

LINE OF BUSINESS

INTERMEDIARY AND RESPONSABLE

SHEET

LEGAL ENTITY

INSURED GENERAL DATA / NATIONAL AND FOREIGN LEGAL ENTITY

1 COMPANY NAME / LEGAL NAME: \_\_\_\_\_

2 LINE OF BUSINESS, ACTIVITY OR CORPORATE PURPOSE: \_\_\_\_\_

3 TAX ID NUMBER: \_\_\_\_\_

4 FULL ADDRESS: \_\_\_\_\_

STREET, EXT. NUMBER, INT. NUMBER, CITY, COUNTRY ZIP CODE

5 THE LEGAL REPRESENTATIVE OR SHAREHOLDERS:

IS A US RESIDENT OR US TERRITORY RESIDENT? YES  NO  HAS ANY TAX OBLIGATIONS IN THE US OR ITS ASSOCIATED TERRITORIES? YES  NO  TAX ID NUMBER: \_\_\_\_\_

6 SECRETARY OF STATE'S OFFICE FILING ID: \_\_\_\_\_

7 NATIONALITY: \_\_\_\_\_

8 TAX ID NUMBER: \_\_\_\_\_

9 COMPANY'S EMAIL ADDRESS: \_\_\_\_\_

10 PHONE NUMBER: \_\_\_\_\_ 11 DATE OF INCORPORATION: \_\_\_\_\_

PHONE NUMBER

PHONE NUMBER

YYYY/MM/DD

12 LEGAL REPRESENTATIVE, REGISTERED AGENT, DIRECTOR, POWERED ATTORNEY:

ASURNAME (S) AND NAME (S)

NATIONALITY: \_\_\_\_\_ COUNTRY OF BIRTH: \_\_\_\_\_ DATE OF BIRTH: \_\_\_\_\_

YYYY/MM/DD

13 DOES ANY OF THE SHAREHOLDERS OR BOARD MEMBERS OF THE COMPANY ARE CONSIDERED POLITICALLY EXPOSED PERSON (PEP) BY THEMSELVES OR BY KINSHIP

YES  NO

IF YES, INDICATE NAME AND POLITICAL TITLE: \_\_\_\_\_

IF CLASSIFIED AS A HIGH RISK CLIENT, MUST PROVIDE IN ADDITION TO THE ABOVE OF THE MAIN SHAREHOLDERS:

NAME: \_\_\_\_\_ NATIONALITY: \_\_\_\_\_ SHARE'S %: \_\_\_\_\_

NAME: \_\_\_\_\_ NATIONALITY: \_\_\_\_\_ SHARE'S %: \_\_\_\_\_

NAME: \_\_\_\_\_ NATIONALITY: \_\_\_\_\_ SHARE'S %: \_\_\_\_\_

CORPORATE STRUCTURE: \_\_\_\_\_

Clients are considered high risk if they perform any of the following activities: Lenders, bars, casinos, nightclubs, jewelry and foreign PEP\*.

BENEFICIARY

1 BENEFICIARY(S) FULL NAME: \_\_\_\_\_

LAST NAME, SURNAME, FIRSTNAME

2 ADDRESS: \_\_\_\_\_

STREET, EXT. NUMBER, INT. NUMBER, CITY, COUNTRY ZIP CODE

3 DATE OF BIRTH: \_\_\_\_/\_\_\_\_/\_\_\_\_

YYYY/MM/DD

7500 USD Policy Additional Data / National and Foreign Legal Entity.

1 LEGAL REPRESENTATIVE'S TYPE OF ID: \_\_\_\_\_

2 LEGAL REPRESENTATIVE ID NUMBER: \_\_\_\_\_

DOCUMENTS COMPARED AGAINST ORIGINAL AND REQUEST IN COPY FROM THE INSURED (READABLE)

MEXICAN NATIONALITY POLICY GRATER THAN 7500 USD

- |  |   |
|--|---|
| <p>1 ACT OF INCORPORATION/ ARTICLES OF INCORPORATION/ COMPANY BYLAWS <input type="checkbox"/></p> <p>2 CERTIFICATE OR COPY OF THE POWER OF ATTORNEY OF THE LEGAL REPRESENTATIVE (S) WHEN IT IS NOT INCLUDED IN THE ARTICLES OF INCORPORATION <input type="checkbox"/></p> <p>3 TAX ID NUMBER <input type="checkbox"/></p> <p>4 COMPANY'S PROOF OF ADRESS <input type="checkbox"/></p> <p><small>UTILITY BILLS NOT OLDER THAN THREE MONTHS OF ISSUANCE SUCH AS ELECTRICITY, TELEPHONE, NATURAL GAS, WATER SUPPLY AND PROPERTY TAX BILLS OR BANK STATEMENTS AND LEASE CONTRACT REGISTERED WITH THE FISCAL AUTHORITY.</small></p> | <p>5 LEGAL REPRESENTATIVE'S ID <input type="checkbox"/></p> <p><small>DOCUMENTS FOR MEXICAN CITIZENS<br/>                 INE, PASSPORT, PROFESSIONAL LICENSE, INSEN/ INAPAM, SMN CARD, MILITARY CARD, DRIVER'S LICENSE, CONSULAR REGISTRATION CERTIFICATE, IMSS, HIGH SCHOOL PUBLIC INSTITUTION, FEDERAL, STATE OR MUNICIPAL AUTHORITIES.</small></p> <p><small>VALIDATED DOCUMENTS FOR FOREIGNERS<br/>                 PASSPORT AND DOCUMENT THAT PROVES YOUR LEGAL STATUS IN THE COUNTRY, (FMT, FM2, FM3) AND ANY DOCUMENT EQUIVALENT TO AN OFFICIAL IDENTIFICATION.</small></p> <p>6 PRINCIPAL SHAREHOLDER'S ID OR BOARD OF DIRECTOR'S REPRESENTATIVE ID <input type="checkbox"/></p> <p><small>PASSPORT, PROOF OF LEGAL STAY WITHIN THE COUNTRY (FMT, FM2, FM3), EQUIVALENT OF ID.</small></p> <p><small>ALL DOCUMENTS SHOULD NOT BE OLDER THAN THREE MONTHS, COUNTED FROM THE DATE OF ISSUANCE.</small></p> |
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FOREIGN NATIONALITY POLICY OVER 7500 USD

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| <p>1 A LEGAL AND CERTIFIED COPY OF THE DOCUMENT THAT PROVES THE LEGAL ENTITY'S EXISTENCE <input type="checkbox"/></p> <p>2 A LEGAL AND CERTIFIED COPY OF THE POWER OF ATTORNEY OF THE LEGAL REPRESENTATIVE REGARDLESS OF NATIONAL OR FOREIGN STATUS <input type="checkbox"/></p> <p>3 LEGAL REPRESENTATIVE'S ID <input type="checkbox"/></p> <p><small>DOCUMENTS FOR MEXICAN<br/>                 CITIZENS ID, PASSPORT, PROFESSIONAL LICENSE, INSEN/ INAPAM, SMN CARD, MILITARY CARD, DRIVER'S LICENSE, CONSULAR REGISTRATION CERTIFICATE, IMSS, HIGH SCHOOL PUBLIC INSTITUTION, FEDERAL, STATE OR MUNICIPAL AUTHORITIES.</small></p> <p><small>DOCUMENTS FOR FOREIGNERS<br/>                 PASSPORT AND DOCUMENT THAT PROVES LEGAL STATUS IN THE COUNTRY, FMT, FM2, FM3 OR ANY DOCUMENT EQUIVALENT TO AN OFFICIAL IDENTIFICATION.</small></p> | <p>4 TAX ID NUMBER <input type="checkbox"/></p> <p>5 PROOF OF FOREIGN ADDRESS <input type="checkbox"/></p> <p>7 IN THE CASE OF NEWLY CREATED COMPANIES THAT DO NOT HAVE THE REGISTRATION BEFORE THE PUBLIC REGISTRY OF COMMERCE, IT IS NECESSARY TO REQUEST A LETTER SIGNED BY A LEGALLY AUTHORIZED PERSON WHO STATES THAT THE RESPECTIVE REGISTRATION WILL BE CARRIED OUT <input type="checkbox"/></p> |
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ULTIMATE BENEFICIAL OWNER

1. ULTIMATE BENEFICIAL OWNER GENERAL DATA

Name: \_\_\_\_\_  
ASURNAME (S) AND NAME (S)

Full Address: \_\_\_\_\_  
STREET, EXT. NUMBER, INT. NUMBER, CITY, COUNTRY ZIP CODE

Foreign Address (if applicable): \_\_\_\_\_  
STREET, EXT. NUMBER, INT. NUMBER, CITY, COUNTRY ZIP CODE

Date of Birth: \_\_\_\_\_ Country of birth: \_\_\_\_\_ Nationality: \_\_\_\_\_

City of Birth: \_\_\_\_\_ Occupation: \_\_\_\_\_ Telephone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ TAX ID NUMBER: \_\_\_\_\_

2. DOCUMENTS

- VALID PERSONAL IDENTIFICATION SHOWINGS A PHOTO, ADDRESS AND SIGNATURE   
INE, PASSPORT, PROFESSIONAL LICENSE, INSEN/ INAPAM, SMN CARD, MILITARY CARD, DRIVER'S LICENSE, CONSULAR REGISTRATION CERTIFICATE, IMSS OR CREDENTIAL ISSUED BY FEDERAL, STATE OR MUNICIPAL AUTHORITIES.
- PROOF OF ADRESS   
UTILITY BILLS NOT OLDER THAN THREE MONTHS OF ISSUANCE SUCH AS ELECTRICITY, TELEPHONE, NATURAL GAS, WATER SUPPLY AND PROPERTY TAX BILLS OR BANK STATEMENTS AND LEASE CONTRACT REGISTERED WITH THE FISCAL AUTHORITY.
- ALL DOCUMENTS SHOULD NOT BE OLDER THAN THREE MONTHS, COUNTED FROM THE DATE OF ISSUANCE.
- NOTE: IF YOU HAVE SOCIAL SECURITY NUMBER OR TAX ID NUMBER, YOU MUST COLLECT CORRESPONDING COPIES.**

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THE ABOVE DATA WAS PROVIDED IN AN INTERVIEW WITH THE CLIENT

1 NAME OF BROKER: \_\_\_\_\_ ASURNAME (S) AND NAME (S) \_\_\_\_\_

2 CODE OF BROKER: \_\_\_\_\_ MORE THAN 4 DIGITS \_\_\_\_\_ 3 SIGNATURE OF THE BROKER: \_\_\_\_\_

4 NAME AND SIGNATURE OF THE CLIENT: \_\_\_\_\_

\_\_\_\_\_

1 Formerly Article 140 LGISMS

2 PEP is that individual who performs or has performed in prominent public functions in a foreign country or a national territory, considering, among others, heads of state or government, political leaders, government officials, judicial or senior military officials, senior executives of state-owned companies, or important members of political parties. If you are considered as a PEP, your spouse and your blood relatives or any individual you are related to up to the second degree, as well as those close associates of the politically exposed person, are also considered PEP.

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I accept and acknowledge on behalf of my client that the insurer will not proceed to payment, until I have satisfactorily complied with the identification requirements requested in this document. By virtue of this document, I authorize the following Zurich entities: Zurich Compañía de Seguros, S.A. and/or Zurich Life Insurance Company, S.A. and/or Zurich Insurer Mexicana S.A. of C.V. to carry out the pertinent investigations regarding the information provided here. In case the information provided is or has been modified, I will immediately notify the aforementioned insurer. I hereby declare under oath that I have verified the data and information provided, which is correct, true and current as of this date.

For legal purposes, this document will be considered an interview. Personal data will be treated in accordance with the provisions of the Mexican Federal Law on Protection of Personal Data held by Individuals, the regulations and the Privacy Notice are available on the website: [www.zurich.com.mx](http://www.zurich.com.mx). Please consult the Privacy Notice before you provide personal data.