

LIONTRUST ISA APPLICATION FORM

Please complete, where relevant, ALL sections of this Application Form by hand in BLOCK CAPITALS and black ink. Please note that all signature sections will need to be signed by hand. Return completed forms should be sent to Liontrust Fund Partners LLP, PO Box 373, Darlington, DL1 9RQ. If you have an authorised financial advisor they may require you to send the form to them in the first instance.

If you have any queries, please contact our Customer Services Team:

Telephone: 0344 892 0349 (overseas +44 203 528 4110)

Facsimile: 0207 964 2562

E-mail: liontrustadmin@bnymellon.com Website: www.liontrust.co.uk

Before you complete and sign the form, please make sure you have received and read and understood the information and risks presented on an up-to-date version of the Key Investor Information Document ('KIID') for each Fund in which you want to invest, as well as the Supplementary Information Document ('SID') which provides general guidance. These important documents are available from Liontrust Fund Partners LLP or can be downloaded from our website at www.liontrust.co.uk.

I declare that I have read and understood the information and risks presented on the Key Investor Information Document (KIID) for each Fund in which you want to invest, as well as the Supplementary Information Document ('SID') which provides general guidance. These important documents are available from Liontrust Fund Partners LLP or can be downloaded from our website at www.liontrust.co.uk. ☐

1 REGISTRATION DETAILS

TITLE (MR / MRS / MISS / OTHER)

SURNAME

FORENAMES (IN FULL)

FULL PERMANENT ADDRESS

TOWN / CITY

POSTCODE

TELEPHONE NUMBER

EMAIL ADDRESS

DATE OF BIRTH

DD / MM / YYYY

NATIONAL INSURANCE NUMBER (NINO)*

*If you do not have a NINO, please tick this box: ☐

If you have an existing Liontrust ISA, please insert your account number here:

LIONTRUST ISA APPLICATION FORM

2 INDICATE REDEMPTION PAYMENT INSTRUCTIONS

We are able to hold information on our system to enable us to pay the proceeds of future redemptions to your bank account without charge, but we need verify ownership of the account first. To enable us to do this please forward an original or certified copy of:

- The unit/shareholder's bank statement
- No more than 3 months old
- Showing the account details, a paying in slip, a cancelled cheque, or a letter from the unit or shareholder's bank confirming the account details.

Please note that all payments will be made in the name of the registered unit/shareholder only, no third-party payment will be made. Any omission to complete these details for redemption requests could result in payment being issued by cheque on settlement date, subject to all anti-money laundering requirements being settled.

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY WHERE YOU WANT PROCEEDS PAID

BRANCH SORT CODE

BANK OR BUILDING SOCIETY ACCOUNT NUMBER

NAME(S) OF ACCOUNT HOLDER(S)

POSTCODE

BUILDING SOCIETY ROLL NUMBER

3 OTHER IMPORTANT INFORMATION

It is important to remember that the price of units or shares, and the income from them, can fall as well as rise and are not guaranteed and that investors may not get back the amount originally invested. Past performance is not a guide to future performance. The issue of units or shares in the Funds within the Liontrust ISA may be subject to an initial charge, which is likely to have an impact on the realisable value of the investment, particularly in the short term. You should always regard stock market investment as long-term.

4 TAX

The levels and bases of, and reliefs from, taxation can change under future legislation and the value of such relief depends upon the investor. Any statement on taxation in any documentation pertaining to this ISA is based on our understanding of current legislation and tax practice at the time of issue.

5 INTERMEDIARY DETAILS (IF APPLICABLE)

This section only needs to be completed if you have an appointed financial adviser that has given you advice in regard to the ISA being opened.

By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our Intermediary Terms of Business. The most recent version is available on the home page at www.liontrust.co.uk.

LIONTRUST ADVISER CODE (IF KNOWN) AND FCA NUMBER

SIGNATURE / STAMP

Tick here if investment advice has been provided and paid for in relation to this investment and if cancellation rights apply. ☐

Tick here if acting on an execution only basis. ☐

6 PRIVACY AND DATA PROTECTION

We are committed to maintaining the personal data that you provide to us in accordance with the requirements of data protection/data privacy legislation. We will treat your information as private and confidential and your details, including dealings with us, will not be disclosed to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so or otherwise as agreed with you.

The information you provide on your application form (or afterwards) will be held and processed by us as data controller for the purposes of the Data Protection Act 2018. We may hold and process your information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including, for example, for registration and distribution purposes), for the purposes of preventing fraud and money laundering, carrying out statistical analysis, and the marketing of goods and services by us or other companies in the Liontrust group. Your personal information may be passed to and used by companies within the Liontrust group and third parties including credit referencing, tax, regulatory and law enforcement agencies and our agents and sub-contractors who administer or process the information on our behalf. This may include the transfer of your information overseas to countries where different levels of data protection apply. This would only occur as a necessary and proportionate measure, carried out for the purposes of our statutory obligations.

Please contact us for an up-to-date list of the current members of the Liontrust group or if you wish to receive marketing material. Where an authorised financial adviser acts on your behalf, we may disclose information concerning your investment to that financial adviser. Other than as noted above, we will not provide any other third party with any information about you unless you have given your consent or unless we are required to do so by law. We will never sell, rent or trade your personal information to a company outside those described above without your permission.

You have the right to access the information we hold about you at any time to check whether it is accurate and up to date. Please contact the person responsible for data protection at Liontrust for these purposes, at dataprotection@Liontrust.co.uk.

For further information on what information Liontrust holds, why we hold it and what we do with it, please refer to our Privacy Notice at www.liontrust.co.uk.

7 ANTI-MONEY LAUNDERING AND IDENTITY VERIFICATION

Liontrust is required to make various checks on transactions to comply with requirements of the Proceeds of Crime Act 2002 and statutory regulations in relation to Money Laundering. These checks involve the need to obtain independent documentary evidence of the identity and permanent address of the person applying to open an Account and may be completed by verifying the details you supply against those held on any databases to which Experian, the Credit Reference Agency, has access. Liontrust reserves the right to refuse any application to subscribe to an ISA without giving a reason for so doing. Liontrust Fund Partners LLP registered in England No. OC351697. Registered office: 2 Savoy Court, London WC2R 0EZ. Authorised and regulated by the Financial Conduct Authority (No 518165).

Liontrust does not make or accept payments from third parties unauthorised by the Financial Conduct Authority.

8 DECLARATION

Declaration and Authorisation. This section must be completed by hand.

- a. I apply to subscribe to the Liontrust ISA under the ISA regulations. My subscription to this ISA is comprised of my own money.
- b. I declare that I am aged 18 or over and that I am resident in the United Kingdom for tax purposes, perform duties which, by virtue of Section 132(4) of the Income & Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as performed in the United Kingdom, or I am the spouse or civil partner of such a Crown employee, and I will inform Liontrust Fund Partners LLP if I cease to be so resident or to perform such duties.
- c. I have not subscribed and will not subscribe more than the annual subscription limit in total to permitted ISAs in the same tax year. I authorise Liontrust Fund Partners LLP to:
 - (I) hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
 - (II) obtain independent verification of the details I have supplied in accordance with the Financial Conduct Authority's Money Laundering Sourcebook and the Data Protection Act 2018.
- e. I confirm agreement to all Client Money obligations and requirements as detailed in the Liontrust Prospectus.
- f. I agree to be bound by the Terms and Conditions from time to time in force and give the warranties contained in the Liontrust ISA therein. A copy of the current ISA Terms and Conditions is contained within the Liontrust Supplementary Information Document and is available to download at www.liontrust.co.uk. (For your own benefit and protection, you should read these terms carefully before signing them. If you do not understand any point, please ask for further information prior to investing.)
- g. I declare that the information provided by me in this application form is to the best of my knowledge and belief complete and correct and I confirm that any changes in the information will be notified in writing to Liontrust Fund Partners LLP without delay.
- h. I agree that the contract between me and Liontrust Fund Partners LLP shall be constituted by this completed application form, the Key Investor Information Document (KIID) and the Terms and Conditions from time to time in force.
- i. I declare that I have read the Key Investor Information Document (KIID) relating to the Funds I am investing in and that I am signing this declaration, only after having all questions I had beforehand, fully explained to me.

SIGNATURE

DATE

Please confirm whether you are a Politically Exposed Person*. ☐

* Politically exposed persons (PEPs) are individuals whose prominent position in public life may make them vulnerable to corruption. The definition extends to immediate family members and known close associates. A non-exhaustive list of examples includes; members of parliament, high ranking officers in the armed forces, members of the administrative, management or supervisory boards of government or state-owned enterprises, senior figures in international organisations.

The Fund's Key Investor Information Document (KIID) and the Liontrust ISA Terms and Conditions are available free of charge from Liontrust on request, or from www.liontrust.co.uk.

9 INCOME AND ACCUMULATION CLASSES

Some Liontrust fund issue Income units or shares only where distribution income can be paid to you or re-invested. Some funds issue Accumulation units or shares only where the distribution income is reflected in the fund price. Some funds issue both Income and Accumulation units or shares. Please refer to the relevant fund Prospectus for further information.

Where only an Income class is available for a fund, if your bank details are provided below, we will buy the Income class for you and set your account so any future distributions are paid in cash by direct credit directly to your bank account on the fund distribution dates as set out in the Prospectus. Where only an Income class is available for a fund and you do not provide your bank details below, we will set your account so any future distributions will be re-invested to buy additional units or shares at no extra charge.

Where only an Accumulation class is available for a fund, we will buy the Accumulation class for you.

Where both Income and Accumulation classes are available for a fund, if your bank details are provided below, we will assume you wish to receive the distribution income and we will buy the Income class for you and set your account so any future distributions are paid in cash by direct credit to your bank account on the fund distribution dates as set out in the Prospectus. Where you do not provide your bank details below, we will assume you do not require income to be paid out to you and we will buy the Accumulation class for you.

NAME AND FULL POSTAL ADDRESS OF YOUR BANK OR BUILDING SOCIETY WHERE YOU WANT PROCEEDS PAID

POSTCODE

BRANCH SORT CODE

BANK OR BUILDING SOCIETY ACCOUNT NUMBER

NAME(S) OF ACCOUNT HOLDER(S)

BUILDING SOCIETY ROLL NUMBER

10 INVESTMENT DETAILS

Please indicate below the fund(s) and the amount(s) you wish to invest. See Liontrust Fund Prospectuses available at www.liontrust.co.uk for further details.

Liontrust Funds	Share Type/Unit	Investment Amount (£)	Regular Savings Amount (£)
Liontrust Balanced Fund Class B	Accum		
Liontrust Balanced Fund Class B	Income		
Liontrust China Fund Class B	Accum		
Liontrust Emerging Markets Fund Class C	Accum		
Liontrust European Dynamic Fund Class B	Income		
Liontrust Global Alpha Fund Class B	Accum		
Liontrust Global Dividend Fund Class B	Income		
Liontrust Global Innovation Fund Class B	Accum		
Liontrust Global Innovation Fund Class B	Income		
Liontrust Global Smaller Companies Fund Class C	Accum		
Liontrust Global Technology Fund Class C	Accum		
Liontrust Income Fund Class B	Accum		
Liontrust Income Fund Class B	Income		
Liontrust India Fund Class C	Accum		
Liontrust Japan Equity Fund Class B Hedged	Accum		
Liontrust Latin America Fund Class C	Accum		
Liontrust MA Blended Growth Fund Class A	Accum		
Liontrust MA Blended Intermediate Fund Class A	Income		
Liontrust MA Blended Intermediate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Accum		
Liontrust MA Blended Moderate Fund Class A	Income		
Liontrust MA Blended Progressive Fund Class A	Accum		
Liontrust MA Blended Reserve Fund Class A	Income		
Liontrust MA Blended Reserve Fund Class A	Accum		
Liontrust MA Diversified Real Assets Class D	Income		
Liontrust MA Diversified Real Assets Class D	Accum		
Liontrust MA Dynamic Passive Adventurous Class A	Accum		
Liontrust MA Dynamic Passive Growth Class A	Accum		
Liontrust MA Dynamic Passive Intermediate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Accum		
Liontrust MA Dynamic Passive Moderate Class A	Accum		
Liontrust MA Dynamic Passive Progressive Class A	Income		
Liontrust MA Dynamic Passive Prudent Class A	Accum		
Liontrust MA Dynamic Passive Reserve Class A	Accum		
Liontrust MA Explorer 35 Fund Class A	Income		
Liontrust MA Explorer 35 Fund Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Accum		
Liontrust MA Explorer 70 Fund Class A	Income		
Liontrust MA Explorer 85 Fund Class A	Income		
Liontrust MA Explorer 85 Fund Class A	Accum		
Liontrust MA Explorer 100 Fund Class A	Accum		
Liontrust MA Explorer Income 45 Fund Class A	Income		
Liontrust MA Explorer Income 45 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Accum		
Liontrust MA Explorer Income 60 Fund Class A	Income		
Liontrust MA Monthly High Income Fund Class A	Income		
Liontrust MA Monthly High Income Fund Class A	Accum		

10 INVESTMENT DETAILS

Liontrust MA UK Equity Fund Class A	Accum		
Liontrust MA UK Equity Fund Class A	Income		
<i>Liontrust Russia Fund Class B**</i>	Accum		
Liontrust SF Cautious Managed Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 2	Income		
Liontrust SF Corporate Bond Fund Class 6	Accum		
Liontrust SF Defensive Managed Fund Class 2	Income		
Liontrust SF European Growth Fund Class 2	Accum		
Liontrust SF Global Growth Fund Class 2	Accum		
Liontrust SF Managed Fund Class 2	Income		
Liontrust SF Managed Fund Class 6	Accum		
Liontrust SF Managed Growth Fund Class 2 Net	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Accum		
Liontrust SF Monthly Income Bond Fund Class B	Income		
Liontrust SF UK Growth Fund Class 2	Accum		
Liontrust UK Ethical Fund Class 2	Accum		
Liontrust Strategic Bond Fund Class B	Accum		
Liontrust Special Situations Fund Class B	Income		
Liontrust Strategic Bond Fund Class B	Income		
Liontrust UK Growth Fund Class B	Income		
Liontrust UK Micro-Cap Fund Class I	Accum		
Liontrust UK Smaller Companies Fund Class R	Income		
Liontrust US Opportunities Fund Class B	Accum		
Overall Total Investment			

Regular Savers: If you wish to commence your regular savings plan with a lump sum investment (minimum £500) please tick the box here: ☐

If you wish to save regularly you must complete the Direct Debit Mandate. Direct Debits are collected from your bank or building society account on 15th of each month (or next business day). Please add together any amounts entered above in the total box.

Please make your cheque payable to Liontrust Fund Partners Limited. For those investors without a cheque book facility, please contact LionTA@liontrust.co.uk before submitting this application.

*Note: *This list refers to what was previously the Global Equity funds. As of 8th February 2023, the Global Equity funds consolidated with the Global Fundamental Funds. **Liontrust, in agreement with the depositary and with the knowledge of our regulator, the Financial Conduct Authority (FCA), has made the decision to temporarily suspend dealing in the Russia Fund. Liontrust will keep the suspension under continual review.*

Instruction to your bank or building society to pay by direct debit

Please fill in the whole form including official use box using a ball point pen and send it to:

Liontrust Customer Services Team
Po Box 373,
Darlington, DL1 9RQ

Service user number

2	7	3	9	8	1
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Name(s) of account holder(s)

Bank / building society account number

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Branch sort code

--	--	--	--	--	--

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Reference

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FOR LIONTRUST FUND PARTNERS LLP OFFICIAL USE ONLY
This is not part of the instruction to your bank or building society.

Instruction to your bank or building society

Please pay Liontrust Fund Partners LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Liontrust Fund Partners LLP and, if so, details will be passed electronically to my bank/building society.

Signature(s)

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Date

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Banks and building societies may not accept Direct Debit Instructions for some types of account

DD11

This guarantee should be detached and retained by the payer

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Liontrust Fund Partners LLP will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Liontrust Fund Partners LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Liontrust Fund Partners LLP or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Liontrust Fund Partners LLP asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.