

DTP Accreditation Sanction Program

26 August 2026



Department
of Transport
and Planning

Welcome to Country

I would like to acknowledge the Traditional Owners of the Land on which we are meeting on today; the Wurundjeri people.

I pay my respects to their Elders past, present and extend that respect to all Aboriginal and Torres Strait Islander people here today.

Keynote

Jimmy O'Connell
Executive Director
Network Change



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Accreditation Sanction process for Temporary Traffic Management Implementation - end to end process.

1. Traffic Management Assurance Framework (released February 2026)
2. Traffic Management Accreditation Sanctions (formalised September 2026)

Traffic Management Sanctions for design are part of our future state.

Key takeaways:

- Safety – why is this so important, how do we reduce the risks on the road,
- Who we engage with and who is responsible.
- Making changes, strengthening processes, i.e. what does look like in reality e.g. assurance thresholds and framework.
- Ongoing partnership and working together.



Agenda

1. Traffic Management Assurance – David Lane
(Manager – Traffic Management Assurance)
2. Traffic Management Accreditation – James Ritchie
(Traffic Management Industry Advisor)
3. Case Study
4. Questions



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TRAFFIC MANAGEMENT ASSURANCE

1 PLAN

- Traffic Plan in Place
- Risks Identified

2 IMPLEMENT

- Signs & Barriers Correctly Installed
- Team Briefed

3 MONITOR

- Traffic Conditions Observed
- Hazards Identified

4 ASSURE

- Controls Effective
- Compliance Verified

5 IMPROVE

- Lessons Learned
- Actions Implemented



Our goal: Safer roadworks. Stronger compliance. Better outcomes.



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Temporary Traffic Management Assurance



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TRAFFIC MANAGEMENT NON-COMPLIANCE – WEIGHTED RISK SCORES

Identified risks. Real-world consequences. Our focus: safer roads for everyone.

1-2

ADMINISTRATIVE RISKS (LOW TO MODERATE RISK)

Issues relating to documentation, planning and signage that may impact safety and efficiency if not managed correctly.



- Minor documentation or planning errors
- Low impact on traffic flow or road worker safety
- No immediate risk to road users or workers
- May increase risk of incident if not corrected

3

HIGH RISK (SIGNIFICANT IMPACT)

Non-compliance with signage or warnings that creates confusion, reduces compliance and increases the likelihood of incident.



- Conflicting, missing or insufficient signage or warnings
- Inadequate information to road users
- May increase risk of incident or injury
- Reduced compliance and clarity

4

VERY HIGH RISK (CRITICAL)

Poor controls or systems that create an immediate and significant risk of serious injury or death.



- Poor pedestrian management
- Unsafe system or practice
- High likelihood of serious injury or death
- Risk to life for road users or workers



THE BOTTOM LINE:

By identifying non-compliances and working with the industry to make improvements, we reduce risk and create a safer environment for all road users and road workers.



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TRAFFIC MANAGEMENT ASSURANCE – 3 THRESHOLDS

Our audits identify risks and drive better outcomes for safer roadworks.

1 REPEATED LOW-MODERATE RISK

3 audits within 12 months that score between 1 and 5



ACTION

Targeted verification (unannounced audits) for 3 months

2 HIGH RISK AUDIT

Any single audit that scores 6 or over



OFFICIAL REQUEST

Root Cause and Corrective Action



ACTION

- Official request for Root Cause and Corrective Action
- Can result in immediate recommendation to conditionalise accreditation

3 CRITICAL RISK – IMMEDIATE STOP WORK

Any audit that scores 8 or over



OFFICIAL REQUEST

Root Cause and Corrective Action



ACTION

Immediate STOP WORK + Official request for Root Cause and Corrective Action

IMMEDIATE RECOMMENDATION:
Conditionalise accreditation



THRESHOLDS 2 AND 3 WILL RESULT IN AN OFFICIAL REQUEST FOR ROOT CAUSE AND CORRECTIVE ACTION



Our goal: Safer roadworks. Stronger compliance. Better outcomes.



EVERY AUDIT IS AN EDUCATIONAL TOOL

Our goal is not just to identify non-compliances,
it's to drive understanding, improvement and safer roadworks.



OUR EXPECTATION

Respond on time.
Learn from every audit.
Take action to improve.

WHAT IS A MINI RCA & CAP?

Identify the cause and put in place practical actions to prevent recurrence.

What happened?

Define the issue

Why did it happen?

Identify the cause (root cause)

What will you do differently?

Corrective action to prevent recurrence

By when & who?

Owner and timeframe



Our goal: Safer roadworks. Stronger compliance. Better outcomes.



HOW WE WORK TOGETHER

We are here to support and work with you to improve safety and compliance.



We work together in a number of ways to keep the industry informed, provide feedback and support continuous improvement.



IN THE FIELD



We meet with teams on site to provide direct feedback, answer questions and understand challenges first-hand.



TOOLBOX TALKS



We are available to attend toolbox talks to discuss key compliance topics, share learnings and promote a safety culture.



OTHER MEETINGS



We participate in project meetings, pre-starts, forums and industry engagements to keep the conversation going.



JOINT ASSURANCE ACTIVITIES



We can undertake joint audits and observations with your team to build capability, share knowledge and drive improvements.



We value open communication, collaboration and transparency.
If you have any questions, need clarification or would like to arrange a meeting, we're just a phone call away.



Our goal: Safer roadworks. Stronger compliance. Better outcomes.



OUR EXPECTATIONS

Working together to raise standards and keep people safe.



PROVIDE A RESPONSE TO EVERY AUDIT

Acknowledge our audit findings and let us know how you are addressing them.



REAL ROOT CAUSE AND CORRECTIVE ACTION PLAN

When requested, provide a real root cause and corrective action plan. However, you should be completing one after every audit – regardless of the score.



ENGAGE EARLY IF YOU NEED TO

If you are unsure or facing challenges, reach out early. We're here to help and would rather support you sooner than later.



BE CURIOUS AND RECEPTIVE

Stay curious. Be open to feedback and other ways of doing things. Challenge assumptions and look for better solutions.



FIND WAYS OF REMOVING TRAFFIC CONTROLLERS FROM THE LINE OF FIRE

Always look for ways to minimise exposure. Design, plan and deliver traffic management that keeps traffic controllers safe.



DON'T DEFAULT TO 'EMERGENCY WORKS'

Emergency works should be the exception, not the expectation. Plan ahead, communicate, and do it right.

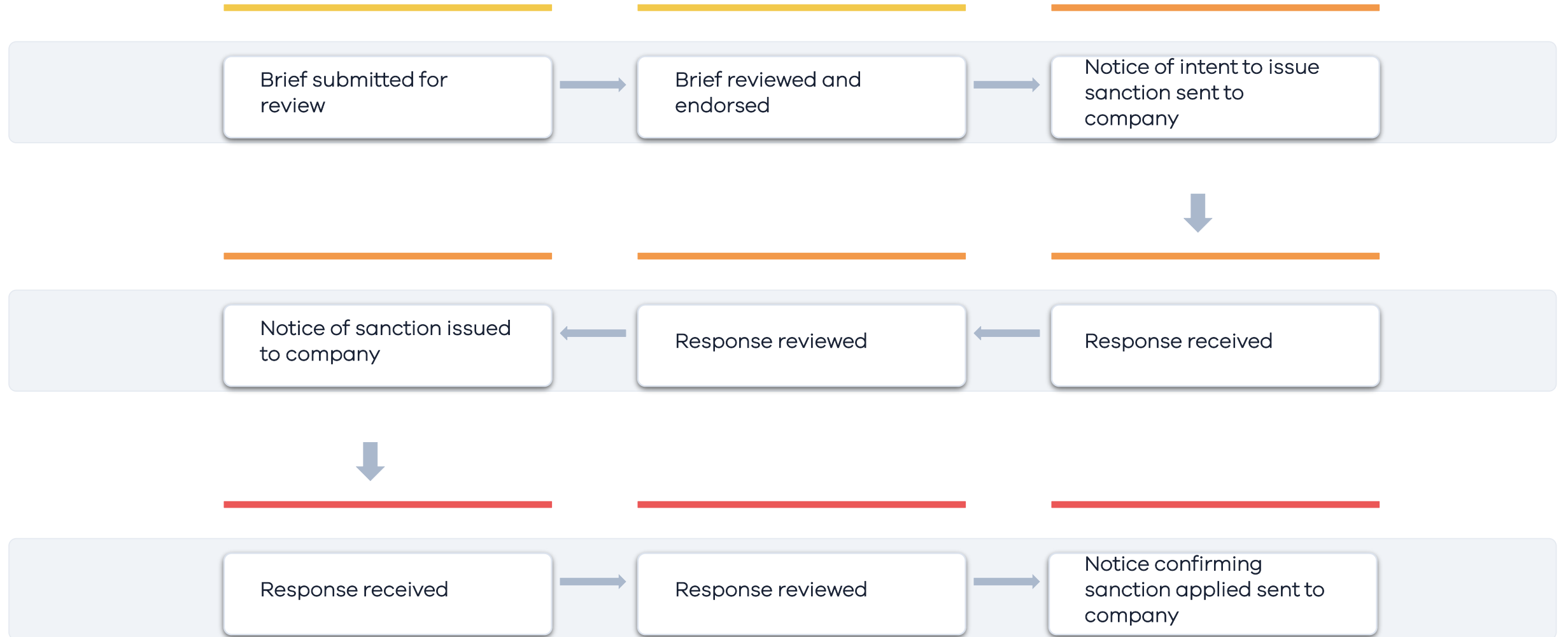


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Accreditation Sanction Process

A staged review and procedural-fairness pathway from initial brief to confirmed sanction.





Accreditation Sanction Business Rules

Sanctions escalate when evidence confirms the applicable threshold has been breached.





Conflicting
speed sign



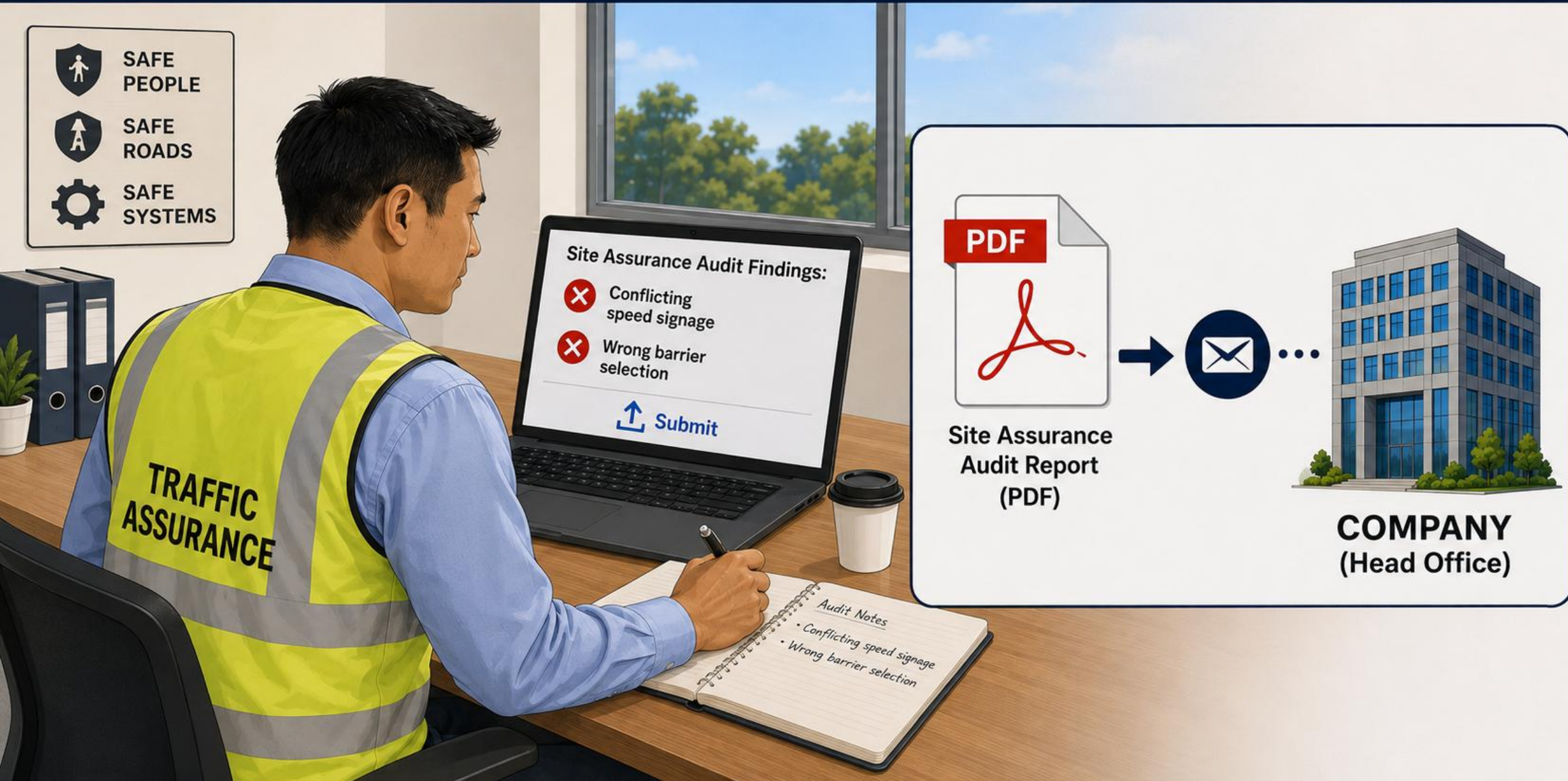
ROAD WORK
AHEAD



PREPARE
TO STOP

Wrong barrier
selection

3. Audit submitted



4. Company response and uplift



5. Accreditation sanction review



6. Accreditation sanction applied

SANCTION APPLIED

- ✓ Evidence reviewed
- ✓ Threshold confirmed
- ✓ Company response considered
- ✓ Sanction recommended
- ✓ Decision recorded



Questions

Recap

- Safety – why is this so important, how do we reduce the risks on the road,
 - Who we engage with and who is responsible.
 - Making changes, strengthening processes, i.e. what does look like in reality e.g. assurance thresholds and framework.
 - Ongoing partnership and working together.
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- Assurance Framework was launched in February 2026
 - Accreditation Sanction Process launch date is 1 September 2026
 - Additional information can be found on the website

Contacts

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Thank you



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