Department of Transport and Planning Temporary Traffic Management Accreditation program

Guidelines and application form

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Introduction

The Department of Transport and Planning (DTP)'s Temporary Traffic Management Accreditation (Accreditation) program makes sure only those companies with suitable training, experience and a good safety record will be accredited to manage traffic on the road network.

Accreditation aims to:

- maintain high levels of safety for traffic management workers, road users and local communities
- reflect the updated Road Management Act 2004
 (Code of Practice for Worksite Safety Traffic
 Management), which incorporates national guidance
 from Austroads and supports a consistent traffic
 management approach across all Australia.

Accreditation boosts industry standards and establishes clear categories of temporary traffic management roads based on risk and traffic volume. The key features include:

- consistent eligibility requirements and Accreditation roles and categories (Temporary Traffic Management Road Category 1, 2 and 3)
- publicly available list of accredited traffic management companies
- promotion of best practice in the traffic management industry
- consistent traffic management company audits, compliance assessment and performance reporting.

The Accreditation process involves:

- assessment of capabilities and performance against Accreditation prerequisites
- processes to allow traffic management companies to upgrade for a higher category.

New companies without demonstrated experience in the field may be accredited to operate on Road Category 1 roads to build experience.

Accreditation is valid for two years and companies will need to renew before the expiry date if they wish to keep their status.

All traffic management companies need to be accredited before they can work on roads managed by DTP and apply for DTP issued Memorandum of Authorisation (MoA) permits.

Further information about the Accreditation program is available on the VicRoads website: vicroads.vic.gov.au/accreditation

Part 1

Temporary Traffic Management Accreditation Application Guidelines

The Accreditation program consists of two Temporary Traffic Management Roles and three Temporary Traffic Management Road Categories.

Temporary Traffic Management Roles

There are two roles within the Accreditation program – Traffic Management Design (TMD) and Traffic Management Implementation (TMI). Companies can apply for one or both roles.

TMD

This role is responsible for designing, drafting, reviewing and/or making modifications to Traffic Management Plans (TMPs), including site audits/inspections and the worksite hazard assessment. TMPs are to be in accordance with Victorian legislation, particularly (but not limited to) the Road Safety (Traffic Management) Regulations 2019.

Companies must be able to demonstrate that employees have appropriate qualifications to complete TMPs. In performing this role, companies are required to:

- apply and implement relevant legislation, policies, requirements, procedures and techniques for the safe, effective and efficient preparation of TMPs
- work effectively with others to undertake and complete the preparation of TMPs that meet all the required outcomes
- demonstrate completion of TMPs that safely, effectively and efficiently meet all required outcomes as defined in Part 4 of the Road Safety (Traffic Management) Regulations 2019.

TMI

This role is responsible for setting out, implementing, monitoring, and controlling traffic. In performing this role, companies are required to:

- apply relevant legislation, policies and procedures

 including documentation related to the works project
 and associated traffic management and clarify work
 requirements with appropriate personnel to achieve
 complete and consistent understanding
- assess the worksite and the TMP to ensure all potential hazards and risks are identified and treated appropriately, and that the TMP is fit for purpose
- work effectively with others to implement the TMP to meet all required outcomes.

2 Temporary Traffic Management Road Categories

There are three road categories a company can apply for:

Road Category 1 – most urban and lower volume rural roads with a posted speed limit of <60km/h. Characteristics range from roads with or without a centreline, sealed and unsealed, with a single lane (one lane in each direction) including instances where there is an overtaking lane available for an otherwise single lane road.

Road Category 2 – high volume, high complexity roads, excluding freeways. Characteristics include signalised intersections, multi-lane or divided roads, and major urban roads. These are mostly arterial roads and include complex environments, such as two-lane roundabouts.

Road Category 3 – high volume, high speed multi-lane freeways with a divided carriageway, including on-ramps and off-ramps.

3 Accreditation Requirements

3.1 Key considerations

Before applying for Accreditation, applicants must be able to:

- provide the evidence needed to show they meet the criteria of the Accreditation role and road category being sought
- demonstrate valid insurance and coverage levels, including WorkCover and Public Liability/Professional Indemnity (as required)
- comply with requirements throughout the Accreditation period and notify DTP of any substantive change of circumstance relevant to Accreditation requirements
- provide detailed Standard Operating Procedures (SOPs) and Safe Work Method Statements (SWMS) that clearly demonstrate how hazards are identified and controlled.

3.2 Accreditation process

Applicants can apply for one of the following:

- · New application
- · Upgrading Accreditation for a higher road category
- · Renewal of Accreditation
- Transfer to a new ACN
- Road Infrastructure Manager (RIM) application
- · Utility company application

Applicants must nominate the level of Accreditation being applied for, including roles and road categories as outlined in the Temporary Traffic Management Roles and Road Categories sections of these guidelines.

The following Accreditation application types are available:

- New application companies that have never been accredited in Victoria need to apply for new company Accreditation. Note: new companies will only be assessed for Road Category 1. These companies will not be required to provide traffic management project evidence as a new company.
- Upgrading Accreditation for a higher road category – companies that are already accredited in Victoria that wish to apply to work on roads with a higher road category, or to apply for a new role.
 As these companies have already been working, they will be expected to provide traffic management project evidence and more complex Standard Operating Procedures.
- Renewal of Accreditation companies that have already been accredited in Victoria for two years that need to apply to renew their Accreditation. These companies will be expected to provide recent traffic management project evidence to retain Accreditation.

- Transfer to a new ACN companies that have purchased an accredited traffic management company who are eligible to transfer Accreditation to a new company ACN. These companies will be required to demonstrate that the capability and capacity of the previously accredited company has been retained.
- Road Infrastructure Manager (RIM) application

 Local Government Authorities are recognised under the Road Management Act 2004 and the Local Government Act 2020 as a RIM, which have powers and responsibilities in relation to their local road network. Subsequently, they are exempt from some Accreditation requirements.
- Utility company application utility companies, as defined in the Road Management Act 2004, are required to manage and maintain utility infrastructure assets which are often situated within the road and road reserve areas. These companies may be exempt from some Accreditation requirements.

DTP will determine the company's capability in the nominated role and road category. The company must demonstrate their resource and technical capability to undertake temporary traffic management works using the below measures.

Assessment will be based on the following:

- information contained in the application and supporting documentation
- surveillance audits undertaken by DTP or other government entities
- a company's understanding of legislative requirements including as those under the Road Management Act 2004, Code of Practice for Worksite Safety – Traffic Management, the Road Safety Act 1986, and the Road Safety (Traffic Management) Regulations 2019
- Traffic management training evidence will only be accepted if it demonstrates that the required training was undertaken at the time of the application.

When submitting an application, the applicant gives permission to DTP to carry out any necessary investigations needed to evaluate the application and to determine whether the criteria is met.

The names of all accredited companies will be published as part of the Accreditation register on the VicRoads website: vicroads.vic.gov.au/accreditation

The published information will include:

- · Company name
- Accreditation status relevant to the traffic management role and category.

4 Completing an application

The matrix below outlines the information that must be provided by application type. Note: a checklist has been included that must be completed (Appendix 1: Accreditation checklist). Applications will not be assessed and will be declined unless all information is provided. It is mandatory to complete the checklist and provide a copy with your application.

Information requirements for Accreditation applications

	Type of Application							
	Traffic Management Company			Road Infrastructure Manager Application		Utility Company Application		
·	New Application	Upgrading Accreditation for a Higher Road Category	Renewal of Accreditation	Transfer to a New ACN	New Application	Upgrading Accreditation for a Higher Road Category, or Renewal of Accreditation	New Application, or Transfer to a New ACN	Upgrading Accreditation for a Higher Road Category, or Renewal of Accreditation
Type of Accreditation application (including role and road category)	~	~	*	*	*	✓	*	*
2 Company details	✓	~	~	✓	~	~	*	*
3 Director declaration	*	~	~	~	-	-	*	*
4 OH&S obligations director declaration	✓	*	~	~	-	-	✓	*
 Standard Operating Procedure Evidence New staff induction program 	•	-	-	~	-	-	*	-
Worksite safety and traffic management implementation SOP	✓	-	-	~	-	-	✓	-
 (TMI only) Truck Mounted Attenuator (TMA)/Pod Truck SOP 	-	~	~	~	-	-	✓	~
6 Traffic Management Training Evidence	*	~	*	*	*	✓	✓	*
7 Traffic Management Project Evidence	-	*	~	*	-	~	✓	*
8 Valid Workcover certificate	✓	-	~	~	-	-	✓	~
9 Valid public liability and professional indemnity insurance	✓	-	~	~	-	-	✓	~
10 LeavePlus (Formerly CoINVEST) registration number (only required for TMI)	✓	-	~	*	-	-	-	-
11 Certified management systems in Quality and Occupational Health and Safety (OH&S)	✓	-	*	*	-	-	-	-
12 Application declaration signed by Director/CEO	✓	~	*	*	*	~	✓	*

5 Maintenance of Accreditation Status

A company's Accreditation status is valid for two years, subject to performance checks.

Where a matter of concern is identified, DTP may:

- · undertake a review of the company
- · meet with the senior management of the company
- issue a sanction to the company regarding the matter, which might be in the form of a warning, performance improvement notice, or punitive actions, such as suspending, downgrading, or cancelling a company's Accreditation status.

Any of the following may result in a review of a company's Accreditation status:

- where DTP considers a company's performance to be unsatisfactory (e.g. identified non-compliant performance from WorkSafe, LXRP, MRPV, DTP, or any other government entities) where restrictions on a licence/registration to practice are imposed, or third-party Accreditation of a management system is withdrawn or has expired
- failure to comply with the terms and conditions of Accreditation
- companies declared bankrupt; entered into receivership or managed agreement; disqualified by the Australian Securities and Investment Commission (ASIC) and/or pursuant to the Bankruptcy Act 1966 or Corporations Act 2001.

5.1 Provision of updated Information

The company must inform DTP within 20 business days when any of the circumstances below have changed:

- change of company name
- change of company ownership, including change of ACN
- company is bankrupt or has entered administration
- company is unable to perform the tasks required under the Accreditation
- company is no longer able to meet assessment criteria relevant to its current Accreditation.

5.2 Information requirements

A company must ensure currency of the following at all times:

- Quality and OH&S management systems certification or most recent audit report
- Public Liability Insurance
- Professional Indemnity Insurance (only required for TMD)

If a company does not provide the required information to DTP, a notice to suspend Accreditation may be issued.

5.3 Notice to remove Accreditation

DTP may suspend, downgrade, or cancel a company's Accreditation status at its discretion with due cause as outlined below. If any such action is proposed, the company will be issued with a formal notice and given the opportunity to respond to the issues raised in the notice.

The notice will:

- specify the alleged area of non-compliance or other concern
- · require the company to respond in writing
- specify the time and date by which the company must respond to the notice DTP may make further enquiries to verify the company's responses.

DTP will take action to suspend, downgrade or cancel Accreditation if the company fails to provide sufficient evidence to retain it. This change in status is applicable from the date of the advice from DTP to the company, unless DTP determines otherwise.

Action 1. Suspension

Accreditation may be suspended where there is an issue affecting a company's ability to satisfy Accreditation requirements. Any such suspension will be in place until the problem is remedied and the company can satisfy the criteria corresponding to the Accreditation status.

It is the company's obligation to inform its customers and partners that it can no longer service work.

A suspension notice will include:

- · reasons for suspension
- time period that the suspension applies
- actions and requirements for remedies to be applied to regain Accreditation.

Action 2. Downgrade

If in the reasonable opinion of DTP the company no longer satisfies the requirements for Accreditation within a specific temporary traffic management road category, their status may be downgraded to a lower road category. Examples of breaches that may give rise to downgrading of Accreditation include but are not limited to:

- repeated minor or serious non-compliances where, in the opinion of DTP, there is an unacceptable risk in allowing the company to remain at their current Accreditation level
- an adverse change in the company's management systems or technical capability (including availability of personnel), but the company can demonstrate requirements for a lower road category.

Action 3. Cancellation

Examples of breaches that may give rise to cancellation of an Accreditation include but are not limited to:

- unsatisfactory performance on one or more temporary traffic management projects
- the company has been placed into administration or involved in a compromise or other arrangements with creditors
- an adverse change in the company's management systems or technical capability (including availability of personnel) such that the company no longer fully meets the requirements for Accreditation at any level
- where the company is experiencing financial problems, as verified by DTP.

5.4 Appeals

If a company is not satisfied with a downgrade or cancellation decision made by DTP, the company may lodge an appeal with DTP within 20 business days of the date of the letter advising of the decision.

The appeal will be reviewed by a specially convened appeals panel. The appeals panel:

- will review the original decision, including the information provided by the company and the assessment by DTP
- may consider or request new or additional information
- will act impartially
- will provide written reasons for its decision with 20 business days.

The appeals panel decision will be final and binding on both DTP and the company.

5.5 Confidentiality

DTP may refer a company's documentation to external assessors for assessment. External assessors are required to maintain confidentiality of all information received. However, in lodging an application, companies authorise DTP to undertake searches and enquiries for the assessment.

Information submitted in an application will be treated as commercial-in-confidence and will not be disclosed to any party outside DTP and its assessors unless DTP is legally required to do so, for the purposes of obtaining legal or financial advice, or in relation to appeals regarding Accreditation decisions.

Once accredited, a company's details, including details of its performance, may be shared with other government agencies for the purpose of monitoring performance and to determine continued eligibility for Accreditation.

Part 2

DTP Temporary Traffic Management **Accreditation Application**

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This application will only be assessed if it has been completed in full and the 'Accreditation checklist' is attached.

Forward application to: TMAccreditation@roads.vic.gov.au

ı	type of application				
Ple	ase choose the type of application below:				
	New application		Renewal of Ac	creditation	
	Accreditation for a higher road category		Transfer to a r	iew ACN	
	Road Infrastructure Manager (RIM) application		Utility compar	y application	
	ase choose from the roles and road categories below. Redefined in Part $\bf 1$ of these guidelines.	oles ar	nd temporary tr	affic management ı	oad categories
		R	oad Category 1	Road Category 2	Road Category 3
Tr	affic Management Design (TMD)				
Tr	affic Management Implementation (TMI)				

2 Company details
Company name
Company trading name
Australian Business Name (ABN)
Australian Company Number (ACN)
Address of registered office
Website address
General office contact number and email address
General office address
Postal address (if different from above)
Primary contact person
Title/role
Mobile number
Email address
Primary contact for initial set up for Road Access Permits Portal (RAPP)
This individual nominated as the primary contact will receive an invitation email to sign up to the RAPP. They will also be given administration privileges, including the ability to set up RAPP accounts for fellow staff members.
First name
Last name
Email address
Mobile phone
Director/s AND Senior Management (provide details of all current directors and senior managers) If more fields are required, please add an additional page (which must be included as a pdf).
Individual's name (in full) Position held Address

Provide a history of any prior companies managed and/or controlled by any **director/s or senior manager/s** of the company. This must include any prior management/directorship of other traffic management companies that have been active within the last five years. Specify if this includes previous directors/senior managers due to sale of property.

Company history									
In the last five years, has your company or any prior company similarly managed and/or controlled by a or senior manager of the company, ever been presented with a notice to suspend Accreditation or precissued with a show cause notice to suspend Accreditation or prequalification (or similar); or issued with a improvement notice (similar to PIN); penalty or sanction due to noncompliance, either by a RIM or other agency (e.g. WorkSafe)?									
Yes. Please provide details of when, where, why and what the result was.									
3 Director Declaration									
As a Director, I (insert name) above is correct and accurate at the time of submission	declare that the information provided								
Director's Signature	Date								
4 OH&S Obligations Director De	eclaration								
As an employer, I (insert name) ny obligations under the Occupational Health and Safety Act 2004 and the Occupational Health and Safety Regulations 2017.									

Section 2: Capability and capacity

5 Standard Operating Procedure Evidence

Standard Operating Procedure (SOP) evidence must be provided as an attachment named 'Standard Operating Procedures', and include copies of the following:

- Procedure for confirming employees have traffic management tickets and construction induction cards (commonly referred to White Card) in their possession prior to starting work or leaving company premises each day
- New staff induction program

Director's Signature Date

- Demonstrated worksite safety and traffic management implementation procedures
- Procedure to employ Truck Mounted Attenuator (TMA)/Pod Trucks (only required for TMI Road Category 2 and/or 3 (for roads with a posted speed limit of equal to or greater than 80km/h)). Note: if the company does not plan to operate on roads with a posted speed limit of equal to or greater than 80km/h, please provide procedures that show how the management of these works are outsourced
- List of all SOPs that your company has in operation

6 Traffic Management Training Evidence

All evidence must be provided as an attachment named 'TMD Training' (for TMD staff), and/or 'TMI Training' (for TMI staff) and include copies of all traffic management training evidence.

Note: companies operating TMAs will be required to demonstrate evidence of staff trained to operate truck or trailer mounted attenuators, e.g., most recent version of RIIRTM301 Operate truck or trailer mounted attenuators.

7 Traffic Management Project Evidence

Evidence of traffic management project experience must be provided as an attachment named 'TMD Evidence', and/ or 'TMI Evidence' and include the following:

TMD project evidence

If a company is applying to renew Accreditation or applying for upgrading Accreditation for a higher road category, evidence can be at the preceding road category (e.g. if a company is applying to renew Accreditation or applying for upgrading Accreditation for a higher road category to Road Category 3, then evidence can be Road Category 2).

Note: evidence must have been developed within the last 12 months.

Companies must provide:

 Three TMPs in accordance with Regulation 35 of the Road Safety (Traffic Management) Regulations 2019, Road Safety Act 1986 S. 99A(3)(a), and the Road Management Act 2004 Code of Practice (Worksite Safety - Traffic Management) per road category.

Note: a TMP is comprised of a document describing all essential traffic management matters associated with roadworks or works on roads, including risk assessment, traffic demand and accommodation, traffic routing and control and provision for vulnerable road users and special vehicles such as buses, trams or overdimensional vehicles, and a Traffic Guidance Scheme. Companies

must also provide the Road Infrastructure Manager/ Major Road Projects Victoria (MRPV) permit approval.

• TMPs must be accompanied by a declaration that they are in full compliance with all elements of Regulation 35 of the Road Safety (Traffic Management) Regulations 2019.

TMI project evidence

If a company is applying to renew Accreditation or applying for upgrading Accreditation for a higher road category, evidence can be at the preceding road category (e.g. if a company is applying to renew Accreditation or applying for upgrading Accreditation for a higher road category to Road Category 3, then evidence can be Road Category 2).

Note: evidence must have been developed within the last 12 months.

Companies must provide:

- Evidence that the company has completed a minimum of 10 projects or 76 hours of on-road occupation (this can be demonstrated in the form of daily diaries/logbooks that show a start, finish time and total hours per shift/project).
- Companies must also provide the Road Infrastructure Manager/Major Road Projects Victoria (MRPV) permit approval for nominated projects, with the company name listed as the implementer.
- TGS for nominated projects.
- Risk assessment for nominated projects.
- Safe Work Method Statement (SWMS) for nominated projects.

Section 3: Operational certification

8 Valid WorkCover Certificate

Please include copies of WorkCover Registration Certificates.

9 Valid public liability and professional indemnity insurance

Please provide copies of valid public liability and professional indemnity insurance certificates. Insurance needs to cover temporary traffic management activities and the same ACN as that of the applicant.

Role	Road Category	Public Liability	Professional Indemnity
Design	All	\$20 million	\$10 million
Implementation	All	\$20 million	Not applicable

10 LeavePlus (formerly CoINVEST)

LeavePlus registration number (only required for TMI)

11 Quality and Occupational Health and Safety Management Systems

Please provide copies of certification confirming valid Quality and OH&S management systems. Third-party certification by a JAS-ANZ accredited body or a suitably qualified third-party auditor of the respective Quality and OH&S Management System will be accepted where it has been obtained to the following standards:

- Quality Management System: ISO 9001
- OH&S Management System: AS 4801 or ISO 45001 (not required for TMD)

Note: If the company has 10 or less employees, the company has the option of presenting a letter from a suitably qualified third-party auditor confirming that the company's systems meet the Quality Management System ISO 9001 and OH&S Management System

AS 4801 or ISO 45001 standards.

Note: this can be a lengthy process, please ensure that you have evidence that your company is certified before applying for Accreditation.

12 Application Declaration

I declare that the information provided is correct and accurate at the time of submission and that this applicant will:

- · comply with the conditions of registration as determined by DTP
- be independently audited and undertake performance reviews as required by DTP
- provide any documentation or information required by DTP at any point in time in connection with this application or ongoing registration with the Accreditation program
- · comply with the prerequisites and requirements throughout the period of Accreditation.

Applicant name
Role title
Name of Director/CEO
Mobile Phone Number
Contact Email Contact Email
Signature of CEO/Responsible Manager
Date

Privacy Disclaimer: DTP collects information on this form to determine your suitability for Traffic Management Accreditation. DTP will not release your personal information to any third party without your consent unless required to do so by law or unless in connection with enquiries made to verify information provided.

Appendix 1: Accreditation Checklist

The checklist below outlines the information that must be provided by application type. Note: applications will not be assessed and will be declined unless all information is provided. It is mandatory to complete this checklist and provide a copy with your application.

Information requirements for Accreditation applications

	Type of Application							
	Traffic Management Company				astructure Application	Utility Company Application		
	New Application	Upgrading Accreditation for a Higher Road Category	Renewal of Accreditation	Transfer to a New ACN	New Application	Upgrading Accreditation for a Higher Road Category, or Renewal of Accreditation	New Application, or Transfer to a New ACN	Upgrading Accreditation for a Higher Road Category, or Renewal of Accreditation
Type of Accreditation application (including role and road category)								
2 Company details								
3 Director declaration					-	-		
4 OH&S obligations director declaration					-	-		
 5 Standard Operating Procedure Evidence New staff induction program 		-	-		-	-		-
 Worksite safety and traffic management implementation SOP 		-	-		-	-		-
(TMI only) Truck Mounted Attenuator (TMA)/Pod Truck SOP	-				-	-		
6 Traffic Management Training Evidence								
7 Traffic Management Project Evidence	-				-			
8 Valid Workcover certificate		-			-	-		
Valid public liability and professional indemnity insurance		-			-	-		
10 LeavePlus (Formerly CoINVEST) registration number (only required for TMI)		-			-	-	-	-
11 Certified management systems in Quality and Occupational Health and Safety (OH&S)		-			-	-	-	-
12 Application declaration signed by Director/CEO								



