accum		
Liontrust US Opportunities Fund Class B	Accum		

Note: *This list refers to what was previously the Global Equity funds. As of 8th February 2023, the Global Equity funds consolidated with the Global Fundamental Funds. ** Liontrust, in agreement with the depositary and with the knowledge of our regulator, the Financial Conduct Authority (FCA), has made the decision to temporarily suspend dealing in the Russia Fund. Liontrust will keep the suspension under continual review.

7 INVESTMENT DETAILS (CONTINUED)

Liontrust Multi-Asset Investments ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust MA Explorer 100 Fund Class A	Accum		
Liontrust MA Explorer 85 Fund Class A	Income		
Liontrust MA Explorer 85 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Income		
Liontrust MA Explorer Income 45 Fund Class A	Income		
Liontrust MA Explorer Income 45 Fund Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Income		

Liontrust Multi-Asset Investments ICVC II

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust MA Blended Growth Fund Class A	Accum		
Liontrust MA Blended Intermediate Fund Class A	Income		
Liontrust MA Blended Intermediate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Income		
Liontrust MA Blended Progressive Fund Class A	Accum		
Liontrust MA Blended Reserve Fund Class A	Income		
Liontrust MA Blended Reserve Fund Class A	Accum		
Liontrust MA Monthly High Income Fund Class A	Income		
Liontrust MA Monthly High Income Fund Class A	Accum		
Liontrust MA UK Equity Fund Class A	Accum		
Liontrust MA UK Equity Fund Class A	Income		

Liontrust Multi-Asset Global Solutions ICVC

	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust MA Explorer 35 Fund Class A	Income		
Liontrust MA Explorer 35 Fund Class A	Accum		
Liontrust MA Diversified Real Assets Class D	Accum		
Liontrust MA Diversified Real Assets Class D	Income		
Liontrust MA Dynamic Passive Adventurous Class A	Accum		
Liontrust MA Dynamic Passive Growth Class A	Accum		
Liontrust MA Dynamic Passive Intermediate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Income		
Liontrust MA Dynamic Passive Progressive Class A	Accum		
Liontrust MA Dynamic Passive Prudent Class A	Accum		
Liontrust MA Dynamic Passive Reserve Class A	Accum		
Overall Total Investment			

If you wish to save regularly you must complete the Direct Debit Mandate. Direct Debits are collected from your bank or building society account on 15th of each month (or next business day). Please add together any amounts entered above in the total box. Please make your cheque payable to Liontrust Fund Partners Limited.