

Your reference
Our reference
Date

December 11, 2023

Changes to Janus Henderson Capital Funds plc (“JHCF”) and the Underlying Funds (as defined below)

Zurich Assurance Ltd
(a company incorporated
in England and Wales
with limited liability)

**Zurich Life Insurance
(Hong Kong) Limited**
(a company incorporated in
Hong Kong with limited liability)

25-26/F, One Island East
18 Westlands Road
Island East, Hong Kong

Website: www.zurich.com.hk

THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF IN DOUBT, PLEASE SEEK PROFESSIONAL ADVICE.

We accept full responsibility for the accuracy of the content of this document. Unless otherwise stated, the terms used but not otherwise defined in this letter shall have the same meanings as those defined in JHCF’s latest Hong Kong offering documents (comprising the prospectus for JHCF and/or supplements for the Underlying Funds as amended from time to time (the “Prospectus”), the Important Notice to Residents of Hong Kong (“INRHK”) and the relevant Product Key Fact Statements (“KFS”), collectively the “Hong Kong Offering Documents”).

Dear valued customer,

We are writing to let you know about the changes to JHCF and the Underlying Funds. You have invested in one of the investment choices corresponding to the Underlying Funds, which are listed in the “Which investment choices are affected?” table below under the column “Name and code of the investment choice”.

Which investment choices are affected?

Name and code of the investment choice (Individually, the “Investment Choice”; collectively, the “Investment Choices”)	Name of the corresponding underlying fund (Individually, the “Underlying Fund”; collectively, the “Underlying Funds”)	Applicable scheme (Collectively, the “Schemes”)
Janus Henderson Capital Funds plc - Janus Henderson Global Life Sciences Fund (Code: O4USD)	Janus Henderson Capital Funds plc - Janus Henderson Global Life Sciences Fund	<ul style="list-style-type: none"> • Magnitude • Matterhorn • Swiss Elite
Janus Henderson Capital Funds plc - Janus Henderson Global Real Estate Equity Income Fund (Code: O5USD)	Janus Henderson Capital Funds plc - Janus Henderson Global Real Estate Equity Income Fund	
Janus Henderson Capital Funds plc - Janus Henderson Global Technology and Innovation Fund (Code: PXUSD)	Janus Henderson Capital Funds plc - Janus Henderson Global Technology and Innovation Fund	
Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund (Code: NZUSD)	Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund	
Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund (Dis) (Code: TLUSD)		<ul style="list-style-type: none"> • Matterhorn • Swiss Elite

What is happening?

We have been notified by JHCF of changes to the Underlying Funds.

Unless otherwise specified below, these changes will be reflected in the revised Hong Kong Offering Documents to be dated on or around December 29, 2023 (the “**Effective Date**”).

The changes outlined below will not have a material impact on the investment strategy, portfolio composition, or risk profile of the relevant Underlying Funds. There are no new fees, charges or increases in existing fees or charges borne by the Underlying Funds because of the changes. There will not be a material adverse impact on the rights or interests of the investors of the relevant Underlying Funds. There will be no material change in the operation and/or manner in which the Underlying Funds are being managed.

1. Changes applicable to Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund and Janus Henderson Capital Funds plc - Janus Henderson Global Technology and Innovation Fund in relation to their classification under the EU Sustainable Finance Disclosure Regulation (“SFDR”)

In response to the increasing demand from clients for funds to promote environmental and/or social characteristics, the Supplement for each of the Underlying Funds will be updated from the Effective Date to reflect that each of the Underlying Funds promotes environmental and / or social characteristics as defined under Article 8 of SFDR.

An Article 8 fund under SFDR is classified as a fund that:

“Promotes, among other characteristics, environmental or social characteristics, or a combination of those characteristics, provided that the companies in which the investments are made follow good governance practices”.

From the Effective Date, each of the Underlying Funds will introduce new binding criteria to its investment strategy as summarized in Appendix A.

Details of the environmental and/or social characteristics promoted by the Underlying Funds and how the Underlying Funds seek to achieve the promotion of such characteristics will be disclosed in “*Annex II Template pre-contractual disclosure*” appended to the Prospectus from the Effective Date.

For the avoidance of doubt, the Underlying Funds will not be authorized by the SFC as ESG funds in Hong Kong following the changes above.

2. Changes to the Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund investment policy

The investment policy of the Underlying Fund as disclosed in the Prospectus is being refined to clarify that the 35% referred to as being sub-investment grade relates to the fixed income component of the Underlying Fund’s net asset value (“NAV”), not the total NAV of the Underlying Fund. The change to the investment policy of the Underlying Fund as disclosed in the Prospectus is as follows:

“The Fund’s investment objective is long-term capital growth, consistent with preservation of capital and balanced by current income. It pursues its objective by investing 35%-65% of its net asset value in equities (also known as company shares), and 35%-65% of its net asset value in Debt Securities and loan participations. At least 80% of its net asset value is invested in US Companies and US Issuers. The aggregate amount of the Fund which may be invested in securities traded on the Developing Markets is 10% of the net asset value of the Fund. ~~This Fund may invest up to 35% of its net asset value in~~ Of the 35%-65% portion of the Fund’s net asset value that is invested in Debt Securities and loan participations, up to 35% of that portion of the net asset value may be rated below investment grade.”

The Hong Kong Offering Documents will be updated to reflect the changes above accordingly.

3. Investment Adviser and Sub-Investment Advisers

The Prospectus will be amended to incorporate multi manager flexibility in line with regulatory requirements to provide that the Investment Adviser(s) and the Sub-Investment Advisers belonging to Janus Henderson Group will be permitted to act for all Underlying Funds and be replaced at any time without prior notice to investors. Detailed information with respect to the Investment Adviser(s) and the Sub-Investment Adviser(s) for each Underlying Fund will be made available on the “Document Library” on www.janushenderson.com¹.

Notwithstanding the above, for so long as the Underlying Funds are authorized by the SFC², prior approval from the SFC shall be obtained in respect of any change to the Investment Adviser(s) or (to the extent required by the SFC) Sub-Investment Adviser(s) of the Underlying Funds, and at least one month’s prior notice shall be provided to investors of the Underlying Funds. Investors of the Underlying Funds should refer to the KFS for the latest list of the Investment Adviser(s) and the Sub-Investment Adviser(s) for each Underlying Fund.

For the avoidance of doubt, the current investment delegation arrangement with respect to the Underlying Funds which are authorized by the SFC² as described in the Hong Kong Offering Documents will remain unchanged notwithstanding the changes above.

4. Updates to the disclosures on Securities Financing Transactions and total return swaps

The Hong Kong Offering Documents will be updated to reflect that it is intended that each of the Underlying Funds may engage in securities financing transactions, including securities lending, sale and repurchase and/or reverse repurchase transactions, for up to 30% (instead of up to 50%) of their respective net asset value in aggregate.

The Prospectus will also be updated to reflect the maximum and expected proportion of assets of each Underlying Fund that can be subject to total return swaps, repurchase agreements and/or securities lending.

For the avoidance of doubt, the updates above are merely clarifications to reflect the existing practice of the Underlying Funds.

Please refer to the updated Hong Kong Offering Documents for further details.

5. Other miscellaneous updates

JHCF would also like to inform us of the following miscellaneous updates to the Hong Kong Offering Documents:

- (a) Clarification that all income generated from Securities Financing Transactions will be accrued to the Underlying Fund in the sub-section entitled “Securities Financing Transactions Regulation” under the section entitled “Types and description of financial derivative instruments” of the Prospectus;
- (b) Addition of “Risks associated with Sustainability” as a specific fund risk in the section entitled “Risk Factors and Special Considerations” of the Prospectus;
- (c) Addition of the short form “ZSE” for Zagreb Stock Exchange in Croatia in Appendix 2 of the Prospectus; and
- (d) Other miscellaneous and editorial updates.

¹ This website has not been reviewed by the SFC and may contain information relating to funds not authorized by the SFC and not available to Hong Kong investors.

² SFC authorisation is not a recommendation or endorsement of the Underlying Funds nor does it guarantee the commercial merits of the Underlying Funds or their performance. It does not mean the Underlying Funds are suitable for all investors nor is it an endorsement of their suitability for any particular investor or class of investors.

What does this mean to you?

If you wish to continue to invest in the Investment Choice(s) after considering the changes outlined above, you will not need to take any action. However, if you do not wish to maintain your investment in the Investment Choice(s), you may switch your existing holdings or redirect your future contribution allocations to alternative investment choice(s) by submitting an instruction to us, free of charge.

We recommend that you contact your licensed insurance intermediary in the first instance, who will be able to advise you of the alternative investment choice(s). For information on the fees and charges and the respective risk factors of our range of investment choices, please refer to the product brochure of the respective Schemes and the offering documents of the underlying funds made available by us upon request.

If you have any questions about this letter or your investment in the investment choice(s), please contact your licensed insurance intermediary, or you can call our customer care hotline at +852 2968 2383 or contact us via <https://www.zurich.com.hk/en/customer-services/contact-us/e-form/life-general> and we will be happy to help.

Yours faithfully,

Zurich Life Insurance (Hong Kong) Limited
(a company incorporated in Hong Kong with limited liability)

Note: Please note investments involve risks. The value of any investment and the income from it can fall as a result of market and currency fluctuations and you could get back less than the amount originally invested.

Appendix A
ESG binding criteria to be applied from the Effective Date

Underlying Fund	Binding Criteria from the Effective Date
<p>Janus Henderson Capital Funds plc - Janus Henderson Balanced Fund</p>	<p>The Sub-Investment Adviser will:</p> <ul style="list-style-type: none"> ➤ Engage with issuers in breach of UNGC principles and will only invest or continue to be invested if it considers through such engagement that they are on track to improve. If the issuer does not achieve a “pass” rating within 24 months, it will divest and screens will be applied to exclude the issuer. ➤ Apply screens to ensure that of the portfolio invested in corporate issuers of equities, at least 80% have an ESG risk rating of BB or higher (by MSCI – https://www.msci.com/, or equivalent). ➤ Consider corporate issuers of equities with a rating of B or CCC to be ESG laggards. It will engage with such issuers and will only invest or continue to be invested if it considers through such engagement that they are on track to improve and that the rating of the issuer will be upgraded. If the issuer’s rating is not upgraded within 24 months, it will divest and screens will be applied to exclude the issuer. ➤ Apply screens to ensure that of the portfolio invested in corporate issuers of Debt Securities, at least 80% have an ESG risk rating of BB or higher (by MSCI – https://www.msci.com/, or equivalent). ➤ Consider corporate issuers of Debt Securities with a rating of B or CCC to be ESG laggards. It will engage with such issuers and will only invest or continue to be invested if it considers through such engagement that they are on track to improve and that the rating of the issuer will be upgraded. If the issuer’s rating is not upgraded within 24 months, it will divest and screens will be applied to exclude the issuer. ➤ Leverage a proprietary ESG framework, utilising both third party data and proprietary insights, that incorporates at least 20 metrics across environmental, social, and governance factors to produce country-level ESG ratings ranging from AAA to CCC. To encourage the adoption of better environmental and/or social practices the Fund will only invest in sovereign issuers rated B or higher. ➤ Leverage a proprietary ESG framework, utilising both third party data and proprietary insights, to categorise issuers of agency mortgage backed securities against six ratings from “Category 1” (the highest) to “Category 6” (the lowest). To encourage the adoption of better environmental and/or social practices the Fund will only invest in the top 5 of 6 category ratings, i.e. it will not invest in “Category 6” (the lowest) rated issuers as such issuers have been evaluated as having insufficient management of sustainability risks. The category ratings reflect the Sub-Investment Adviser’s view of the most relevant level of ESG risk for most companies within the sector and can help inform portfolio construction in terms of exposure to a certain sector. <p>The Fund also applies the Firmwide Exclusions Policy (the “Firmwide Exclusions Policy”), which includes controversial weapons, as detailed under the Prospectus section entitled “Investment Restrictions”.</p> <p>For the purposes of the AMF doctrine, the extra-financial analysis or rating is higher than: 90% for equities issued by large capitalisation companies whose registered office is located in “developed” countries, debt securities and money market instruments with an investment grade credit rating, sovereign debt issued by developed countries;</p>

	<p>75% for equities issued by large capitalisations whose registered office is located in "emerging" countries, equities issued by small and medium capitalisations, debt securities and money market instruments with a high yield credit rating and sovereign debt issued by "emerging" countries.</p> <p>The Sub-Investment Adviser may include positions in the Fund that, based on third-party data or screens, appear to fail the above criteria, where the Sub-Investment Adviser believes that the third- party data may be insufficient or inaccurate.</p>
<p>Janus Henderson Capital Funds plc - Janus Henderson Global Technology and Innovation Fund</p>	<p>The Sub-Investment Adviser will:</p> <ul style="list-style-type: none"> ➤ Apply screens to ensure at least 80% of the portfolio is invested in corporate issuers with an ESG risk rating of BB or higher (by MSCI – https://www.msci.com/, or equivalent). ➤ to promote support for the UNGC Principles, screens are applied so that the Fund does not invest in issuers that are in breach of the UNGC Principles based on third party data and/or internal research. <p>The Fund also applies the Firmwide Exclusions Policy (the "Firmwide Exclusions Policy"), which includes controversial weapons, as detailed under the Prospectus section entitled "Investment Restrictions".</p> <p>For the purposes of the AMF doctrine, the extra-financial analysis or rating is higher than:</p> <ol style="list-style-type: none"> a) 90% for equities issued by large capitalisation companies whose registered office is located in "developed" countries, debt securities and money market instruments with an investment grade credit rating, sovereign debt issued by developed countries; b) 75% for equities issued by large capitalisations whose registered office is located in "emerging" countries, equities issued by small and medium capitalisations, debt securities and money market instruments with a high yield credit rating and sovereign debt issued by "emerging" countries. <p>The Sub-Investment Adviser may include positions in the Fund that, based on third-party data or screens, appear to fail the above criteria, where the Sub-Investment Adviser believes that the third- party data may be insufficient or inaccurate.</p>

有關駿利亨德森資產管理基金（「JHCF」）及該等相關基金（定義見下文）之變更

此乃重要函件，務請您即時垂注。您如對本函件的內容有任何疑問，請尋求專業意見。

我們就此函件所轉載資料的準確性承擔全部責任。除非另有訂明，否則本函件所載詞彙應與JHCF最新的香港銷售文件（包括JHCF的招股說明書及／或該等相關基金的補充文件（經不時修訂）（「招股說明書」）、致香港居民重要通告（「致香港居民重要通告」）及相關產品資料概要（「產品資料概要」），統稱「香港銷售文件」）所載者具有相同涵義。

親愛的客戶：

我們謹此致函通知您有關JHCF及該等相關基金的變更。您已投資於該等相關基金相應的其中一個投資選項，其列於下表「受影響的投資選項」中「投資選項的名稱及代碼」一欄。

受影響的投資選項

投資選項（各稱為「該投資選項」，統稱為「該等投資選項」）的名稱及代碼	相應的相關基金（各稱為「該相關基金」，統稱為「該等相關基金」）名稱	適用計劃（統稱「計劃」）
駿利亨德森資產管理基金 - 駿利亨德森環球生命科技基金 (代碼：O4USD)	駿利亨德森資產管理基金 - 駿利亨德森環球生命科技基金	<ul style="list-style-type: none"> 瑞豐投資計劃 瑞承投資計劃 瑞翔投資計劃
駿利亨德森資產管理基金 - 駿利亨德森環球房地產股票入息基金 (代碼：O5USD)	駿利亨德森資產管理基金 - 駿利亨德森環球房地產股票入息基金	
駿利亨德森資產管理基金 - 駿利亨德森環球科技及創新基金 (代碼：PXUSD)	駿利亨德森資產管理基金 - 駿利亨德森環球科技及創新基金	
駿利亨德森資產管理基金 - 駿利亨德森平衡基金 (代碼：NZUSD)	駿利亨德森資產管理基金 - 駿利亨德森平衡基金	
駿利亨德森資產管理基金 - 駿利亨德森平衡基金 (派息) (代碼：TLUSD)		<ul style="list-style-type: none"> 瑞承投資計劃 瑞翔投資計劃

修訂事項

JHCF已通知我們有關該等相關基金的變更。

除非下文另有註明，否則該等變更將反映於日期將為2023年12月29日（「生效日期」）或前後的經修訂香港發售文件。

下文概述的變更將不會對相關該等相關基金的投資策略、投資組合構成或風險概況產生重大影響。該等相關基金不會因該等變更而承擔新的費用、收費或增加現有的費用或收費。將不會對相關該等相關基金投資者的權利或利益造成重大不利影響。該等相關基金的運作及／或管理方式將不會發生重大變更。

1. 駿利亨德森資產管理基金 - 駿利亨德森平衡基金及駿利亨德森資產管理基金 - 駿利亨德森環球科技及創新基金有關其根據歐盟可持續金融披露規例（「SFDR」）的分類之適用變更

為回應客戶對基金推廣環境及／或社會特點之需求的上升，各該等相關基金的補充文件將自生效日期起作出更新，以反映各該等相關基金推廣SFDR第8條定義的環境及／或社會特點。

SFDR項下第8條基金被歸類為以下基金：

「推廣（其中包括其他特點）環境或社會特點，或該等特點的組合，前提是所投資的公司遵循良好管治實踐」

自生效日期起，各該等相關基金將在其投資策略引入新的約束性準則（於附錄A概述）。

有關該等相關基金所推廣的環境及／或社會特點的詳情，以及該等相關基金如何尋求達致推廣該等特點，將自生效日期起在招股說明書隨附的「附件II訂約前披露範本」中披露。

為免生疑問，作出上述變更後，該等相關基金將不會獲證監會認可為香港環境、社會及管治基金。

2. 駿利亨德森資產管理基金 - 駿利亨德森平衡基金的投資政策之變更

招股說明書中披露的該相關基金投資政策正在優化，以澄清所提及的35%為次級投資級別，乃涉及該相關基金資產淨值（「資產淨值」）的固定收益部分而非該相關基金總資產淨值。招股說明書披露的該相關基金投資政策變更如下：

「本基金的投資目標是在保本和平衡當期收益之餘，達至長期資本增值。為達至此目標，本基金將其資產淨值的35%至65%投資於股票（亦稱為公司股份），並將其資產淨值的35%至65%投資於債務證券及貸款參與。其資產淨值至少80%投資於美國公司及美國發行人。本基金可投資於在發展中市場買賣的證券之總額佔其資產淨值的10%。本基金最多可將其資產淨值的35%投資於在本基金資產淨值中投資於債務證券及貸款參與的35%至65%部分中，最多達該部分資產淨值的35%可以是低於投資級別。」

香港發售文件將作出相應更新，以反映上述變更。

3. 投資顧問及副投資顧問

招股說明書將根據監管要求作出修訂，以納入多名經理人的靈活性，以訂明隸屬駿利亨德森集團的投資顧問及副投資顧問將獲准代表所有該等相關基金行事，並可隨時被更換而毋須事先通知投資者。有關各該相關基金的投資顧問及副投資顧問的詳細資料將載於www.janushenderson.com¹的「基金文件」。

儘管有上述規定，只要該等相關基金獲證監會認可²，該等相關基金的投資顧問或（在證監會要求的範圍內）副投資顧問有任何變更，均須事先獲得證監會的批准，並應向該等相關基金投資者發出至少一個月的事先通知。有關各該相關基金的投資顧問及副投資顧問的最新名單，該等相關基金的投資者應參閱產品資料概要。

為免生疑問，儘管有上述變更，香港發售文件中所述證監會認可的該等相關基金²的現行投資轉授安排將維持不變。

4. 更新證券融資交易及總回報掉期的披露

香港發售文件將作出更新，以反映現擬各該等相關基金可進行證券融資交易，包括證券借貸、銷售及購回及／或反向回購交易，合共最多達其各自資產淨值的30%（而非最多達50%）。

招股說明書亦將作出更新，以反映各該相關基金可涉及總回報掉期、購回協議及／或證券借貸的最高及預期資產比例。

為免生疑問，上述更新僅為澄清，以反映該等相關基金的現行做法。

有關進一步詳情，請參閱經更新的香港發售文件。

¹此網站並未經證監會審閱，並可能載有關於未經證監會認可及不可向香港投資者提供的基金之資料。

²證監會認可並非對該等相關基金作出推薦或認許，亦並非對該等相關基金的商業利弊或其表現作出保證，更並非表示該等相關基金適合所有投資者，或認許該等相關基金適合任何特定投資者或投資者類別。

5. 其他雜項更新

JHCF通知我們，香港發售文件作出以下雜項更新：

- (a) 澄清招股說明書內「金融衍生工具的種類及詳情」一節下「證券融資交易規例」分節所述產生自證券融資交易的所有收入將累計入該相關基金；
- (b) 在招股說明書內「風險因素和特別考慮」一節加插「與可持續性相關的風險」作為特殊基金風險；
- (c) 在招股說明書附錄二加插克羅地亞薩格勒布證券交易所的簡稱「ZSE」；及
- (d) 其他雜項及編輯方面的更新。

對您產生的影響

如您在考慮上述變更後仍繼續投資於該等投資選項，則無需就此修訂作出任何行動。倘若您不欲繼續投資於該等投資選項，可向本公司遞交轉換現有投資或重新指定未來供款分配至其他投資選項的指示，費用全免。

我們建議您首先諮詢您的持牌保險中介人，以取得其他投資選項的建議。有關本公司投資選項（包括費用及收費，以及其相關風險因素）的詳情，請參閱相關計劃的產品介紹冊及相關基金的銷售文件，本公司會應要求提供上述銷售文件。

如您對本函件或投資選項中的投資有任何疑問，請聯絡您的持牌保險中介人。您亦可致電 +852 2968 2383 或經由 <https://www.zurich.com.hk/zh-hk/customer-services/contact-us/e-form/life-general> 聯絡我們，我們將樂意為您效勞。

蘇黎世人壽保險（香港）有限公司
（於香港註冊成立之有限公司）
2023年12月11日

註：投資涉及風險，您的投資價值及收益可因市場及貨幣波動而下跌，有可能導致您不能取回所有投資款項。

附錄A
自生效日期起將適用的ESG具約束力準則

該相關基金	自生效日期起的具約束力準則
駿利亨德森資產管理基金 - 駿利亨德森平衡基金	<p>副投資顧問將：</p> <ul style="list-style-type: none"> ➢ 與違反聯合國全球契約原則的發行人互動參與，並只有在其認為透過有關互動參與而發行人正持續改善的情況下，方會作出投資或繼續投資。倘若發行人在24個月內未達到「合格」評級，則將撤走於該發行人的投資，並將應用篩選以排除該發行人。 ➢ 應用篩選，以確保投資於股票企業發行人的投資組合中，至少80%具有ESG風險評級達BB或以上（由MSCI - https://www.msci.com/，或同等機構給予）。 ➢ 將具有B或CCC評級的股票企業發行人視為ESG落後者。其將與該等發行人互動參與，並只有在其認為透過有關互動參與而發行人正持續改善及發行人的評級會被上調的情況下，方會作出投資或繼續投資。倘若發行人的評級在24個月內並未被上調，則將撤走於該發行人的投資，並將應用篩選以排除該發行人。 ➢ 應用篩選，以確保投資於債務證券企業發行人的投資組合中，至少80%具有ESG風險評級達BB或以上（由MSCI - https://www.msci.com/，或同等機構給予）。 ➢ 將具有B或CCC評級的債務證券企業發行人視為ESG落後者。其將與該等發行人互動參與，並只有在其認為透過有關互動參與而發行人正持續改善及發行人的評級會被上調的情況下，方會作出投資或繼續投資。倘若發行人的評級在24個月內並未被上調，則將撤走於該發行人的投資，並將應用篩選以排除該發行人。 ➢ 利用專有的ESG框架，該框架使用第三方數據及專有見解，納入至少20個涉及環境、社會及管治因素的指標，以產生從AAA至CCC的國家層面ESG評級。為鼓勵採納更佳的环境及 / 或社會實踐，基金將只投資於評級為B或以上的主權發行人。 ➢ 利用專有的ESG框架，該框架使用第三方數據及專有見解，根據由「第1類」（最高）至「第6類」（最低）的六種評級對機構按揭抵押證券的發行人進行分類。為鼓勵採納更佳的环境及 / 或社會實踐，基金將只投資於6個類別評級的前5個，即不會投資於「第6類」（最低）評級的發行人，因為此類發行人已被評估為可持續風險管理不足。類別評級反映副投資顧問對行業內大多數公司最相關的ESG風險水平的看法，並可有助於為投資某特定行業的投資組合構建提供資訊。 <p>基金亦應用公司排除政策（「公司排除政策」）（包括具爭議武器），詳情載於招股說明書中「投資限制」一節。</p> <p>就法國金融市場管理局（AMF）原則而言，非財務分析或評級高於：</p> <p>90%：就其註冊辦事處位於「已發展」國家的大市值公司所發行的股票、具有投資級別信貸評級的債務證券及貨幣市場工具、已發展國家所發行的主權債務而言：</p> <p>75%：就其註冊辦事處位於「新興」國家的大市值公司所發行的股票、中小市值公司所發行的股票、具有高收益信貸評級的債務證券及貨幣市場工具，以及「新興」國家所發行的主權債務而言。</p> <p>倘若副投資顧問認為第三方數據可能不充分或不準確，則副投資顧問可能會在基金中包含按第三方數據或篩選看來並不符合上述準則的倉盤。</p>

<p>駿利亨德森資產管理基金 - 駿利亨德森環球科技及創新基金</p>	<p>副投資顧問將：</p> <ul style="list-style-type: none"> ➤ 應用篩選，以確保投資組合中有至少80%投資於具有ESG風險評級達BB或以上（由MSCI - https://www.msci.com/，或同等機構給予）的企業發行人。 ➤ 為促進支持聯合國全球契約原則，應用篩選，以使基金不會投資於根據第三方數據及 / 或內部研究屬違反聯合國全球契約原則的發行人。 <p>基金亦應用公司排除政策（「公司排除政策」）（包括具爭議武器），詳情載於招股說明書中「投資限制」一節。</p> <p>就法國金融市場管理局（AMF）原則而言，非財務分析或評級高於：</p> <ul style="list-style-type: none"> a) 90%：就其註冊辦事處位於「已發展」國家的大市值公司所發行的股票、具有投資級別信貸評級的債務證券及貨幣市場工具、已發展國家所發行的主權債務而言： b) 75%：就其註冊辦事處位於「新興」國家的大市值公司所發行的股票、中小市值公司所發行的股票、具有高收益信貸評級的債務證券及貨幣市場工具，以及「新興」國家所發行的主權債務而言。 <p>倘若副投資顧問認為第三方數據可能不充分或不準確，則副投資顧問可能會在基金中包含按第三方數據或篩選看來並不符合上述準則的倉盤。</p>
-------------------------------------	--