

Zurich International Group Risk Solutions

Required information and documents for customer due diligence (CDD) for UK/IOM only

Documents required	*Acceptable Applicant	Private Limited Company	Trust or other similar legal arrangement
Certificate of Incorporation or equivalent document **	No	Yes	Yes
Suitably certified ID and proof of address for 2 authorised signatories ***	No	Yes	Yes
List of current directors or equivalent authorised persons, including their full name and residential address	No	Yes	Yes
Company Structure chart or equivalent document detailing any shareholder holding 25% or more of the issued share capital up to the ultimate owner	No	Yes	Yes
Suitably certified copy of an extract of the Trust Deed, confirming Trustee, Settlor and Beneficiaries ****	No	No	Yes

*Acceptable Applicant classification

A person (or nominee of) who acts in the course of external regulated business and is regulated under the laws of an Equivalent Jurisdiction.

A company listed on a recognised stock exchange or a wholly owned subsidiary of such a company.

Important notes

** Where possible Zurich will source the Certification of Incorporation independently.

*** At least one of the authorised signatories which we have identified must sign the application form.

**** A. Where the trustees are individual employees, we require name, address and date of birth. If not employees we require suitably certified ID and proof of address for each trustee.

**** B. Full registered address of the settlor.

Certification guidance notes:

The suitable certifier should write the following relevant phrase including all information below on or with all certified documents:

**“I certify that this document is a true copy of the original” and for documents containing a photo:
“I certify this to be a true copy document and the photo is a true likeness of the holder”.**

- Signature of certifier.
- Full name of certifier (in CAPITAL letters underneath the certifier’s signature).
- Position/job title.
- Company name, address and telephone number.
- Date.
- Registration number (if applicable).
- The capacity of the certifier must be stated.

Suitable certifiers will fall into the following categories:

- A regulated introducer who is incorporated in or formed under the law of a country which is an equivalent jurisdiction.
- A notary public, lawyer, advocate or an embassy official (from the embassy of the country who issued the ID document).
- An accountant who must be a member of an institute or professional organisation.
- An authorised employee of the Zurich group.

Certification must be within 6 months of receipt

Important information

Zurich Integrated Benefits is a business name of Zurich International Life Limited.

Zurich International Life Limited is authorised under the Isle of Man Insurance Act 2008 and is regulated by the Isle of Man Financial Services Authority which ensures that the company has sound and professional management and provision has been made to protect planholders.

For life assurance companies authorised in the Isle of Man, the Isle of Man’s Life Assurance (Compensation of Policyholders) Regulations 1991 (as amended), ensure that in the event of a life assurance company being unable to meet its liabilities to its planholders, up to 90% of the liability to the protected planholders will be met.

The protection only applies to the solvency of Zurich International Life Limited and does not extend to protecting the value of the assets held within any unit-linked funds linked to your plan.

Zurich International Life Limited is not authorised to offer insurance products for sale in the United States.

Materials are not intended as an offer to invest and do not constitute an offer or a solicitation of an offer to buy securities in any other country or other jurisdiction in which it is unlawful to make such an offer or solicitation.

Zurich International Life Limited (a company incorporated in the Isle of Man with limited liability) provides life assurance, investment and protection products and is authorised by the Isle of Man Financial Services Authority.

Registered in the Isle of Man number 020126C.

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