LUXEMBOURG
STOCK EXCHANGE

ADMISSION OF MEMBER'S RESPONSIBLE PERSON

Registration Form

Only the persons or entities that have entered into a Membership Agreement with Bourse de Luxembourg, SA (**"LuxSE"**) and have been accepted as a member of LuxSE shall complete the present Registration Form.

The following documents shall be attached to the present Registration Form:

- Copy of the ID card (or passport), and
- Short and up-to-date professional resume of the Responsible Person (as mentioned below).

Please note that LuxSE has no obligation to review the present Registration Form before receiving the aforementioned documents.

LuxSE reserves the right to request any additional information or document related to the Member or the proposed Responsible Person that LuxSE may deem appropriate, relevant or necessary.

1 Member's Details *(please complete)*

(The **"Member"**)

Name:

Address:

Member code:
(for existing members)

2 Appointment of the Responsible Person

2.1 Identity of the Responsible Person *(please complete)*

The Member hereby appoints the person mentioned below as its Responsible Person with full power and authority to represent the Member in accordance with section 2.2 below:

(**"Responsible Person"**)

Name:

First name:

Title / Job position:

Office address:

E-mail address:

Phone number:

The Responsible Person acts on behalf of one Member's affiliate: ☐ Yes ☐ No

Specimen
of signature:

2.2 Powers granted *(please tick where appropriate)*

The Responsible Person shall be responsible for the trading activity conducted on the securities markets of LuxSE under his/her authority and may be a trader himself/herself and/or a trading supervisor.

In connection with the following financial instruments and activities: *(please tick where appropriate)*

Type(s) of financial instruments

- ☐ Shares
- ☐ Trackers
- ☐ Warrants and certificates
- ☐ Bonds
- ☐ Other:

Type(s) of activity

- ☐ Liquidity provider
- ☐ Arbitrage
- ☐ Retail
- ☐ Institutional and professional clients
- ☐ Other:

The Responsible Person shall also comply, at all times, with any rules defined or applied by LuxSE including but not limited to LuxSE's Rules & Regulations, general conditions and notices.

3 Representations and Warranties

The Member hereby represents and warrants that:

- The Responsible Person possesses the necessary skills, experience, knowledge and competence toact as the Member's Responsible Person pursuant to this Registration Form;
- It has carried all relevant, necessary or appropriate checks regarding the Responsible Person's:
  - Identity,
  - Character (security and anti-terrorist checks, investigation for prior criminal activity),
  - Education, and
  - Credentials (designation, accreditation and other credentials that may be required or mandatory);
- None of the collected or checked information as listed above prevents, threatens to prevent ormay prevent the Responsible Person to act for or on behalf of the Member (in accordance withsection 2.2 above);
- It has duly informed the Responsible Person of his/her duties and obligations when acting for or onbehalf of the Member; and
- LuxSE will be able to reach the Responsible Person (or in case of absence, his/her back-up) duringLuxSE's normal trading hours

4 Miscellaneous

This Registration Form shall be attached to the Membership Agreement signed between the Member and LuxSE, without any further act or formality.

The provision of incomplete or inaccurate information may entitle LuxSE to put an end to the mandate of the Responsible Person, at any time and with immediate effect.

Made in _____ , on _____

Name:		**Name:**	
Title:		**Title:**	
Authorised signature:		**Authorised signature:**	