

Automatic Exchange of Information

Self-certification for individuals

自動交換資料

個人自行核證

Private and confidential 私人及保密文件

Automatic Exchange of Information (AEOI) is a standard through which tax authorities in different countries can routinely exchange information about financial accounts held by taxpayers. The standards include, but are not limited to, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS). Financial institutions must identify and report any account holders who are taxpayers in other countries in accordance with the AEOI agreements.

自動資料交換是一項以便不同國家的稅務機構定期交換納稅人財務賬戶資料的準則。這項準則包括但不限於《外國帳戶稅務合規法案》及《共同申報準則》。根據自動資料交換協議，金融機構必須識別及申報海外稅務居民的財務賬戶資料。

This form should be filled in **BLOCK LETTERS** and return it by post or email to our Zurich office.

請以**正楷**填妥表格，並郵寄或電郵至蘇黎世辦事處。

Please fill the circle in full when you select the answer.

當閣下選擇答案時，請填滿整個圓圈。

Section A 部：Identification of individual account holder 個人賬戶持有人的身分識辨資料

(For joint or multiple account holders, complete a separate form for each individual account holder.)

(對於聯名保單或多人聯名保單，每名個人賬戶持有人須分別填寫一份表格。)

Policy no. (if known)

保單號碼(如知悉)

Role of account holder 賬戶持有人的身分

Policyholder 保單持有人 Claimant 索償人 Others (Please specify) 其他(請註明)

Title 稱銜

Mr. 先生 Mrs. 太太 Miss 小姐 Ms. 女士 Dr. 博士 Others (Please specify) 其他(請註明)

Family name 姓

Given name(s) 名

HKID card/passport no.
香港身份證或護照號碼

Date of birth
出生日期

Day日 Month月 Year年

Place of birth
出生地點

Nationality 國籍 Chinese (Hong Kong) 中國(香港) Chinese (Mainland China) 中國(中國內地) Others 其他

Do you hold nationality in another country?
您是否持有多於一個國家的國籍?

Yes 是 No 否 If "Yes", please specify the country 如「有」，請註明國家名稱

(Please submit certified copy of identity document for all nationality and tax jurisdiction of residence. 請遞交所有國籍及稅務居留司法管轄區的已核實身分證明文件副本。)



Identification of individual account holder (continued) 個人賬戶持有人的身分識辨資料 (續)

Current residential address

現時住址

Flat/Room
室/單位Floor
樓Block
座

Name of Building/Estate

大廈/屋邨名稱

Name of Street/Road

街道名稱

District/City/Province

地區/城市/省

HK/KLN/NT

香港/九龍/新界

Country

國家

ZIP/Postal code

郵遞區號

Is the above address permanent or temporary?

上述地址是永久或暫時住址

 Permanent
永久 Temporary
暫時

If temporary, please state the reason for this:

如屬暫時住址，請說明理由：

Correspondence address (If different from residential address)

通訊地址 (如與住址不同)

Flat/Room

室/單位

Floor

樓

Block

座

Name of Building/Estate

大廈/屋邨名稱

Name of Street/Road

街道名稱

District/City/Province

地區/城市/省

HK/KLN/NT

香港/九龍/新界

Country

國家

ZIP/Postal code

郵遞區號

Please provide a reason why you are using a correspondence address that is different from your residential address. Depending on the answers given, we may ask for further information.

請說明為何閣下的通訊地址有別於閣下的住址。視乎所提供的說明，我們或會詢問更多資料。

Residential telephone no.

住宅電話號碼

Country
國家

()

(Country code) Telephone no.
(國家編號) 電話號碼

Is this a US based telephone no.?

這是美國電話號碼嗎？

 Yes
是 No
否

Mobile telephone no.

流動電話號碼

Country
國家

()

(Country code) Telephone no.
(國家編號) 電話號碼

Is this a US based telephone no.?

這是美國電話號碼嗎？

 Yes
是 No
否

Email address

電郵地址

Occupation information 職業資料

Business nature

業務性質

Occupation title

職位

Section B 部：Tax information of account holder 賬戶持有人的稅務資料

1. a. Do you currently file tax return in the USA?
If yes, please complete and submit US tax form.
閣下現時有否於美國報稅？若是，請填妥及遞交美國稅表。 Yes 是 No 否
2. a. Are you a Hong Kong tax resident? If yes, the Taxpayer Identification No. ("TIN") is your HKID card no.
If no, please complete question no. 2c.
閣下是否香港稅務居民？若是，稅務編號是閣下之香港身份證號碼。若否，請回答問題 2c。 Yes 是 No 否
- b. Is Hong Kong the only tax jurisdiction of residence you belong to?
If no, please complete question no. 2c.
香港是否為閣下唯一所屬的稅務居留司法管轄區？若否，請回答問題 2c。 Yes 是 No 否
- c. Please provide all the tax jurisdiction of residence and TIN. If the TIN is unavailable, should provide the appropriate reason A, B or C.
請提供所有稅務居留司法管轄區及稅務編號。若未能提供稅務編號，必須填寫合適的理由。

Tax jurisdiction of residence 稅務居留司法管轄區	TIN 稅務編號	Reason if TIN is unavailable* 理由(若未能提供稅務編號)*	Please explain why the reason B is selected 若選擇理由B，請解釋原因
i.		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
ii.		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
iii.		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
iv.		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	
v.		<input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	

* Reason 理由 A: The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
賬戶持有人的稅務居留司法管轄區並沒有向其居民發出稅務編號。

Reason 理由 B: The account holder is unable to obtain a TIN. Please explain why the account holder is unable to obtain a TIN if you have selected this reason.
賬戶持有人未能取得稅務編號。若選取此理由，請解釋賬戶持有人未能取得稅務編號之原因。

Reason 理由 C: TIN is not required. Select this reason only if the authorities of the tax jurisdiction of residence do not require the TIN to be disclosed.
賬戶持有人毋須提供稅務編號。稅務居留司法管轄區的主管機關不需要賬戶持有人披露稅務編號。

Section C 部：Notice to customers relating to the Personal Data (Privacy) Ordinance ("Ordinance")
有關個人資料(私隱)條例(「私隱條例」)的客戶通知

This Notice sets out the privacy policy of each of **Zurich Assurance Ltd/Zurich Life Insurance (Hong Kong) Limited** (each a "Company") in respect of their respective customers. The rights and obligations of each Company under this Notice are several and not joint, whereby no Company shall be liable for any act or omission of another Company.

本通知列載蘇黎世人壽／蘇黎世人壽保險(香港)有限公司(以下個別稱「本公司」)有關各自對其客戶的私隱政策。各公司就本通知所列之權利和責任為獨立而非連帶的，因此各公司無須為其他公司之行為或不作為負責。

The personal information of customers (including policyholders, insured persons, beneficiaries, premium payors, trustees, policy assignees and claimants) collected or held by the Company from time to time, which also includes data collected or generated in the ordinary course of the Company's business and the continuation of relationship with the customer (such as claim information and medical history received from third parties), may be used by the Company and/or a company within its group ("Zurich Insurance Group") for the purposes **necessary** in providing services to the customers (otherwise the Company is unable to provide services to customers who fail to provide the required information).

由本公司不時收集或持有的客戶(包括保單持有人、受保人、受益人、保費付款人、信託人、保單受讓人及索償人)個人資料，其中亦包括在公司日常業務過程中以及就持續與客戶的關係而收集或產生的資料(例如從第三方收到的索償資料和病歷)，均可供本公司及／或其所屬集團(「蘇黎世保險集團」)內的公司使用作為向客戶提供服務而必須的用途(否則本公司將無法為未能提供所需資料的客戶提供服務)。

Please read carefully the details of the Company's privacy policy which is made available on our website at www.zurich.com.hk/pics or by scanning the QR code. You may also contact our Customer Care Hotline at +852 2968 2383 or insurance intermediaries for enquires.

本公司之私隱政策詳載於 www.zurich.com.hk/pics 或可透過掃描 QR 碼細閱。閣下亦可致電 +852 2968 2383 與我們的客戶服務部聯絡或向保險中介人查詢。



Section D 部：Declarations and signature 聲明及簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the Company for the purposes as set out in Section C of this form and to comply with the automatic exchange of financial account information standard and (b) such information and information regarding the account holder and any reportable policy(ies) may be reported by the Company to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意(a) 貴公司收集本表格所載資料並可備存作本表格C部所述之用途及(b) 貴公司可根據《稅務條例》(第112章)有關交換財務賬戶資料的法律條文，把該等資料和關於賬戶持有人及任何須申報保單的資料向香港特別行政區政府稅務局申報，從而把資料轉交到賬戶持有人的居留司法管轄區的稅務當局。

I certify that I am the account holder (or am authorized to sign for the account holder) of all the policy(ies) to which this form relates.

本人證明，就與本表格所有相關的保單，本人是賬戶持有人(或獲賬戶持有人授權簽署本表格)。

I undertake to advise the Company of any change in circumstances which affects the tax residency status of the individual identified in Section A of this form or causes the information contained herein to become incorrect, and to provide the Company with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾，如情況有所改變，以致影響本表格A部所述的個人的稅務居民身分，或引致本表格所載的資料不正確，本人會通知 貴公司並會在情況發生改變後30日內，向 貴公司提交一份已適當更新的自我證明表格。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signature
簽署

Date signed 簽署日期

Day日	Month月	Year年
<input type="text"/>	<input type="text"/>	<input type="text"/>

Name 姓名	Capacity 身分
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(Indicate the capacity if you are not the individual identified in Section A. If signing under a power of attorney, attach a certified copy of the power of attorney.)

(如 閣下不是A部所述的個人，請說明 閣下的身分。如果 閣下是以授權人身分簽署這份表格，須夾附該授權書的核證副本。)

PLEASE DO NOT SIGN ON BLANK FORM. 請勿於空白表格簽署。

In the event of any discrepancies or inconsistencies between the English and Chinese versions of this form, the English version shall prevail. 如此表格之中英文版本有任何歧異或不一致，概以英文版為準。

We may request you to provide additional documents apart from information listed above where necessary. If you have any question on how to complete this form, please call our Customer Care Hotline at +852 2968 2383.

如有需要，除上列資料外，我們可能會要求 閣下提供額外之證明文件。倘若 閣下在填寫此表格時有任何疑問，請致電本公司客戶服務熱線 +852 2968 2383。

WARNING and ATTENTION: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HKD 10,000).

警告及注意：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級罰款(即10,000港元)。

If there is any uncertainty about tax residency status, please consult your own tax advisor.

如 閣下對稅務居住地有任何疑問，請徵詢 閣下的稅務顧問。

You can find out more on the meaning of the terms and expressions used in this form at the following link: <http://www.ird.gov.hk/eng/pdf/2016/terms.pdf>.

本表格之名詞及措辭釋義可參考以下連結：<http://www.ird.gov.hk/eng/pdf/2016/terms.pdf>。

If you have any questions about this form or defining your tax residency status, please speak to your tax advisor or domestic tax authority.

如對本表格或如何界定 閣下的稅務居民身分有任何問題，請向 閣下的稅務顧問或本地稅務機關查詢。